

# 1 A $(2 + \varepsilon)$ -factor Approximation Algorithm for 2 Split Vertex Deletion

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## 14 Abstract

15 In the SPLIT VERTEX DELETION (SVD) problem, the input is an  $n$ -vertex undirected graph  $G$  and  
16 a weight function  $w: V(G) \mapsto \mathbb{N}$ , and the objective is to find a minimum weight subset  $S$  of vertices  
17 such that  $G - S$  is a split graph (i.e., there is bipartition of  $V(G - S) = C \uplus I$  such that  $C$  is a  
18 clique and  $I$  is an independent set in  $G - S$ ). This problem is a special case of 5-HITTING SET and  
19 consequently, there is a simple factor 5-approximation algorithm for this. On the negative side, it is  
20 easy to show that the problem does not admit a polynomial time  $(2 - \delta)$ -approximation algorithm,  
21 for any fixed  $\delta > 0$ , unless the Unique Game Conjecture fails.

22 We start by giving a simple quasipolynomial time ( $n^{\mathcal{O}(\log n)}$ ) factor 2-approximation algorithm  
23 for SVD using the notion of *clique-independent set separating collection*. Thus, on the one hand SVD  
24 admits a factor 2-approximation in quasipolynomial time, and on the other hand this approximation  
25 factor cannot be improved assuming UGC. It naturally leads to the following question: Can SVD be  
26 2-approximated in polynomial time? In this work we almost close this gap and prove that for any  
27  $\varepsilon > 0$ , there is a  $n^{\mathcal{O}(\log \frac{1}{\varepsilon})}$ -time  $2(1 + \varepsilon)$ -approximation algorithm.

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## 1 Introduction

The HITTING SET problem encompasses a large number of well studied problems in Computer Science. Here, the input is a family  $\mathcal{F}$  of sets over an  $n$ -element universe  $U$  and a weight function  $w: U \mapsto \mathbb{N}$ , and the objective is to compute a hitting set of minimum weight. A *hitting set* is a subset  $S \subseteq U$  such that for any  $F \in \mathcal{F}$ ,  $F \cap S \neq \emptyset$  and the weight of  $S$  is  $w(S) = \sum_{u \in S} w(u)$ . Consequently, this problem is very hard to approximate: it can not be approximated within a factor  $2^{\log^{1-\delta_c(n)} n}$  in polynomial time, for any constant  $c < 1/2$ , unless SAT can be decided in slightly subexponential time, where  $\delta_c(n) = 1/(\log \log n)$  [12]. A restricted version of this problem, is the  $d$ -HITTING SET problem, where  $d \in \mathbb{N}$  and the cardinality of every set in  $\mathcal{F}$  is at most  $d$ . This problem also generalizes a number of well studied problems, and it admits a simple factor  $d$ -approximation algorithm: Solve the natural LP relaxation and select all elements whose corresponding variable in the LP is set to at least  $1/d$ . Unfortunately, this simple algorithm is likely to be the best possible. That is, assuming Unique Game Conjecture (UGC), there is no  $c$ -factor approximation algorithm for  $d$ -HITTING SET, for any  $c < d$  in the general case [8].

A number of vertex deletion problems on graphs can be considered as special cases of  $d$ -HITTING SET, and it is of great interest to devise factor- $\alpha$  approximation algorithm for them where  $\alpha < d$ , or rule out any such algorithm. For example, in the VERTEX COVER problem, the input is a graph  $G$  and a weight function  $w: V(G) \mapsto \mathbb{N}$ , and the objective is to find a subset of vertices of minimum weight that hits all edges in  $G$ . This is same as 2-HITTING SET, and assuming the Unique Games Conjecture we cannot do better. However, there are other examples of vertex deletion problems on graphs, that are special cases of  $d$ -HITTING SET, for which we can indeed do better. Consider the CLUSTER VERTEX DELETION problem, where the input is a graph  $G$  and a weight function  $w: V(G) \mapsto \mathbb{N}$ , and the objective is to find a minimum weight subset  $S$  of vertices such that  $S$  is a cluster graph. Equivalently,  $S$  hits all induced paths of length 3 in  $G$ . Hence, it is a special case of 3-HITTING SET and admits a simple 3-approximation algorithm. You et al. [14] showed that the unweighted version of CLUSTER VERTEX DELETION admits a  $5/2$  approximation algorithm. Recently, this was improved to factor  $9/4$  by Fiorini et al. [6]. The problem also admits an approximation-preserving reduction from VERTEX COVER and hence there is a lower bound of 2 on the approximation-factor assuming UGC [6]. Fiorini et al. [6] have conjectured that CLUSTER VERTEX DELETION admits a 2-approximation algorithm. Another example which is the TOURNAMENT FEEDBACK VERTEX SET (TFVS) problem, which is equivalent to hitting all directed triangles in a digraph. It is very well studied in the realm of approximation algorithms [4, 1, 11, 10], and very recently a 2-approximation algorithm was designed by Lokshtanov et al. [10], matching the lower-bound under UGC [13]. Similarly, a number of such “implicit”  $d$ -HITTING SET problems are studied in Computer Science, and it is of great interest to settle their approximation complexity.

In this work we study another implicit  $d$ -HITTING SET problem called SPLIT VERTEX DELETION(SVD) (defined below). A subset  $S$  of vertices in a graph  $G$  is a split vertex deletion set if  $G - S$  is a split graph (i.e., there is bipartition of  $V(G - S) = C \uplus I$  such that  $C$  is a clique and  $I$  is an independent set in  $G - S$ ).

SPLIT VERTEX DELETION (SVD)

**Input:** An undirected graph  $G$  and a weight function  $w: V(G) \rightarrow \mathbb{N}$ .

**Output:** A split vertex deletion set  $S \subseteq V(G)$  of  $G$  of the smallest weight (an *optimum* split vertex deletion set of  $G$ ).

A graph  $G$  is a split graph if and only if it does not contain  $C_4, C_5$  and  $2K_2$  as induced

76 subgraphs in  $G$ . This implies that SVD is special case of 5-HITTING SET and hence it admits  
 77 a simple 5-approximation algorithm. Furthermore, it is interesting to note that we can obtain  
 78 a 2-approximation algorithm for SVD in time  $n^{\mathcal{O}(\log n)}$  using the notion of *clique-independent*  
 79 *set separating collection*. For a graph  $G$ , a clique-independent set separating collection is a  
 80 family  $\mathcal{C}$  of vertex subsets of  $V(G)$  such that for a clique  $C$  and an independent set  $I$  in  $G$   
 81 such that  $C \cap I = \emptyset$ , there is subset  $X$  in the collection  $\mathcal{C}$  such that  $C \subseteq X$  and  $I \subseteq V(G) \setminus X$ .  
 82 Thus, if there is a “small” clique-independent set separating collection, then we can enumerate  
 83 such a collection  $\mathcal{C}$  and solve VERTEX COVER of  $\overline{G}[X]$  and  $G - X$  for each  $X \in \mathcal{C}$ . Notice  
 84 that for any  $X \in \mathcal{C}$ , the union of the two solutions of VERTEX COVER instances on  $\overline{G}[X]$  and  
 85  $G - X$  is a solution to SVD. Moreover, the best  $c$ -approximation solutions over all choices  
 86 of  $X$ , is a  $c$ -approximate solution of SVD. It is known that for any  $n$ -vertex graph, there is  
 87 clique-independent set separating collection of size  $n^{\mathcal{O}(\log n)}$  and this can be enumerated in  
 88 time linear in the size of the collection [5]. This along with a 2-approximation algorithm of  
 89 VERTEX COVER leads to a  $n^{\mathcal{O}(\log n)}$ -time 2-approximation algorithm for SVD. There is also a  
 90 simple approximation preserving reduction from VERTEX COVER to SVD, which shows that  
 91 we cannot improve upon factor 2-approximation algorithm, unless UGC fails. The reduction  
 92 is as follows: Given an instance  $(G, w)$  of VERTEX COVER, we add a large complete graph  $H$   
 93 of size  $2|V(G)|$  into  $G$  with weight of each vertex in  $H$  to be  $\max\{w(u) : u \in V(G)\}$ . One  
 94 can easily verify that this is an approximation preserving reduction.

95 Thus, on the one hand SVD admits a 2-approximation in quasipolynomial ( $n^{\mathcal{O}(\log n)}$ )  
 96 time, and on the other hand this approximation factor cannot be improved assuming UGC.  
 97 It naturally leads to the following question: Can SVD be 2-approximated in polynomial time?  
 98 This is precisely the question we address in this paper, and obtain the following result.

99 **► Theorem 1.** *There exists a randomized algorithm that given a graph  $G$ , a weight function*  
 100  *$w$  on  $V(G)$  and  $\varepsilon > 0$ , runs in time  $\mathcal{O}(n^{g(\varepsilon)})$  and outputs  $S \subseteq V(G)$  such that  $G - S$  is a split*  
 101 *graph and  $w(S) \leq 2(1 + \varepsilon)w(OPT)$  with probability at least  $1/2$ , where  $OPT$  is a minimum*  
 102 *weight split vertex deletion set of  $G$ . Here,  $g(\varepsilon) = 6 + 8 \log(80(1 + \frac{12}{\varepsilon})) \cdot \log(\frac{30}{\varepsilon}) / \log(4/3)$ .*

103 **Overview of Theorem 1.** At a very high level the algorithm described in Theorem 1 is  
 104 inspired from the algorithm developed for factor 2-approximation algorithm for TFVS [10].  
 105 In TFVS knowing just one vertex is sufficient to completely split the instance into two  
 106 independent sub-instances and thus leading to a natural divide and conquer scheme. However,  
 107 in our case (SVD) the instances don’t become truly independent before every vertex is  
 108 classified as either *potential clique* or *potential independent set vertex*. To classify all the  
 109 vertices requires several new ideas and insights in the problem. This classification could be  
 110 vaguely viewed as a polynomial time algorithm that quickly navigates through sets in  
 111 clique-independent set separating collection,  $\mathcal{C}$ , and almost reaches a correct partition.

112 Our algorithm in fact finds a  $(2 + \varepsilon)$ -factor approximate solution for a more general  
 113 *annotated* variant of the problem, where the solution must obey certain additional constraints.

ANNOTATED SPLIT VERTEX DELETION (A-SVD)

114 **Input:** An undirected graph  $G$ , a weight function  $w : V(G) \rightarrow \mathbb{N}$ , and a partition of  
 $V(G)$  into three parts  $V(G) = C \uplus I \uplus U$ , where at most two of these parts may be empty.

**Output:** A set  $S^* \subseteq V(G)$  of  $G$  of the smallest weight such that  $G - S^*$  is a split graph  
 with a split partition  $(C^*, I^*)$  where  $C^* \subseteq (C \cup U)$  and  $I^* \subseteq (I \cup U)$  hold.

115 A *feasible solution* to an instance  $(G, w, (C, I, U))$  of ANNOTATED SPLIT VERTEX DELE-  
 116 TION is a split vertex deletion set  $S$  of  $G$  such that the split graph  $G - S$  has a split partition  
 117  $(C', I')$  where no vertex in the specified set  $I$  goes to the split part  $C'$  and no vertex in the  
 118 specified set  $C$  goes to the independent part  $I'$ . Thus, each vertex in the set  $I$  is either

119 deleted as part of  $S$  or ends up in the independent set  $I'$  in graph  $G - S$ , and each vertex in  
 120  $C$  is either deleted or ends up in the clique  $C'$  in  $G - S$ . There are no restrictions on where  
 121 the vertices in the “unconstrained” set  $U$  may go. We call a feasible solution of A-SVD an  
 122 *annotated split vertex deletion set* of the instance  $(G, w, (C, I, U))$ ; the A-SVD problem asks  
 123 for an *optimum* annotated split vertex deletion set of the input instance.

