

## GRAPHS.

1. Graphs are useful models for reasoning about relations among objects and combinatorial problems. Many real-life problems can be solved by converting them to graphs. Proper application of graph theory ideas can drastically reduce the solution time for some important problems.

### 2. DEFINITIONS.

A graph has a set vertices  $V$ , often labeled  $v_1, v_2$ , etc.. and a set of edges  $E$ , labeled  $e_1, e_2, \dots$ . Each edge is a pair  $(u, v)$  of vertices. We write  $G = (V, E)$  for the graph with vertex set  $V$  and edge set  $E$ . In applications, where pair  $(u, v)$  is distinct from pair  $(v, u)$ , the graph is "directed". Otherwise, the graph is undirected. We can convert an undirected graph to a directed one by duplicating edges, and orienting them both ways.

When  $(u, v)$  is an edge, we say " $v$  is adjacent (neighbor) to  $u$ ".  
A loop is an edge with both endpoints being the same.

The out-degree of  $v$  = the number of neighbors of  $v$   
The in-degree of  $v$  = how many vertices have  $v$  as a neighbor.

Some times, the edges can be associated with weights or costs.

### Paths.

A path is sequence of vertices  $w_1, w_2, \dots, w_n$ , such that each pair  $(w_i, w_{i+1})$  is an edge.

The length of a path is the number of edges in it, or total weight if there are weights.

A simple path has no repeated vertex, except first and last can be the same; in that case, the path is a cycle.

### Connectivity.

An undirected graph is connected if there is a path between any two vertices. A directed graph with this property is "strongly connected."  
A weakly connected graph---underlying graph connected but the directed graph not strongly connected.

### Examples of Graphs.

1. airport system:  
nodes = airports; edges = pairs of airports with non-stop flights.  
(weight/cost = airfare; distance; capacity)
2. Internet:  
nodes = routers; edges = links.
3. social graphs: (6 degrees of separation)  
nodes = people; edges = friends/acquaintance/co-authors

4. academic graphs:  
nodes = courses; edges = prereqs;

### 3. REPRESENTATION

Adjacency MATRIX: a 2-dim array  $V \times V$ . For each edge  $(u,v)$ , set  $A[u,v]$  true; equal to cost, etc. Use infinity or  $\emptyset$  for non-edges.

Pros: easy to check if  $(u,v)$  an edge in  $G$ .

Cons: Takes  $V^2$  space if even graph has very few edges; e.g. street map  
A street map is  $O(V)$  edges. Imagine  $V = 10^6$ .

Adjacency LIST. An array of (header cells for) adjacency lists. The  $i$ th cell points to a linked list of all vertices adjacent to vertex  $v_i$ .

Example

```
1: 2, 4, 3
2: 4, 5
3: 6
4: 6, 7, 3
5: 4, 7
6:
7: 6
```

Space is  $O(E)$ ; each directed edge stored just once. Thus, if  $G$  is undirected  $(u,v)$  appears in lists of both  $u$  and  $v$ .

Pros. Linear space. Easy to list out all vertices adjacent to  $u$ .

### 4. TOPOLOGICAL SORT

An application: You have a set of tasks. You are also told a set of precedence relations; some jobs cannot be done before others. How shall you schedule the jobs without violating any prec constraint?

Job  $\rightarrow$  nodes; precedence relations  $\rightarrow$  edges.

Clearly, if there is a cycle in the graph, no feasible schedule.

When there is no cycle, \*topological sorting\* is an ordering of vertices such if there is a path from  $v_i$  to  $v_j$ , then  $v_i$  appears BEFORE  $v_j$  in the schedule.

Algorithm:

```
Find a vertex  $v$  with zero in-degree (must exist!)
Print  $v$ , delete  $v$ , and its outgoing edges;
Repeat;
```

Take  $O(V^2)$  time.

## Improved Topological Sort

```
Compute all vertices' indegrees
Enqueue all those with zero indegree
Pick a vertex w from the queue;
    put w next in schedule
    for each vertex v adj to w
        decrement v's indegree
        add v to queue if its indeg = 0
```

This code only looks at each edge once, so  $O(E)$  time.

EXAMPLE.

## 5. SHORTEST PATHS.

Assume  $c(u,v)$  is the cost of traversing the edge  $(u,v)$ .  
Cost of a path  $v_1, v_2, \dots, v_k$  is  $\sum_{i=1}^{k-1} c(v_i, v_{i+1})$ .

Single-Source SP Problem:

Given a weighted directed graph  $G=(V,E)$ , and a start node  $s$ ,  
find shortest weighted paths from  $s$  to every other node.

Examples.

Fig. 9.8

Fig. 9.9

## 6. Finding Unweighted Shortest Paths.

All edges cost the same.

E.g. Min Hop count routing. Quickest path to a diploma.

Strategy:

distance to  $s$  is zero.

Next, distances of all neighbors of  $s$  can be set to 1.