124 First we show that we can, in polynomial time, find 2-factor approximate solutions to A-  
 125 SVD instances which are of the form  $(G, w, (C, I, U = \emptyset))$  ( Lemma 12). Let  $(G, w, (C, I, U))$   
 126 be an instance of A-SVD, let  $OPT$  be an (unknown) optimum solution to  $(G, w, (C, I, U))$ ,  
 127 let  $(C^* \subseteq (C \cup U), I^* \subseteq (I \cup U))$  be a split partition of  $G - OPT$ , and let  $C_U^* = (C^* \cap$   
 128  $U), I_U^* = (I^* \cap U)$ . We show that if  $w(C_U^* \setminus \{c^*\}) \leq \frac{\varepsilon \cdot w(OPT)}{2}$  holds for some  $c^* \in C_U^*$  or  
 129  $w(I_U^* \setminus \{i^*\}) \leq \frac{\varepsilon \cdot w(OPT)}{2}$  holds for some  $i^* \in I_U^*$  then we can, in polynomial time, find a  $(2 + \varepsilon)$ -  
 130 factor approximate solution to  $(G, w, (C, I, U))$  (Lemma 16, Lemma 18). These constitute  
 131 the base cases of our algorithm. It is not difficult to see that moving a vertex  $x \in C_U^*$  to the  
 132 set  $C$  and moving a vertex  $y \in I_U^*$  to the set  $I$  are approximation-preserving transformations.  
 133 At a high level, our algorithm starts with an arbitrary instance  $(G, w, (C, I, U))$  of A-SVD,  
 134 correctly identifies—with a constant probability of success—a good fraction of vertices which  
 135 belong to the sets  $C_U^*$  or  $I_U^*$ , and moves these vertices to the sets  $C$  or  $I$ , respectively. It  
 136 then recurses on the resulting instance, till it reaches one of the base cases described above.

137 We now briefly and informally outline how our algorithm identifies vertices as belonging  
 138 to  $C_U^*$  or  $I_U^*$ . Consider the bipartite subgraph  $H$  of  $G$  induced by the pair  $(C_U^*, I_U^*)$ . Define  
 139 the weight of an edge to be the product of the weights of its two end-points, and suppose  
 140 the total weight of edges in  $H$  is at least half the maximum possible weight. Then each of a  
 141 constant fraction (by weight) of the vertices in  $I_U^*$  has a constant fraction (by weight) of  $C_U^*$   
 142 in its neighbourhood (Lemma 4). If we can identify one of these special vertices of  $I_U^*$  then  
 143 we can safely move all its neighbours in  $U$  to the set  $C$  while reducing the weight of  $C_U^*$  by a  
 144 constant fraction. The catch, of course, is that we have no idea what the set  $I_U^*$  is.

145 To get around this, we find an approximate solution  $X$  of the SPLIT VERTEX DELETION  
 146 instance defined by the induced subgraph  $G[U]$ . Let  $(C_X, I_X)$  be a split partition of  $G - U$ .  
 147 We show that we can, in polynomial time and with constant probability, sample a vertex  
 148 from the set  $X \cup (I_X \setminus C_U^*)$  (Lemma 26). We further show that the weight of  $X \cup (I_X \setminus C_U^*)$   
 149 is at most a *constant multiple* of the weight of  $I_U^*$  (Lemma 22). So if  $I_U^* \subseteq (X \cup (I_X \setminus C_U^*))$   
 150 holds then we can, with good probability, sample a vertex from the set  $I_U^*$ . The hard part  
 151 is when this condition does not hold. We show using a series of lemmas that we can, even  
 152 in this case, sample a vertex from one of the two sets  $C_U^*, I_U^*$  with constant probability. A  
 153 symmetric analysis applies when the total weight of *non-edges* across  $(C_U^*, I_U^*)$  is at least half  
 154 the maximum possible weight.

155 **Organization of the rest of the paper.** In section 2 we collect together various preliminary  
 156 results. We describe our algorithm in section 3; in subsection 3.1 we describe how to deal  
 157 with instances whose vertex weights are bounded by some constant-degree polynomial in  
 158 the number of vertices, and in subsection 3.2 we show how to extend this to instances with  
 159 arbitrary weights. We conclude in section 4.

## 160 2 Preliminaries

161 We use  $\uplus$  to denote the disjoint union of sets. Moreover, when we write  $X \uplus Y$  we implicitly  
 162 assert that the sets  $X$  and  $Y$  are disjoint. We use  $V(G)$  (respectively,  $E(G)$ ) to denote the  
 163 vertex set (respectively, the edge set) of graph  $G$ . For a subset  $S \subseteq V(G)$  of vertices of  $G$  we  
 164 use  $G[S]$  to denote the subgraph of  $G$  *induced* by  $S$  and  $G - S$  to denote the subgraph of

165  $G$  obtained by deleting all vertices in  $S$  (and their incident edges) from  $G$ . A *non-edge* in  
 166 a graph  $G$  is any 2-subset  $\{x, y\} \subseteq V(G)$  of vertices such that  $xy$  is not an edge in  $G$ . For  
 167 the sake of brevity we use the notation  $xy$  to denote a non-edge  $\{x, y\}$ . For a finite set  $U$ ,  
 168 weight function  $w : U \rightarrow \mathbb{N}$ , and subset  $X \subseteq U$  we use  $w_X$  to denote the weight function  $w$   
 169 *restricted to the subset  $X$* , and  $w(X)$  to denote the sum  $\sum_{x \in X} w(x)$  of weights of all the  
 170 elements in  $X$ . For the sake of brevity we drop the subscript  $X$  from the expression  $w_X$   
 171 when there is no risk of ambiguity.

172 The operation of *sampling (or picking) proportionately at random* from  $U$  according to  
 173 the weight function  $w$  chooses one element from  $U$ , where each element  $x \in U$  is chosen  
 174 with probability  $w(x)/w(U)$ . We use  $\overline{G}$  to denote the *complement* of a graph  $G$ , defined as  
 175 follows: The vertex set of  $\overline{G}$  is  $V(G)$ . For every two vertices  $\{u, v\} \subseteq V(G)$  there is an edge  
 176  $uv$  in  $\overline{G}$  if and only if  $uv$  is *not* an edge in graph  $G$ . A *vertex cover* of graph  $G$  is any subset  
 177  $S \subseteq V(G)$  of its vertex set such that the graph  $G - S$  has no edges. A *clique* in graph  $G$  is  
 178 any non-empty subset  $S \subseteq V(G)$  of its vertex set such that (i)  $|S| = 1$ , or (ii) if  $|S| \geq 2$  then  
 179 for every two vertices  $u, v$  in  $S$ , the edge  $uv$  is present in graph  $G$ .

180  $\triangleright$  **Observation 2.** For an undirected graph  $G$  and any  $S \subseteq V(G)$ , the vertex set  $V(G) \setminus S$  is  
 181 a clique in  $G$  if and only if  $S$  is a vertex cover of the complement graph  $\overline{G}$ .

182 For a graph  $G$  and two disjoint vertex subsets  $X, Y \subseteq V(G)$ ;  $X \cap Y = \emptyset$  the *bipartite*  
 183 *subgraph of  $G$  induced by the pair  $(X, Y)$*  has vertex set  $X \cup Y$  and edge set  $\{xy \mid x \in X, y \in$   
 184  $Y, xy \in E(G)\}$ . Note that the bipartite subgraph of  $G$  induced by the pair  $(X, Y)$  is not  
 185 necessarily identical to the subgraph  $G[X \cup Y]$  induced by the subset  $X \cup Y$ , and is defined  
 186 even if the induced subgraph  $G[X \cup Y]$  is not bipartite. For a bipartite graph  $H$  with vertex  
 187 bipartition  $V(H) = V_1 \uplus V_2$  we define  $\widehat{E(H)} = \{v_1v_2 \mid v_1 \in V_1, v_2 \in V_2, v_1v_2 \notin E\}$  to be  
 188 the set of all **non-edges** of  $H$  with one end in  $V_1$  and the other end in  $V_2$ . Further, for a  
 189 weight function  $w : V(H) \rightarrow \mathbb{N}$  defined on the vertex set of a bipartite graph  $H$  we define  
 190 the weight of its edge set to be  $w(E(H)) = \sum_{v_1v_2 \in E(H)} (w(v_1) \cdot w(v_2))$  and the weight of its  
 191 set of non-edges to be  $w(\widehat{E(H)}) = \sum_{v_1v_2 \in \widehat{E(H)}} (w(v_1) \cdot w(v_2))$ .

192  $\blacktriangleright$  **Definition 3.** Let  $G$  be an undirected graph and  $w : V(G) \rightarrow \mathbb{N}$  a weight function. Let  
 193  $X, Y$  be two disjoint vertex subsets of  $G$  and let  $H$  be the bipartite subgraph of  $G$  induced by  
 194 the pair  $(X, Y)$ . Let  $w(E(H))$  and  $w(\widehat{E(H)})$  be defined as above. We say that  $(X, Y)$  is a  
 195 heavy pair if  $w(E(H)) \geq \frac{w(X) \cdot w(Y)}{2}$  holds, and is a light pair if  $w(\widehat{E(H)}) \geq \frac{w(X) \cdot w(Y)}{2}$  holds.

196  $\blacktriangleright$  **Lemma 4.** Let  $H = (V, E)$  be a bipartite graph, let  $V = V_1 \uplus V_2$  be a bipartition of  $H$ , and  
 197 let  $w : V(H) \rightarrow \mathbb{N}$  be a weight function. Then  $(V_1, V_2)$  is either a heavy pair or a light pair.  
 198 Moreover,

- 199 1. Suppose  $(V_1, V_2)$  is a heavy pair, and let  $X = \{x \in V_1 \mid w(N(x)) \geq \frac{w(V_2)}{4}\}$  be the set of  
 200 all vertices  $x$  in the set  $V_1$  such that the total weight of the neighbourhood of  $x$  in the set  
 201  $V_2$  is at least one-fourth the total weight of the set  $V_2$ . Then  $w(X) > \frac{w(V_1)}{4}$ .
- 202 2. Suppose  $(V_1, V_2)$  is a light pair, and let  $Y = \{y \in V_1 \mid w(V_2 \setminus N(y)) \geq \frac{w(V_2)}{4}\}$  be the set  
 203 of all vertices  $y$  in the set  $V_1$  such that the total weight of the non-neighbours of  $y$  in the  
 204 set  $V_2$  is at least one-fourth the total weight of the set  $V_2$ . Then  $w(Y) > \frac{w(V_1)}{4}$ .

205 **Proof.** Observe that (i) every pair of vertices  $(v_1, v_2)$  in the set  $V_1 \times V_2$  is either an edge or a  
 206 non-edge (and not both) in the bipartite graph  $H$ , and (ii) every edge or non-edge with one  
 207 end in the set  $V_1$  and the other end in the set  $V_2$  is an element of  $V_1 \times V_2$ . As a consequence

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208 we get that

$$\begin{aligned}
 209 \quad w(E(H)) + w(\widehat{E(H)}) &= \sum_{v_1 v_2 \in E(H)} (w(v_1) \cdot w(v_2)) + \sum_{v_1 v_2 \in \widehat{E(H)}} (w(v_1) \cdot w(v_2)) \\
 210 &= \sum_{(v_1, v_2) \in V_1 \times V_2} (w(v_1) \cdot w(v_2)) \\
 211 &= \left( \sum_{v_1 \in V_1} w(v_1) \right) \cdot \left( \sum_{v_2 \in V_2} w(v_2) \right) \\
 212 \quad &= w(V_1) \cdot w(V_2). \\
 213
 \end{aligned}$$

214 It follows that the two terms  $\{w(E(H)), w(\widehat{E(H)})\}$  cannot simultaneously be smaller than one  
 215 half of  $w(V_1) \cdot w(V_2)$ . Thus at least one of  $\{w(E(H)) \geq \frac{w(V_1) \cdot w(V_2)}{2}, w(\widehat{E(H)}) \geq \frac{w(V_1) \cdot w(V_2)}{2}\}$   
 216 must hold.

217 We prove each of the two cases in turn.

218 **1.** By assumption the inequality  $w(N(v_1)) = \sum_{v_1 v_2 \in E(H)} w(v_2) < \frac{w(V_2)}{4}$  holds for each  
 219 vertex  $v_1 \in (V_1 \setminus X)$ . If possible, let it be the case that  $w(X) \leq \frac{w(V_1)}{4}$  holds. Then

$$\begin{aligned}
 220 \quad w(E(H)) &= \sum_{v_1 v_2 \in E(H)} (w(v_1) \cdot w(v_2)) = \sum_{v_1 \in V_1} (w(v_1) \cdot \sum_{v_1 v_2 \in E(H)} w(v_2)) \\
 221 &= \sum_{v_1 \in X} (w(v_1) \cdot \sum_{v_1 v_2 \in E(H)} w(v_2)) + \sum_{v_1 \in (V_1 \setminus X)} (w(v_1) \cdot \sum_{v_1 v_2 \in E(H)} w(v_2)) \\
 222 &< \sum_{v_1 \in X} (w(v_1) \cdot w(V_2)) + \sum_{v_1 \in (V_1 \setminus X)} (w(v_1) \cdot \frac{w(V_2)}{4}) \\
 223 &= w(X) \cdot w(V_2) + w(V_1 \setminus X) \cdot \frac{w(V_2)}{4} \\
 224 &\leq \frac{w(V_1)}{4} \cdot w(V_2) + w(V_1) \cdot \frac{w(V_2)}{4} = \frac{w(V_1) \cdot w(V_2)}{2}, \\
 225
 \end{aligned}$$

226 a contradiction.

227 **2.** By assumption the inequality  $w(V_2 \setminus N(v_1)) = \sum_{v_1 v_2 \in \widehat{E(H)}} (w(v_2)) < \frac{w(V_2)}{4}$  holds for each  
 228 vertex  $v_1 \in (V_1 \setminus Y)$ . If possible, let it be the case that  $w(Y) \leq \frac{w(V_1)}{4}$  holds. Then

$$\begin{aligned}
 229 \quad w(\widehat{E(H)}) &= \sum_{v_1 v_2 \in \widehat{E(H)}} (w(v_1) \cdot w(v_2)) = \sum_{v_1 \in V_1} (w(v_1) \cdot \sum_{v_1 v_2 \in \widehat{E(H)}} w(v_2)) \\
 230 &= \sum_{v_1 \in Y} (w(v_1) \cdot \sum_{v_1 v_2 \in \widehat{E(H)}} w(v_2)) + \sum_{v_1 \in (V_1 \setminus Y)} (w(v_1) \cdot \sum_{v_1 v_2 \in \widehat{E(H)}} w(v_2)) \\
 231 &< \sum_{v_1 \in Y} (w(v_1) \cdot w(V_2)) + \sum_{v_1 \in (V_1 \setminus Y)} (w(v_1) \cdot \frac{w(V_2)}{4}) \\
 232 &= w(Y) \cdot w(V_2) + w(V_1 \setminus Y) \cdot \frac{w(V_2)}{4} \\
 233 &\leq \frac{w(V_1)}{4} \cdot w(V_2) + w(V_1) \cdot \frac{w(V_2)}{4} = \frac{w(V_1) \cdot w(V_2)}{2}, \\
 234
 \end{aligned}$$

235 a contradiction. ◀

236 For a graph  $G$  given together with a weight function  $w : V(G) \rightarrow \mathbb{N}$ , an *optimum vertex*  
 237 *cover* of  $G$  is any vertex cover of  $G$  with the least total weight.

Weighted Vertex Cover (wVC)

238 **Input:** An undirected graph  $G$  and a weight function  $w : V(G) \rightarrow \mathbb{N}$ .

**Output:** An optimum vertex cover  $S \subseteq V(G)$  of  $G$

239 **► Theorem 5** ([2]). *There is an algorithm which, given an instance  $(G, w)$  of Weighted*  
 240 *Vertex Cover as input, runs in  $\mathcal{O}(|E(G)|)$  time and outputs a vertex cover  $S$  of  $G$  whose*  
 241 *weight is at most twice the weight of an optimum vertex cover of  $G$ .*

### 242 **3 The Algorithm**

243 An undirected graph  $G$  is a *split graph* if its vertex set  $V(G)$  can be partitioned into two  
 244 parts,  $V(G) = C \uplus I$ , such that  $C$  is a clique and  $I$  is an independent set in  $G$ . Such a  
 245 partition is called a *split partition* of graph  $G$ . We use  $(C, I)$  to denote such a split partition.  
 246 A *split vertex deletion set* of a graph  $G$  is any subset  $S \subseteq V(G)$  such that the graph  $G - S$   
 247 obtained by deleting the vertices of  $S$  from  $G$ , is a split graph. Note that any *vertex cover* of  
 248  $G$  which leaves out at least two vertices of  $G$  is a split vertex deletion set of  $G$ . This implies  
 249 that every graph with at least two vertices has a (possibly empty) split vertex deletion set.  
 250 In the SPLIT VERTEX DELETION (SVD) problem the input consists of an undirected graph  
 251  $G$  and a weight function  $w : V(G) \rightarrow \mathbb{N}$  and the objective is to find a split vertex deletion set  
 252 of  $G$  of the smallest weight.

SPLIT VERTEX DELETION (SVD)

253 **Input:** An undirected graph  $G$  and a weight function  $w : V(G) \rightarrow \mathbb{N}$ .

**Output:** A split vertex deletion set  $S \subseteq V(G)$  of  $G$  of the smallest weight (an *optimum*  
 split vertex deletion set of  $G$ ).

254 Since deleting vertices conserves the property of being a split graph one can safely add  
 255 zero-weight vertices to any split vertex deletion set. So we assume without loss of generality  
 256 that  $w(v) \geq 1$  holds for every  $v \in V(G)$ . SPLIT VERTEX DELETION is NP-complete by the  
 257 meta-result of Lewis and Yannakakis [9], and has a simple 5-factor approximation algorithm  
 258 based on the Local Ratio Technique.

259 **► Theorem 6.** *There is a deterministic algorithm which, given an instance  $(G, w)$  of SVD,*  
 260 *runs in  $\mathcal{O}(|V(G)|^6)$  time and outputs a split vertex deletion set  $S \subseteq V(G)$  of  $G$  such that*  
 261  *$w(S) \leq 5 \cdot w(OPT)$  where  $OPT$  is an optimum split vertex deletion set of  $G$ .*

262 **Proof.** A graph is a split graph if and only if does not contain any of the three graphs  
 263  $\{2K_2, C_4, C_5\}$  as induced subgraphs [7]. Since the maximum order of these graphs is five and  
 264 we can find each in  $\mathcal{O}(|V(G)|^5)$  time, a direct application of the Local Ratio Technique [3]  
 265 gives a 5-factor approximate solution in  $\mathcal{O}(|V(G)|^6)$  time. ◀

266 We describe a randomized polynomial-time algorithm which outputs a  $(2 + \varepsilon)$ -factor  
 267 approximate solution for this problem for any fixed  $\varepsilon > 0$ .

268 Note that in an instance  $(G, w, (C, I, U))$  of ANNOTATED SPLIT VERTEX DELETION the  
 269 set  $C$  is not necessarily a clique, nor is  $I$  necessarily an independent set in  $G$ . But we have  
 270 the following.

271 **▷ Observation 7.** Let  $S$  be a feasible solution of an A-SVD instance  $(G, w, (C, I, U))$  and  
 272 let  $(C', I')$  be a split partition of  $G - S$  where  $C' \subseteq (C \cup U)$  and  $I' \subseteq (I \cup U)$  hold. Then  
 273  $C \setminus S \subseteq C'$  and  $I \setminus S \subseteq I'$  hold. Hence  $C \setminus S$  is a clique in  $G$  and  $I \setminus S$  is an independent set  
 274 in  $G$ .

275 From Observations 2 and 7 we get

276 ► **Corollary 8.** *Let  $S$  be a feasible solution of an A-SVD instance  $(G, w, (C, I, U))$ . Let  $VC_C$   
 277 be an optimum solution of the wVC instance  $(\overline{G[C]}, w)$  and let  $VC_I$  be an optimum solution  
 278 of the wVC instance  $(G[I], w)$ . Then  $w(VC_C) \leq w(S \cap C)$  and  $w(VC_I) \leq w(S \cap I)$  hold.*

279 A-SVD is clearly a generalization of SVD: Given an instance  $(G, w)$  of SVD, construct the  
 280 instance  $(G, w, (C = \emptyset, I = \emptyset, U = V(G)))$  of A-SVD. Every split vertex deletion set of graph  
 281  $G$  is a feasible solution of the A-SVD instance, and every annotated split vertex deletion  
 282 set of  $(G, w, (\emptyset, \emptyset, V(G)))$  is a split vertex deletion set of graph  $G$ . It follows that for any  
 283 constant  $c$ , a  $c$ -factor approximate solution to the A-SVD instance is a  $c$ -factor approximate  
 284 solution to the SVD instance as well.

285 We can find feasible solutions to an A-SVD instance  $(G, w, (C, I, U))$  by computing vertex  
 286 covers for certain pairs of subgraphs derived from  $G$ .

287 ▷ **Observation 9.** Let  $(G, w, (C, I, U))$  be an instance of A-SVD.

- 288 1. Let  $V_1$  be a vertex cover of the graph  $G[I \uplus U]$  and let  $V_2$  be a vertex cover of the graph  
 289  $\overline{G[C]}$ . Then  $V_1 \uplus V_2$  is a feasible solution to  $(G, w, (C, I, U))$ .
- 290 2. Let  $V_3$  be a vertex cover of the graph  $G[I]$  and let  $V_4$  be a vertex cover of the graph  
 291  $\overline{G[C \uplus U]}$ . Then  $V_3 \uplus V_4$  is a feasible solution to  $(G, w, (C, I, U))$ .