Inductively, if a (new) vertex  $v$  can be reached in 1 hop from a  
vertex whose distance is  $j$ , then  $v$ 's distance is  $j+1$ .

Example.

Program:

```
enqueue(s); s.dist = 0;
while queue not empty
  v = dequeue();
  set v.known = true;

  for each w adjacent to v
    if w.dist == infinity {
      w.dist = v.dist + 1
      enqueue (w)
    }
```

Using the same analysis as topological sort, the complexity of this algorithm is  $O(V + E)$ .

## 7. Weighted Shortest Paths. Dijkstra's Algorithm

Each vertex marked as known or unknown. Each vertex keeps a tentative distance  $d_v$ , which turns out to be the "shortest distance" from  $s$  to  $v$  "using only the known vertices" as intermediates.

By keeping track of  $p_v$  (the last vertex to cause change to  $d_v$ ), we can also recover the shortest paths.

The best-known method for weighted graph shortest paths is Dijkstra's, published in 1959. It's a classical greedy scheme: do what seems best at each step. (Greedy methods don't always work, so be careful and "prove correctness".)

At each stage, Dijkstra selects the "unknown" vertex  $v$  with the smallest  $d_v$ , and declares it "known". It then "updates" the values of  $d_w$  for all neighbors of  $v$ .

In unweighted case, we did:  $d_w = d_v + 1$ , if  $d_w = \text{infy}$ .

In Dijkstra's case, we do:  $d_w = d_v + c(v,w)$  if  $d_w > d_v + c(v,w)$

That is, we decide if it's good idea to go reach  $w$  through  $v$ .

## 8. Dijkstra's Algorithm:

```
s.dist = 0
for (;;)
  v = smallest unknown distance vertex
  if (v == not_a_vertex) break;
  v.known = true;

  for each w adjacent to v
    if ( ! w.known )
```

```

        if ( v.dist + c(v,w) < w.dist)
            { decrease (w.dist to v.dist + c(v,w)
              w.path = v;
            }
    }

```

#### 9. Running time.

Depends on data structures. Naive method is  $O(V^2 + E)$ .  
 Scan vertex list to find min each time, for total of  $V^2$ ;  
 Weight updates happen once per edge, so  $O(E)$ .

Can be improved to  $O((V+E) \log V)$  by organizing the distances in a heap.

Selection of  $v$  is a deleteMin operation---  $V$  of them;  
 The update is a decreaseKey operation---  $E$  of them.

#### 10. Graph with negative edge weights.

Dijkstra's algorithm can fail if some edges have neg weight.  
 Problem: even after  $v$  is declared known, it's possible that there is path to  $v$  from some "unknown vertex" using a VERY negative edge that compensates for visiting other positive weight unknown vertices.

One tempting solution is to just scale things up: add a large constant  $\Delta$  to each edge, so all edges become positive. In the end, we can subtract those additions out. Does not work because a cheaper path with MORE edges gets penalized more and won't be found as shortest.

#### 11. Minimum Spanning Trees

A communications company wants to build a network connecting  $N$  sites. You are given a cost matrix:  $c(u,v)$  is the cost to build the link between two sites  $u$  and  $v$ . What is the cheapest way to interconnect all  $N$  sites?

This is the MST problem. (We assume links are bidirectional, meaning  $G$  is undirected. The problem makes sense for directed graphs too, but more complicated.)

The cheapest interconnection graph must be a tree---if a cycle, deleting an edge reduces cost. It connects all the nodes, which is why it is called "spanning".

All algorithms are based on the following greedy idea. Adding an edge to a tree creates a cycle. In the tree is an MST, then we must throw away the costliest edge from the cycle. Two ways to use this greedy idea: Prim and Kruskal.

#### 12. Prim's Algorithm.

Start with a tree consisting of one node; grow it by one node in each step. The step growing the tree by the cheapest edge  $(u,v)$  such that  $u$  is in the tree, and  $v$  is not.

Example.

The algorithm is nearly identical to Dijkstra's. Each vertex is classified as known (already in the tree) or unknown (not yet), and maintains two values:  $d_v$  and  $p_v$ . The first is the minimum cost edge from  $v$  to some node in the tree, and  $p_v$  is that node in the tree.

The rest of the algorithm is the same as Dijkstra, except the update step, where we use  $d_w = \min(d_w, c(v, w))$ .

Running time  $O(V^2)$  naively, and  $O((V + E) \log V)$  with heaps.

13. Kruskal's Algorithm.

Scan edges in ascending order of cost, and accept an edge if it doesn't create a cycle with already chosen edges.

Example.

The algorithm terminates when  $V-1$  edges accepted. How to determine whether to accept  $(u,v)$ . Use Union-Find data structure.

The definition of a set is a "connected component/subtree".

Accept  $(u,v)$  iff  $u$  and  $v$  are in different sets. Then also do the union of those trees.

Kruskal's algorithm takes  $O(E \log E) = O(E \log V)$  time.