292 **Proof.** We prove each part in turn:

- 293 1. Let  $S = V_1 \uplus V_2, I' = ((I \uplus U) \setminus V_1), C' = (C \setminus V_2)$ . Then  $I' \subseteq (I \cup U)$  and  $C' \subseteq (C \cup U)$   
 294 hold. Since  $V_1$  is a vertex cover of the graph  $G[I \uplus U]$  we get that  $I'$  is an independent  
 295 set in  $G$ . Since  $V_2$  is a vertex cover of the graph  $\overline{G[C]}$  we get that  $C'$  is a clique in  $G$ .  
 296 Now  $V(G) \setminus S = (I \uplus C \uplus U) \setminus (V_1 \uplus V_2) = ((I \uplus U) \setminus V_1) \uplus (C \setminus V_2) = I' \uplus C'$ . Hence  
 297  $S = V_1 \uplus V_2$  is a feasible solution to  $(G, w, (C, I, U))$ .
- 298 2. Let  $S = V_3 \uplus V_4, I' = (I \setminus V_3), C' = ((C \uplus U) \setminus V_4)$ . Then  $I' \subseteq (I \cup U)$  and  $C' \subseteq (C \cup U)$   
 299 hold. Since  $V_3$  is a vertex cover of the graph  $G[I]$  we get that  $I'$  is an independent set  
 300 in  $G$ . Since  $V_4$  is a vertex cover of the graph  $\overline{G[C \uplus U]}$  we get that  $C'$  is a clique in  $G$ .  
 301 Now  $V(G) \setminus S = (I \uplus C \uplus U) \setminus (V_3 \uplus V_4) = (I \setminus V_3) \uplus ((C \uplus U) \setminus V_4) = I' \uplus C'$ . Hence  
 302  $S = V_3 \uplus V_4$  is a feasible solution to  $(G, w, (C, I, U))$ . ◀

303 ▷ **Observation 10.** Let  $(G, w, (C, I, U))$  be an instance of A-SVD and let  $u \in U$ .

- 304 1. Let  $V_1$  be a vertex cover of the graph  $G[I \uplus (U \setminus \{u\})]$  and let  $V_2$  be a vertex cover of the  
 305 graph  $\overline{G[C \cup \{u\}]}$ . Then  $V_1 \uplus V_2$  is a feasible solution to  $(G, w, (C, I, U))$ .
- 306 2. Let  $V_3$  be a vertex cover of the graph  $G[I \cup \{u\}]$  and let  $V_4$  be a vertex cover of the graph  
 307  $\overline{G[C \uplus (U \setminus \{u\})]}$ . Then  $V_3 \uplus V_4$  is a feasible solution to  $(G, w, (C, I, U))$ .

308 **Proof.** We prove each part in turn:

- 309 1. Let  $S = V_1 \uplus V_2, I' = ((I \uplus (U \setminus \{u\})) \setminus V_1), C' = ((C \cup \{u\}) \setminus V_2)$ . Then  $I' \subseteq (I \cup U)$   
 310 and  $C' \subseteq (C \cup U)$  hold. Since  $V_1$  is a vertex cover of the graph  $G[I \uplus (U \setminus \{u\})]$   
 311 we get that  $I'$  is an independent set in  $G$ . Since  $V_2$  is a vertex cover of the graph  
 312  $\overline{G[C \cup \{u\}]}$  we get that  $C'$  is a clique in  $G$ . Now  $V(G) \setminus S = (I \uplus C \uplus U) \setminus (V_1 \uplus V_2) =$   
 313  $((I \uplus (U \setminus \{u\})) \setminus V_1) \uplus ((C \cup \{u\}) \setminus V_2) = I' \uplus C'$ . Hence  $S = V_1 \uplus V_2$  is a feasible solution  
 314 to  $(G, w, (C, I, U))$ .
- 315 2. Let  $S = V_3 \uplus V_4, I' = ((I \cup \{u\}) \setminus V_3), C' = ((C \uplus (U \setminus \{u\})) \setminus V_4)$ . Then  $I' \subseteq (I \cup U)$  and  
 316  $C' \subseteq (C \cup U)$  hold. Since  $V_3$  is a vertex cover of the graph  $G[I \cup \{u\}]$  we get that  $I'$  is  
 317 an independent set in  $G$ . Since  $V_4$  is a vertex cover of the graph  $\overline{G[C \uplus (U \setminus \{u\})]}$  we get  
 318 that  $C'$  is a clique in  $G$ . Now  $V(G) \setminus S = (I \uplus C \uplus U) \setminus (V_3 \uplus V_4) = ((I \cup \{u\}) \setminus V_3) \uplus$   
 319  $((C \uplus (U \setminus \{u\})) \setminus V_4) = I' \uplus C'$ . Hence  $S = V_3 \uplus V_4$  is a feasible solution to  $(G, w, (C, I, U))$ . ◀



320 Observation 9 has some interesting consequences. For instance, it implies that when  
 321 the “unconstrained” set in an A-SVD instance is *empty*, an optimum solution to the A-SVD  
 322 instance corresponds to optimum solutions of two **Weighted Vertex Cover** instances derived  
 323 from the A-SVD instance in a natural fashion.

324 ► **Lemma 11.** *Let  $S^*$  be an optimum solution to an A-SVD instance  $(G, w, (C, I, U = \emptyset))$ .  
 325 Then the set  $(S^* \cap I)$  is an optimum solution to the wVC instance  $(G[I], w)$ , and the set  
 326  $(S^* \cap C)$  is an optimum solution to the wVC instance  $(\overline{G[C]}, w)$ .*

327 **Proof.** Since  $S^*$  is a solution of the A-SVD instance  $(G, w, (C, I, U = \emptyset))$ , we get that the  
 328 vertex set  $V(G) \setminus S^* = (C \uplus I) \setminus S^* = (C \setminus S^*) \uplus (I \setminus S^*)$  can be partitioned into a clique  
 329  $C^* \subseteq C$  and an independent set  $I^* \subseteq I$ . Since  $U$  is the empty set we get that  $I^* = I \setminus S^*$   
 330 and  $C^* = C \setminus S^*$  hold. These in turn imply that  $S^* \cap I$  is a vertex cover of the graph  $G[I]$ ,  
 331 and that  $S^* \cap C$  is a vertex cover of the graph  $\overline{G[C]}$ .

332 Suppose there exists a vertex cover  $S' \subseteq I$  of the graph  $G[I]$  with  $w(S') < w(S^* \cap I)$ . Since  
 333 the set  $S' \subseteq I$  is a vertex cover of the graph  $G[I]$  and the set  $(S^* \cap C) \subseteq C$  is a vertex cover of  
 334 the graph  $\overline{G[C]}$  we get—Observation 9—that the set  $\hat{S} = (S' \uplus (S^* \cap C))$  is a feasible solution to  
 335 the instance  $(G, w, (C, I, \emptyset))$ . Now  $w(\hat{S}) = w(S') + w(S^* \cap C) < w(S^* \cap I) + w(S^* \cap C) = w(S^*)$ ,  
 336 and so  $\hat{S}$  is a feasible solution with weight less than the weight of an optimum solution, a  
 337 contradiction. It follows that  $S^* \cap I$  is an optimum vertex cover of the graph  $G[I]$  with the  
 338 weight function  $w$ .

339 A symmetric argument shows that  $S^* \cap C$  is an optimum vertex cover of the graph  $\overline{G[C]}$ .  
 340 Indeed, suppose  $S' \subseteq C$  is a vertex cover of  $\overline{G[C]}$  with  $w(S') < w(S^* \cap C)$ . Since the set  
 341  $(S^* \cap I) \subseteq I$  is a vertex cover of the graph  $G[I]$  and the set  $S' \subseteq C$  is a vertex cover of the  
 342 graph  $\overline{G[C]}$  we get—Observation 9—that the set  $\hat{S} = ((S^* \cap I) \uplus S')$  is a feasible solution to the  
 343 instance  $(G, w, (C, I, \emptyset))$ . Now  $w(\hat{S}) = w(S^* \cap I) + w(S') < w(S^* \cap I) + w(S^* \cap C) = w(S^*)$ ,  
 344 and so  $\hat{S}$  is a feasible solution with weight less than the weight of an optimum solution, a  
 345 contradiction. It follows that  $S^* \cap C$  is an optimum vertex cover of the graph  $\overline{G[C]}$  with the  
 346 weight function  $w$ . ◀

347 This in turn implies that given an A-SVD instance in which the unconstrained set  $U$  is  
 348 empty, we can find a 2-factor approximate solution to the instance in polynomial time.

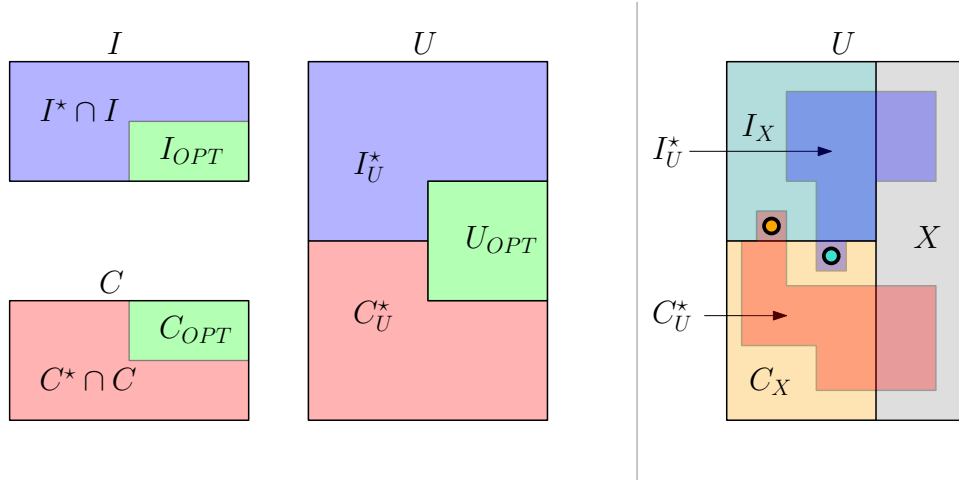
349 ► **Lemma 12.** *There is a deterministic algorithm which finds 2-factor approximate solutions  
 350 to A-SVD instances which are of the form  $(G, w, (C, I, U = \emptyset))$ , in  $\mathcal{O}(|E(G)|)$  time.*

351 **Proof.** Let  $(G, w, (C, I, U = \emptyset))$  be an instance of A-SVD. Note that  $V(G) = C \uplus I$ . Recall  
 352 that  $\overline{G[C]}$  denotes the complement of the graph  $G[C]$ , and that we use  $w_I, w_C$  to denote  
 353 the restrictions of the weight function  $w$  to the vertex sets  $I, C$ , respectively. We drop the  
 354 subscripts when there is no risk of ambiguity.

355 Given the input  $(G, w, (C, I, U = \emptyset))$  the algorithm computes a 2-factor approximate  
 356 solution  $S_I$  to the wVC problem on the graph  $G[I]$  with the weight function  $w_I$ , and a 2-factor  
 357 approximate solution  $S_C$  to the wVC problem on the graph  $\overline{G[C]}$  with the weight function  
 358  $w_C$ . It then returns the set  $\hat{S} = S_I \uplus S_C$  as a solution to the instance  $(G, w, (C, I, U = \emptyset))$ .

359 From Theorem 5 we get that this algorithm runs in  $\mathcal{O}(|E(G)|)$  time. We show that it  
 360 returns a 2-factor approximate solution. Since the set  $S_I$  is a vertex cover of the graph  $G[I]$   
 361 and the set  $S_C$  is a vertex cover of the graph  $\overline{G[C]}$  we get—Observation 9—that the set  
 362  $\hat{S} = S_I \uplus S_C$  is a feasible solution to the instance  $(G, w, (C, I, \emptyset))$ . Let  $S^*$  be an optimum  
 363 solution to the instance  $(G, w, (C, I, U = \emptyset))$ . Then we have—Lemma 11—that  $S^* \cap I$  is  
 364 an optimum solution to the wVC problem on the graph  $G[I]$  with the weight function  $w_I$ ,  
 365 and that  $S^* \cap C$  is an optimum solution to the wVC problem on the graph  $\overline{G[C]}$  with the

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■ **Figure 1** Illustration of Definition 13

weight function  $w_C$ . So we get that  $w(S_I) \leq 2w(S^* \cap I)$  and that  $w(S_C) \leq 2w(S^* \cap C)$ .  
 Therefore  $w(\hat{S}) = w(S_I) + w(S_C) \leq 2w(S^* \cap I) + 2w(S^* \cap C) = 2w(S^*)$ , and so  $\hat{S}$  is a  
 2-factor approximate solution to the A-SVD instance  $(G, w, (C, I, U = \emptyset))$ . ◀

This idea generalizes as follows. Let  $OPT$  be an optimum solution to an A-SVD instance  
 $(G, w, (C, I, U))$ . Suppose the split graph  $G - OPT$  has a split partition  $(C^*, I^*)$  such that  
 vertices from the unconstrained set  $U$  contribute a small weight to either the clique  $C^*$  or  
 the independent set  $I^*$ . Then a variant of the algorithm in the proof of Lemma 12 yields a  
 small-factor approximate solution to the instance, in polynomial time. We state this formally  
 in Lemma 16 below, for which we need some notation (see Figure 1).

► **Definition 13.** Let  $(G, w, (C, I, U))$  be an instance of A-SVD, and let  $\varepsilon \geq 0$  be a constant.  
 Let  $OPT \subseteq V(G)$  be an optimum solution of  $(G, w, (C, I, U))$  and let  $(C^*, I^*)$  be a split  
 partition of the split graph  $G^* = (G - OPT)$  such that  $C^* \subseteq (C \cup U)$  and  $I^* \subseteq (I \cup U)$   
 hold. Let  $C_U^* = (C^* \cap U)$  be the set of vertices from the unconstrained set  $U$  which become  
 part of the clique  $C^*$  and let  $I_U^* = (I^* \cap U)$  be the set of vertices from  $U$  which become  
 part of the independent set  $I^*$  in  $G^*$ . Let  $U_{OPT} = (U \cap OPT)$ ,  $C_{OPT} = (C \cap OPT)$  and  
 $I_{OPT} = (I \cap OPT)$ .

Further, let  $X$  be a 5-factor approximate solution of the SPLIT VERTEX DELETION  
 instance  $(G[U], w_U)$  defined by the induced subgraph  $G[U]$ , and let  $(C_X, I_X)$  be a split  
 partition of the split graph  $G[U] - X$ .

► **Remark 14.** Given an instance  $(G, w, (C, I, U))$  of A-SVD we can, using Theorem 6, compute  
 such a set  $X$  and partition  $(C_X, I_X)$  in polynomial time.

▷ **Observation 15.** Let  $(G, w, (C, I, U))$ ,  $X, I_X, C_X, I_U^*, C_U^*$  be as in Definition 13. Then both  
 $|I_U^* \setminus (X \cup (I_X \setminus C_U^*))| \leq 1$  and  $|C_U^* \setminus (X \cup (C_X \setminus I_U^*))| \leq 1$  hold.

**Proof.** Since  $I_U^* \cap C_U^* = \emptyset$  holds we get that  $I_U^* \setminus (X \cup (I_X \setminus C_U^*)) = I_U^* \setminus (X \cup I_X) = I_U^* \cap C_X$ .  
 And since  $I_U^*$  is an independent set and  $C_X$  is a clique we get that  $|I_U^* \cap C_X| \leq 1$  holds.

Similarly, since  $C_U^* \cap I_U^* = \emptyset$  holds we get that  $C_U^* \setminus (X \cup (C_X \setminus I_U^*)) = C_U^* \setminus (X \cup C_X) = C_U^* \cap I_X$ .  
 And since  $C_U^*$  is a clique and  $I_X$  is an independent set we get that  $|C_U^* \cap I_X| \leq 1$   
 holds. ◀

394 ▶ **Lemma 16.** Let  $(G, w, (C, I, U)), \varepsilon, OPT, C_U^*, I_U^*$  be as in Definition 13. Let  $S_1$  be a 2-  
 395 factor approximate solution for the wVC instance  $(G[I \cup U], w)$  and  $S_2$  a 2-factor approximate  
 396 solution for the wVC instance  $(\overline{G[C]}, w)$ . Let  $S_{12} = (S_1 \cup S_2)$ . Let  $S_3$  be a 2-factor approximate  
 397 solution for the wVC instance  $(\overline{G[C \cup U]}, w)$  and  $S_4$  a 2-factor approximate solution for the  
 398 wVC instance  $(G[I], w)$ . Let  $S_{34} = (S_3 \cup S_4)$ . Then the sets  $S_{12}$  and  $S_{34}$  can be computed in  
 399  $\mathcal{O}(|E(G)|)$  time. Further,

- 400 1. If  $w(C_U^*) \leq \frac{\varepsilon \cdot w(OPT)}{2}$  holds then the set  $S_{12}$  is a  $(2 + \varepsilon)$ -factor approximate solution for  
 401 the ANNOTATED SPLIT VERTEX DELETION instance  $(G, w, (C, I, U))$ .
- 402 2. If  $w(I_U^*) \leq \frac{\varepsilon \cdot w(OPT)}{2}$  holds then the set  $S_{34}$  is a  $(2 + \varepsilon)$ -factor approximate solution for  
 403 the ANNOTATED SPLIT VERTEX DELETION instance  $(G, w, (C, I, U))$ .

404 ▶ **Remark 17.** Note that these two cases are neither exclusive nor exhaustive.

405 **Proof.** From Theorem 5 we get that the sets  $S_1, S_2, S_3, S_4$  can all be computed in  $\mathcal{O}(|E(G)|)$   
 406 time. Hence we get that the sets  $S_{12}$  and  $S_{34}$  can be computed in  $\mathcal{O}(|E(G)|)$  time as well.

407 The two cases are symmetric; we prove each case in turn.

- 408 1. From part (1) of Observation 9 we get that the set  $(S_1 \cup S_2)$  is a feasible solution to  
 409 the A-SVD instance  $(G, w, (C, I, U))$ . We now show that  $(S_1 \cup S_2)$  is a  $(2 + \varepsilon)$ -factor  
 410 approximate solution to  $(G, w, (C, I, U))$ .

411 Observe first that  $((I \cup U) \setminus OPT) = I^* \cup C_U^*$ . From this, and since  $I^*$  is an independent set  
 412 in  $G$ , we get that the set  $(OPT \cap (I \cup U)) \cup C_U^* = (OPT \setminus C_{OPT}) \cup C_U^*$  is a vertex cover of the  
 413 graph  $G[I \cup U]$ , of weight  $w(OPT) - w(C_{OPT}) + w(C_U^*) \leq w(OPT) - w(C_{OPT}) + \frac{\varepsilon \cdot w(OPT)}{2}$ .  
 414 Thus an *optimum* vertex cover of the graph  $G[I \cup U]$  has weight at most  $w(OPT)(1 +$   
 415  $\frac{\varepsilon}{2}) - w(C_{OPT})$ , and since  $S_1$  is a 2-factor approximate vertex cover for  $G[I \cup U]$  we get  
 416 that  $w(S_1) \leq 2w(OPT)(1 + \frac{\varepsilon}{2}) - 2w(C_{OPT})$  holds. From Corollary 8 we know that an  
 417 *optimum* vertex cover of the graph  $\overline{G[C]}$  has weight at most  $w(C_{OPT})$ , and since  $S_2$  is a  
 418 2-factor approximate vertex cover for  $\overline{G[C]}$  we get that  $w(S_2) \leq 2w(C_{OPT})$  holds. Putting  
 419 these together we get that  $w(S_1 \cup S_2) \leq 2w(OPT)(1 + \frac{\varepsilon}{2}) - 2w(C_{OPT}) + 2w(C_{OPT}) =$   
 420  $(2 + \varepsilon)w(OPT)$ , and this completes the proof.

- 421 2. From part (2) of Observation 9 we get that the set  $(S_3 \cup S_4)$  is a feasible solution to  
 422 the A-SVD instance  $(G, w, (C, I, U))$ . We now show that  $(S_3 \cup S_4)$  is a  $(2 + \varepsilon)$ -factor  
 423 approximate solution to  $(G, w, (C, I, U))$ .

424 Observe first that  $((C \cup U) \setminus OPT) = C^* \cup I_U^*$ . From this, and since  $C^*$  is an independent set  
 425 in  $\overline{G}$ , we get that the set  $(OPT \cap (C \cup U)) \cup I_U^* = (OPT \setminus I_{OPT}) \cup I_U^*$  is a vertex cover of the  
 426 graph  $\overline{G[C \cup U]}$ , of weight  $w(OPT) - w(I_{OPT}) + w(I_U^*) \leq w(OPT) - w(I_{OPT}) + \frac{\varepsilon \cdot w(OPT)}{2}$ .  
 427 Thus an *optimum* vertex cover of the graph  $\overline{G[C \cup U]}$  has weight at most  $w(OPT)(1 +$   
 428  $\frac{\varepsilon}{2}) - w(I_{OPT})$ , and since  $S_3$  is a 2-factor approximate vertex cover for  $\overline{G[C \cup U]}$  we get  
 429 that  $w(S_3) \leq 2w(OPT)(1 + \frac{\varepsilon}{2}) - 2w(I_{OPT})$  holds. From Corollary 8 we know that an  
 430 *optimum* vertex cover of the graph  $G[I]$  has weight at most  $w(I_{OPT})$ , and since  $S_4$  is a  
 431 2-factor approximate vertex cover for  $G[I]$  we get that  $w(S_4) \leq 2w(I_{OPT})$  holds. Putting  
 432 these together we get that  $w(S_3 \cup S_4) \leq 2w(OPT)(1 + \frac{\varepsilon}{2}) - 2w(I_{OPT}) + 2w(I_{OPT}) =$   
 433  $(2 + \varepsilon)w(OPT)$ , and this completes the proof. ◀

434 By repeatedly applying the procedure in the proof of Lemma 16 and taking the minimum,  
 435 we can find a  $(2 + \varepsilon)$ -factor approximate solution in polynomial time even in the more general  
 436 case where there is at most one “heavy” vertex in  $C_U^*$  or  $I_U^*$ .

437 ▶ **Lemma 18.** Let  $(G, w, (C, I, U)), \varepsilon, OPT, C_U^*, I_U^*$  be as in Definition 13. For each vertex  
 438  $u \in U$  let  $S_1^u$  be a 2-factor approximate solution for the wVC instance  $(G[I \cup (U \setminus \{u\})], w)$ ,  $S_2^u$   
 439 a 2-factor approximate solution for the wVC instance  $(\overline{G[C \cup \{u\}]}, w)$ , and let  $S_{12}^u = S_1^u \cup S_2^u$ .  
 440 Let  $S_3^u$  be a 2-factor approximate solution for the wVC instance  $(\overline{G[C \cup (U \setminus \{u\})]}, w)$ ,  $S_4^u$  a

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441 2-factor approximate solution for the wVC instance  $(G[I \cup \{u\}], w)$ , and let  $S_{34}^u = S_3^u \cup S_4^u$ .  
 442 Finally, let  $S^\dagger$  be a set of the form  $S_{12}^u$  of the minimum weight and let  $S^\ddagger$  be a set of the  
 443 form  $S_{34}^u$  of the minimum weight, both minima taken over all vertices  $u \in U$ .

444 The sets  $S^\dagger$  and  $S^\ddagger$  can be computed in  $\mathcal{O}(|V(G)| \cdot |E(G)|)$  time. Further,

- 445 1. If  $w(C_U^* \setminus \{c^*\}) \leq \frac{\varepsilon \cdot w(OPT)}{2}$  holds for some vertex  $c^* \in C_U^*$  then the set  $S^\dagger$  is a  $(2 + \varepsilon)$ -factor  
 446 approximate solution for the A-SVD instance  $(G, w, (C, I, U))$ .
- 447 2. If  $w(I_U^* \setminus \{i^*\}) \leq \frac{\varepsilon \cdot w(OPT)}{2}$  holds for some vertex  $i^* \in I_U^*$  then the set  $S^\ddagger$  is a  $(2 + \varepsilon)$ -factor  
 448 approximate solution for the A-SVD instance  $(G, w, (C, I, U))$ .

449 ► Remark 19. Note that these two cases are neither exclusive nor exhaustive.

450 **Proof.** From Theorem 5 we get that for each vertex  $u \in U$  the sets  $S_1^u, S_2^u, S_3^u, S_4^u$  can all  
 451 be computed in  $\mathcal{O}(|E(G)|)$  time. Hence we get that the sets  $S^\dagger$  and  $S^\ddagger$  can be computed in  
 452  $\mathcal{O}(|V(G)| \cdot |E(G)|)$  time.

453 The two cases are symmetric; we prove each case in turn.

- 454 1. Let  $S_1$  be a 2-factor approximate solution for the wVC instance  $(G[I \cup (U \setminus \{c^*\})], w)$ ,  
 455 let  $S_2$  be a 2-factor approximate solution for the wVC instance  $(\overline{G[C \cup \{c^*\}]}, w)$ , and let  
 456  $S^* = (S_1 \cup S_2)$ . From part (2) of Observation 10 we get that the set  $S^*$  is a feasible  
 457 solution to the A-SVD instance  $(G, w, (C, I, U))$ .

458 ▷ Claim 19.1.  $S^*$  is a  $(2 + \varepsilon)$ -factor approximate solution to  $(G, w, (C, I, U))$ .

459 **Proof.** Recall that by assumption the vertex  $c^*$  belongs to the set  $C_U^*$ . This implies, in  
 460 particular—see Definition 13—that  $c^*$  is not in the set  $OPT$ .

461 Observe first that  $((I \cup (U \setminus \{c^*\})) \setminus OPT) = I^* \cup (C_U^* \setminus \{c^*\})$ . From this, and since  $I^*$   
 462 is an independent set in  $G$ , we get that the set  $(OPT \cap (I \cup (U \setminus \{c^*\}))) \cup (C_U^* \setminus \{c^*\}) =$   
 463  $(OPT \cap (I \cup U)) \cup (C_U^* \setminus \{c^*\}) = (OPT \setminus C_{OPT}) \cup (C_U^* \setminus \{c^*\})$  is a vertex cover of the  
 464 graph  $G[I \cup (U \setminus \{c^*\})]$ , of weight  $w(OPT) - w(C_{OPT}) + w(C_U^* \setminus \{c^*\}) \leq w(OPT) -$   
 465  $w(C_{OPT}) + \frac{\varepsilon \cdot w(OPT)}{2}$ . Thus an *optimum* vertex cover of the graph  $G[I \cup (U \setminus \{c^*\})]$  has  
 466 weight at most  $w(OPT)(1 + \frac{\varepsilon}{2}) - w(C_{OPT})$ , and since  $S_1$  is a 2-factor approximate vertex  
 467 cover for  $G[I \cup (U \setminus \{c^*\})]$  we get that  $w(S_1) \leq 2w(OPT)(1 + \frac{\varepsilon}{2}) - 2w(C_{OPT})$  holds.

468 Since the sets  $C \setminus C_{OPT}$  and  $C_U^*$  are subsets of the clique  $C^*$  and since  $c^* \in C_U^*$  holds by  
 469 assumption, we get that the set  $(C \setminus C_{OPT}) \cup \{c^*\}$  is a clique in  $G$ . It follows that the  
 470 set  $C_{OPT}$  is a vertex cover of the induced subgraph  $\overline{G[C \cup \{c^*\}]}$ . Thus we get that an  
 471 *optimum* vertex cover of the graph  $\overline{G[C \cup \{c^*\}]}$  has weight at most  $w(C_{OPT})$ , and since  
 472  $S_2$  is a 2-factor approximate vertex cover for  $\overline{G[C \cup \{c^*\}]}$  we get that  $w(S_2) \leq 2w(C_{OPT})$   
 473 holds. Putting these together we get that  $w(S_1 \cup S_2) \leq 2w(OPT)(1 + \frac{\varepsilon}{2}) - 2w(C_{OPT}) +$   
 474  $2w(C_{OPT}) = (2 + \varepsilon)w(OPT)$ . Thus  $S^*$  is a  $(2 + \varepsilon)$ -factor approximate solution to  
 475  $(G, w, (C, I, U))$ . ◁

476 Since  $S^\dagger$  is a set of the minimum weight of the form  $S_{12}^u$ ;  $u \in U$ , we get from Claim 19.1  
 477 that  $S^\dagger$  is a  $(2 + \varepsilon)$ -factor approximate solution for  $(G, w, (C, I, U))$ .

- 478 2. Let  $S_3$  be a 2-factor approximate solution for the wVC instance  $(\overline{G[C \cup (U \setminus \{i^*\})]}, w)$ ,  
 479 let  $S_4$  be a 2-factor approximate solution for the wVC instance  $(G[I \cup \{i^*\}], w)$ , and let  
 480  $S^* = (S_3 \cup S_4)$ . From part (2) of Observation 10 we get that the set  $S^*$  is a feasible  
 481 solution to the A-SVD instance  $(G, w, (C, I, U))$ .

482 ▷ Claim 19.2.  $S^*$  is a  $(2 + \varepsilon)$ -factor approximate solution to  $(G, w, (C, I, U))$ .

483 Proof. Recall that by assumption the vertex  $i^*$  belongs to the set  $I_U^*$ . This implies, in  
484 particular—see Definition 13—that  $i^*$  is not in the set  $OPT$ .

485 Observe first that  $((C \cup (U \setminus \{i^*\})) \setminus OPT) = C^* \cup (I_U^* \setminus \{i^*\})$ . From this, and since  $C^*$   
486 is an independent set in  $\overline{G}$ , we get that the set  $(OPT \cap (C \cup (U \setminus \{i^*\}))) \cup (I_U^* \setminus \{i^*\}) =$   
487  $(OPT \cap (C \cup U)) \cup (I_U^* \setminus \{i^*\}) = (OPT \setminus I_{OPT}) \cup (I_U^* \setminus \{i^*\})$  is a vertex cover of the graph  
488  $G[C \cup (U \setminus \{i^*\})]$ , of weight  $w(OPT) - w(I_{OPT}) + w(I_U^* \setminus \{i^*\}) \leq w(OPT) - w(I_{OPT}) +$   
489  $\frac{\varepsilon \cdot w(OPT)}{2}$ . Thus an *optimum* vertex cover of the graph  $G[C \cup (U \setminus \{i^*\})]$  has weight at

490 most  $w(OPT)(1 + \frac{\varepsilon}{2}) - w(I_{OPT})$ , and since  $S_3$  is a 2-factor approximate vertex cover for  
491  $G[C \cup (U \setminus \{i^*\})]$  we get that  $w(S_3) \leq 2w(OPT)(1 + \frac{\varepsilon}{2}) - 2w(I_{OPT})$  holds.

492 Since the sets  $I \setminus I_{OPT}$  and  $I_U^*$  are subsets of the independent set  $I^*$  and since  $i^* \in I_U^*$   
493 holds by assumption, we get that the set  $(I \setminus I_{OPT}) \cup \{i^*\}$  is an independent set in  
494  $G$ . It follows that the set  $I_{OPT}$  is a vertex cover of the induced subgraph  $G[I \cup \{i^*\}]$ .  
495 Thus we get that an *optimum* vertex cover of the graph  $G[I \cup \{i^*\}]$  has weight at  
496 most  $w(I_{OPT})$ , and since  $S_4$  is a 2-factor approximate vertex cover for  $G[I \cup \{i^*\}]$  we  
497 get that  $w(S_4) \leq 2w(I_{OPT})$  holds. Putting these together we get that  $w(S_3 \cup S_4) \leq$   
498  $2w(OPT)(1 + \frac{\varepsilon}{2}) - 2w(I_{OPT}) + 2w(I_{OPT}) = (2 + \varepsilon)w(OPT)$ . Thus  $S^*$  is a  $(2 + \varepsilon)$ -factor  
499 approximate solution to  $(G, w, (C, I, U))$ .  $\triangleleft$

500 Since  $S^\ddagger$  is a set of the minimum weight of the form  $S_{34}^u$ ;  $u \in U$ , we get from Claim 19.2  
501 that  $S^\ddagger$  is a  $(2 + \varepsilon)$ -factor approximate solution for  $(G, w, (C, I, U))$ .  $\blacktriangleleft$

502 **► Definition 20.** Let  $(G, w, (C, I, U)), \varepsilon, OPT, C^*, I^*, C_U^*, I_U^*$  be as in Definition 13. We say  
503 that  $(G, w, (C, I, U))$  is an easy instance if  $U = \emptyset$  holds, or if at least one of the following  
504 holds: (i)  $w(C_U^*) \leq \frac{\varepsilon \cdot w(OPT)}{2}$ , (ii)  $w(I_U^*) \leq \frac{\varepsilon \cdot w(OPT)}{2}$ , (iii)  $w(C_U^* \setminus \{c^*\}) \leq \frac{\varepsilon \cdot w(OPT)}{2}$  holds  
505 for some vertex  $c^* \in C_U^*$ , (iv)  $w(I_U^* \setminus \{i^*\}) \leq \frac{\varepsilon \cdot w(OPT)}{2}$  holds for some vertex  $i^* \in I_U^*$ . We  
506 say that  $(G, w, (C, I, U))$  is a hard instance otherwise.

507 From Lemma 12, Lemma 16 and Lemma 18 we get

508 **► Corollary 21.** There is an algorithm which, given an easy instance  $(G, w, (C, I, U))$  of  
509 A-SVD and a constant  $\varepsilon > 0$  as input, computes a  $(2 + \varepsilon)$ -factor approximate solution for  
510  $(G, w, (C, I, U))$  in deterministic polynomial time.

511 **► Lemma 22.** Let  $(G, w, (C, I, U))$  be a hard instance of A-SVD and let  $\varepsilon, C_U^*, I_U^*, X, I_X, C_X$   
512 be as in Definition 13. Then the following hold:

- 513 1.  $w(X \cup (I_X \setminus C_U^*)) < (1 + \frac{12}{\varepsilon}) \cdot w(I_U^*)$
- 514 2.  $w(X \cup (C_X \setminus I_U^*)) < (1 + \frac{12}{\varepsilon}) \cdot w(C_U^*)$

515 **Proof.** Let  $OPT, U_{OPT}, I_{OPT}$  be as in Definition 13. Then  $w(U_{OPT}) \leq w(OPT)$  and  
516  $w(I_{OPT}) \leq w(OPT)$  hold trivially. From Definition 13 we get that  $w(X) \leq 5w(OPT)$  holds,  
517 and since  $I_X, C_X$  are subsets of  $U$  and  $I_U^* \uplus C_U^* \uplus U_{OPT}$  is a partition of  $U$  we get that both  
518  $(I_X \setminus C_U^*) \subseteq (I_U^* \cup U_{OPT})$  and  $(C_X \setminus I_U^*) \subseteq (C_U^* \cup U_{OPT})$  hold. Finally, since  $(G, w, (C, I, U))$   
519 is a hard instance of A-SVD we have—Definition 20—that both  $w(OPT) < \frac{2w(I_U^*)}{\varepsilon}$  and  
520  $w(OPT) < \frac{2w(C_U^*)}{\varepsilon}$  hold.

521 Hence we get

$$\begin{aligned}
 522 \quad w(X \cup (I_X \setminus C_U^*)) &= w(X) + w(I_X \setminus C_U^*) \leq 5w(OPT) + w(I_U^* \cup U_{OPT}) \\
 523 \quad &= 5w(OPT) + w(I_U^*) + w(U_{OPT}) \leq 6w(OPT) + w(I_U^*) \\
 524 \quad &< (1 + \frac{12}{\varepsilon})w(I_U^*). \\
 525
 \end{aligned}$$

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526 Similarly,

$$\begin{aligned}
 527 \quad w(X \cup (C_X \setminus I_U^*)) &= w(X) + w(C_X \setminus I_U^*) \leq 5w(OPT) + w(C_U^* \cup U_{OPT}) \\
 528 \quad &= 5w(OPT) + w(C_U^*) + w(U_{OPT}) \leq 6w(OPT) + w(C_U^*) \\
 529 \quad &< (1 + \frac{12}{\varepsilon})w(C_U^*). \quad \blacktriangleleft \\
 530
 \end{aligned}$$

531 Recall the notion of heavy and light pairs from Definition 3.

532 **► Lemma 23.** *Let  $(G, w, (C, I, U))$  be a hard instance of A-SVD and let  $\varepsilon, OPT, C^*, I^*, C_U^*, I_U^*$   
 533 be as in Definition 13. Suppose  $(I_U^*, C_U^*)$  is a heavy pair. Let  $I^\circ = \{v \in I_U^*; w(N(v) \cap C_U^*) \geq$   
 534  $\frac{w(C_U^*)}{4}\}$  be the set of vertices in  $I_U^*$  which have a “heavy” neighbourhood in  $C_U^*$ , and let  $i^\circ$  be  
 535 a heaviest vertex in  $I^\circ$ . Let  $C^\circ = \{v \in C_U^*; w((I_U^* \setminus \{i^\circ\}) \setminus (N(v) \cap I_U^*)) \geq \frac{w(I_U^* \setminus \{i^\circ\})}{4}\}$  be  
 536 the set of vertices in  $C_U^*$  which have a “heavy” non-neighbourhood in the subset  $I_U^* \setminus \{i^\circ\}$ ,  
 537 and let  $c^\circ$  be a heaviest vertex in  $C^\circ$ . Let  $I^\square = \{v \in (I_U^* \setminus \{i^\circ\}); w(N(v) \cap (C_U^* \setminus \{c^\circ\})) \geq$   
 538  $\frac{w(C_U^* \setminus \{c^\circ\})}{4}\}$  be the set of vertices in  $I_U^* \setminus \{i^\circ\}$  which have a “heavy” neighbourhood in  
 539  $C_U^* \setminus \{c^\circ\}$ , and let  $C^\square = \{v \in (C_U^* \setminus \{c^\circ\}); w((I_U^* \setminus \{i^\circ\}) \setminus (N(v) \cap I_U^*)) \geq \frac{w(I_U^* \setminus \{i^\circ\})}{4}\}$  be  
 540 the set of vertices in  $(C_U^* \setminus \{c^\circ\})$  which have a “heavy” non-neighbourhood in  $I_U^* \setminus \{i^\circ\}$ .*

541 *Then at least one of the following statements holds:*

542 **(1a)** *Picking a vertex proportionately at random from the set  $X \cup (I_X \setminus C_U^*)$  yields a vertex*  
 543  *$v \in I^\circ$  with probability at least  $1/(20(1 + \frac{12}{\varepsilon}))$ .*

544 **(1b)** *Picking a vertex proportionately at random from the set  $X \cup (I_X \setminus C_U^*)$  yields a vertex*  
 545  *$v \in I^\square$  with probability at least  $1/(4(1 + \frac{12}{\varepsilon}))$ .*

546 **(2a)** *Picking a vertex proportionately at random from the set  $X \cup (C_X \setminus I_U^*)$  yields a vertex*  
 547  *$v \in C^\circ$  with probability at least  $1/(20(1 + \frac{12}{\varepsilon}))$ .*

548 **(2b)** *Picking a vertex proportionately at random from the set  $X \cup (C_X \setminus I_U^*)$  yields a vertex*  
 549  *$v \in C^\square$  with probability at least  $1/(4(1 + \frac{12}{\varepsilon}))$ .*

550 **Proof.** We structure the proof as a number of short claims.

551 **► Claim 23.1.**  $w(X \cup (I_X \setminus C_U^*)) < 4(1 + \frac{12}{\varepsilon}) \cdot w(I^\circ)$

552 Proof. Since  $(I_U^*, C_U^*)$  is a heavy pair we get from Lemma 4 that  $w(I^\circ) > \frac{w(I_U^*)}{4}$  holds.  
 553 Since  $(G, w, (C, I, U))$  is a hard instance we get from Lemma 22 that  $w(X \cup (I_X \setminus C_U^*)) <$   
 554  $(1 + \frac{12}{\varepsilon}) \cdot w(I_U^*)$  holds. Putting these together we get the claim.  $\triangleleft$

555 **► Claim 23.2.** If  $w(i^\circ) < \frac{4w(I^\circ)}{5}$  holds then part (1a) of the lemma holds.

556 Proof. If  $I^\circ \subseteq X \cup (I_X \setminus C_U^*)$  holds then from Claim 23.1 we get that part (1a) of the lemma  
 557 holds.

558 So suppose  $I^\circ \not\subseteq X \cup (I_X \setminus C_U^*)$  holds. Then we get from Observation 15 that  $|I^\circ \setminus (X \cup$   
 559  $(I_X \setminus C_U^*))| = 1$  holds. Since a heaviest vertex in  $I^\circ$  has weight less than  $\frac{4w(I^\circ)}{5}$  we get that  
 560  $w(I^\circ \setminus (X \cup (I_X \setminus C_U^*))) < \frac{4w(I^\circ)}{5}$  holds as well. Hence  $w(I^\circ \cap (X \cup (I_X \setminus C_U^*))) > \frac{w(I^\circ)}{5}$   
 561 holds, and using Claim 23.1 we get that picking a vertex proportionately at random from  
 562 the set  $X \cup (I_X \setminus C_U^*)$  yields a vertex from the set  $I^\circ \cap (X \cup (I_X \setminus C_U^*))$  with probability  
 563 more than  $1/20(1 + \frac{12}{\varepsilon})$ , which satisfies part (1a) of the lemma.  $\triangleleft$

564 From now on we assume that  $w(i^\circ) \geq \frac{4w(I^\circ)}{5}$  holds. If  $i^\circ \in X \cup (I_X \setminus C_U^*)$  holds, then  
 565 from Claim 23.1 and our assumption about  $w(i^\circ)$  we get that picking a vertex proportionately  
 566 at random from the set  $X \cup (I_X \setminus C_U^*)$  yields the vertex  $i^\circ$  itself with probability at least  
 567  $1/5(1 + \frac{12}{\varepsilon})$ , which satisfies part (1a) of the lemma. So from now on we assume that  
 568  $i^\circ \notin X \cup (I_X \setminus C_U^*)$  holds.

569 ▷ **Claim 23.3.** If  $((I_U^* \setminus \{i^\circ\}), C_U^*)$  is a heavy pair then part (1a) of the lemma holds.

570 **Proof.** Since  $((I_U^* \setminus \{i^\circ\}), C_U^*)$  is a heavy pair we get from Lemma 4 that  $w(I^\circ \cap (I_U^* \setminus \{i^\circ\})) >$   
 571  $\frac{w((I_U^* \setminus \{i^\circ\}))}{4}$  holds. It follows that if we pick a vertex from the set  $I_U^* \setminus \{i^\circ\}$  proportionately  
 572 at random with probability  $p$  then we get a vertex from the set  $I^\circ$  with probability more  
 573 than  $\frac{p}{4}$ .

574 Since  $i^\circ \notin X \cup (I_X \setminus C_U^*)$  holds, from Observation 15 we get that  $(I_U^* \setminus \{i^\circ\}) \subseteq X \cup (I_X \setminus C_U^*)$   
 575 holds. Observe that, in general,  $(I_X \setminus C_U^*) \subseteq (I_U^* \cup U_{OPT})$  holds. In this case since the vertex  
 576  $i^\circ \in I_U^*$  is not in the set  $I_X \setminus C_U^*$  we get that  $(I_X \setminus C_U^*) \subseteq ((I_U^* \setminus \{i^\circ\}) \cup U_{OPT})$  holds. Hence  
 577 we get that  $w(X \cup (I_X \setminus C_U^*)) \leq w(X) + w(I_U^* \setminus \{i^\circ\}) + w(U_{OPT}) \leq 6w(OPT) + w(I_U^* \setminus \{i^\circ\})$   
 578 holds in this case. Also, since  $(G, w, (C, I, U))$  is a hard instance we get—Definition 20—that  
 579  $w(I_U^* \setminus \{i^\circ\}) > \frac{\varepsilon \cdot w(OPT)}{2}$  holds. Putting these together we get

$$580 \quad \frac{w(X \cup (I_X \setminus C_U^*))}{w(I_U^* \setminus \{i^\circ\})} \leq \frac{6w(OPT) + w(I_U^* \setminus \{i^\circ\})}{w(I_U^* \setminus \{i^\circ\})} = 1 + \frac{6w(OPT)}{w(I_U^* \setminus \{i^\circ\})}$$

$$581 \quad < 1 + \frac{6w(OPT)}{\frac{\varepsilon \cdot w(OPT)}{2}} = \frac{\varepsilon + 12}{\varepsilon}.$$

583 Thus we get that  $w(X \cup (I_X \setminus C_U^*)) < (1 + \frac{12}{\varepsilon})w(I_U^* \setminus \{i^\circ\})$  holds. It follows that picking  
 584 a vertex proportionately at random from the set  $X \cup (I_X \setminus C_U^*)$  yields a vertex from the  
 585 set  $I_U^* \setminus \{i^\circ\}$  with probability more than  $1/(1 + \frac{12}{\varepsilon})$ . And this vertex is in the set  $I^\circ$  with  
 586 probability more than  $1/4(1 + \frac{12}{\varepsilon})$ , which satisfies part (1a) of the lemma. ◁

587 From now on we assume that  $((I_U^* \setminus \{i^\circ\}), C_U^*)$  is a light pair.

588 ▷ **Claim 23.4.**  $w(X \cup (C_X \setminus I_U^*)) < 4(1 + \frac{12}{\varepsilon}) \cdot w(C^\circ)$

589 **Proof.** Since  $((I_U^* \setminus \{i^\circ\}), C_U^*)$  is a light pair we get from Lemma 4 that  $w(C^\circ) > \frac{w(C_U^*)}{4}$  holds.  
 590 Since  $(G, w, (C, I, U))$  is a hard instance we get from Lemma 22 that  $w(X \cup (C_X \setminus I_U^*)) <$   
 591  $(1 + \frac{12}{\varepsilon}) \cdot w(C_U^*)$  holds. Putting these together we get the claim. ◁

592 ▷ **Claim 23.5.** If  $w(c^\circ) < \frac{4w(C^\circ)}{5}$  holds then part (2a) of the lemma holds.

593 **Proof.** If  $C^\circ \subseteq X \cup (C_X \setminus I_U^*)$  holds then from Claim 23.4 we get that part (2a) of the  
 594 lemma holds.

595 So suppose  $C^\circ \not\subseteq X \cup (C_X \setminus I_U^*)$  holds. Then we get from Observation 15 that  $|C^\circ \setminus (X \cup$   
 596  $(C_X \setminus I_U^*))| = 1$  holds. Since a heaviest vertex in  $C^\circ$  has weight less than  $\frac{4w(C^\circ)}{5}$  we get that  
 597  $w(C^\circ \setminus (X \cup (C_X \setminus I_U^*))) < \frac{4w(C^\circ)}{5}$  holds as well. Hence  $w(C^\circ \cap (X \cup (C_X \setminus I_U^*))) > \frac{w(C^\circ)}{5}$   
 598 holds, and using Claim 23.4 we get that picking a vertex proportionately at random from  
 599 the set  $X \cup (C_X \setminus I_U^*)$  yields a vertex from the set  $C^\circ \cap (X \cup (C_X \setminus I_U^*))$  with probability  
 600 more than  $1/20(1 + \frac{12}{\varepsilon})$ , which satisfies part (2a) of the lemma. ◁

601 From now on we assume that  $w(c^\circ) \geq \frac{4w(C^\circ)}{5}$  holds. If  $c^\circ \in X \cup (C_X \setminus I_U^*)$  holds then  
 602 from Claim 23.4 and our assumption about  $w(c^\circ)$  we get that picking a vertex proportionately  
 603 at random from the set  $X \cup (C_X \setminus I_U^*)$  yields the vertex  $c^\circ$  itself with probability at least  
 604  $1/5(1 + \frac{12}{\varepsilon})$ , which satisfies part (2a) of the lemma. So from now on we assume that  
 605  $c^\circ \notin X \cup (C_X \setminus I_U^*)$  holds.

606 ▷ **Claim 23.6.** If  $((I_U^* \setminus \{i^\circ\}), C_U^* \setminus \{c^\circ\})$  is a heavy pair then part (1b) of the lemma  
 607 holds.

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608 Proof. Since  $((I_U^* \setminus \{i^\circ\}), (C_U^* \setminus \{c^\circ\}))$  is a heavy pair we get from Lemma 4 that  $w(I^\square \cap$   
 609  $(I_U^* \setminus \{i^\circ\})) > \frac{w(I_U^* \setminus \{i^\circ\})}{4}$  holds. It follows that if we pick a vertex from the set  $I_U^* \setminus \{i^\circ\}$   
 610 proportionately at random with probability  $p$  then we get a vertex from the set  $I^\square$  with  
 611 probability more than  $\frac{p}{4}$ .

612 Applying the exact same argument as in the proof of Claim 23.3 we get that picking  
 613 a vertex proportionately at random from the set  $X \cup (I_X \setminus C_U^*)$  yields a vertex from the  
 614 set  $I_U^* \setminus \{i^\circ\}$  with probability more than  $1/(1 + \frac{12}{\varepsilon})$ . And this vertex is in the set  $I^\square$  with  
 615 probability more than  $1/4(1 + \frac{12}{\varepsilon})$ , which satisfies part (1b) of the lemma.  $\triangleleft$

616 From Lemma 4 we get that in the remaining case  $((I_U^* \setminus \{i^\circ\}), C_U^* \setminus \{c^\circ\})$  is a light  
 617 pair.

618  $\triangleright$  **Claim 23.7.** If  $((I_U^* \setminus \{i^\circ\}), C_U^* \setminus \{c^\circ\})$  is a light pair then part (2b) of the lemma holds.

619 Proof. Since  $((I_U^* \setminus \{i^\circ\}), (C_U^* \setminus \{c^\circ\}))$  is a light pair we get from Lemma 4 that  $w(C^\square \cap$   
 620  $(C_U^* \setminus \{c^\circ\})) > \frac{w(C_U^* \setminus \{c^\circ\})}{4}$  holds. It follows that if we pick a vertex from the set  $C_U^* \setminus \{c^\circ\}$   
 621 proportionately at random with probability  $p$  then we get a vertex from the set  $C^\square$  with  
 622 probability more than  $\frac{p}{4}$ .

623 Since  $c^\circ \notin X \cup (C_X \setminus I_U^*)$  holds, from Observation 15 we get that  $(C_U^* \setminus \{c^\circ\}) \subseteq$   
 624  $X \cup (C_X \setminus I_U^*)$  holds. Observe that, in general,  $(C_X \setminus I_U^*) \subseteq (C_U^* \cup U_{OPT})$  holds. In this case  
 625 since the vertex  $c^\circ \in C_U^*$  is not in the set  $C_X \setminus I_U^*$  we get that  $(C_X \setminus I_U^*) \subseteq ((C_U^* \setminus \{c^\circ\}) \cup U_{OPT})$   
 626 holds. Hence we get that  $w(X \cup (C_X \setminus I_U^*)) \leq w(X) + w(C_U^* \setminus \{c^\circ\}) + w(U_{OPT}) \leq$   
 627  $6w(OPT) + w(C_U^* \setminus \{c^\circ\})$  holds in this case. Also, since  $(G, w, (C, I, U))$  is a hard instance  
 628 we get—Definition 20—that  $w(C_U^* \setminus \{c^\circ\}) > \frac{\varepsilon \cdot w(OPT)}{2}$  holds. Putting these together we get

$$629 \quad \frac{w(X \cup (C_X \setminus I_U^*))}{w(C_U^* \setminus \{c^\circ\})} \leq \frac{6w(OPT) + w(C_U^* \setminus \{c^\circ\})}{w(C_U^* \setminus \{c^\circ\})} = 1 + \frac{6w(OPT)}{w(C_U^* \setminus \{c^\circ\})}$$

$$630 \quad < 1 + \frac{6w(OPT)}{\frac{\varepsilon \cdot w(OPT)}{2}} = \frac{\varepsilon + 12}{\varepsilon}.$$

631

632 Thus we get that  $w(X \cup (C_X \setminus I_U^*)) < (1 + \frac{12}{\varepsilon})w(C_U^* \setminus \{c^\circ\})$  holds. It follows that picking a  
 633 vertex proportionately at random from the set  $X \cup (C_X \setminus I_U^*)$  yields a vertex from the set  
 634  $C_U^* \setminus \{c^\circ\}$  with probability more than  $1/(1 + \frac{12}{\varepsilon})$ . And this vertex is in the set  $C^\square$  with  
 635 probability more than  $1/4(1 + \frac{12}{\varepsilon})$ , which satisfies part (2b) of the lemma.  $\triangleleft$

636 Thus, assuming  $(C_U^*, I_U^*)$  is a heavy pair, at least one of the statements is always true.  $\blacktriangleleft$

637  $\blacktriangleright$  **Lemma 24.** Let  $(G, w, (C, I, U))$  be a hard instance of A-SVD and let  $\varepsilon, OPT, C^*, I^*, C_U^*, I_U^*$   
 638 be as in Definition 13. Suppose  $(I_U^*, C_U^*)$  is a light pair. Let  $C^\parallel = \{v \in C_U^* ; w(I_U^* \setminus (N(v) \cap$   
 639  $I_U^*)) \geq \frac{w(I_U^*)}{4}\}$  be the set of vertices in  $C_U^*$  which have a “heavy” non-neighbourhood in  $I_U^*$ ,  
 640 and let  $c^\parallel$  be a heaviest vertex in  $C^\parallel$ . Let  $I^\parallel = \{v \in I_U^* ; w(N(v) \cap (C_U^* \setminus \{c^\parallel\})) \geq \frac{w(C_U^* \setminus \{c^\parallel\})}{4}\}$   
 641 be the set of vertices in  $I_U^*$  which have a “heavy” neighbourhood in the subset  $C_U^* \setminus \{c^\parallel\}$ , and  
 642 let  $i^\parallel$  be a heaviest vertex in  $I^\parallel$ . Let  $C^\ddagger = \{v \in (C_U^* \setminus \{c^\parallel\}) ; w((I_U^* \setminus \{i^\parallel\}) \setminus (N(v) \cap I_U^*)) \geq$   
 643  $\frac{w(I_U^* \setminus \{i^\parallel\})}{4}\}$  be the set of vertices in  $C_U^* \setminus \{c^\parallel\}$  which have a “heavy” non-neighbourhood in  
 644  $I_U^* \setminus \{i^\parallel\}$ , and let  $I^\ddagger = \{v \in (I_U^* \setminus \{i^\parallel\}) ; w(N(v) \cap (C_U^* \setminus \{c^\parallel\})) \geq \frac{w(C_U^* \setminus \{c^\parallel\})}{4}\}$  be the set of  
 645 vertices in  $(I_U^* \setminus \{i^\parallel\})$  which have a “heavy” neighbourhood in  $C_U^* \setminus \{c^\parallel\}$ .

646 Then at least one of the following statements is true.

647 **(1a)** Picking a vertex proportionately at random from the set  $X \cup (C_X \setminus I_U^*)$  yields a vertex  
 648  $v \in C^\parallel$  with probability at least  $1/(20(1 + \frac{12}{\varepsilon}))$ , or



649 **(1b)** Picking a vertex proportionately at random from the set  $X \cup (C_X \setminus I_U^*)$  yields a vertex  
650  $v \in C^\ddagger$  with probability at least  $1/(4(1 + \frac{12}{\varepsilon}))$ .

651 **(2a)** Picking a vertex proportionately at random from the set  $X \cup (I_X \setminus C_U^*)$  yields a vertex  
652  $v \in I^\parallel$  with probability at least  $1/(20(1 + \frac{12}{\varepsilon}))$ , or

653 **(2b)** Picking a vertex proportionately at random from the set  $X \cup (I_X \setminus C_U^*)$  yields a vertex  
654  $v \in I^\ddagger$  with probability at least  $1/(4(1 + \frac{12}{\varepsilon}))$ .

655 **Proof.** We structure the proof as a number of short claims.

656  $\triangleright$  **Claim 24.1.**  $w(X \cup (C_X \setminus I_U^*)) < 4(1 + \frac{12}{\varepsilon}) \cdot w(C^\parallel)$

657 Proof. Since  $(I_U^*, C_U^*)$  is a light pair we get from Lemma 4 that  $w(C^\parallel) > \frac{w(C_U^*)}{4}$  holds.  
658 Since  $(G, w, (C, I, U))$  is a hard instance we get from Lemma 22 that  $w(X \cup (C_X \setminus I_U^*)) <$   
659  $(1 + \frac{12}{\varepsilon}) \cdot w(C_U^*)$  holds. Putting these together we get the claim.  $\triangleleft$

660  $\triangleright$  **Claim 24.2.** If  $w(c^\parallel) < \frac{4w(C^\parallel)}{5}$  holds then part (1a) of the lemma holds.

661 Proof. If  $C^\parallel \subseteq X \cup (C_X \setminus I_U^*)$  holds then from Claim 24.1 we get that part (1a) of the lemma  
662 holds.

663 So suppose  $C^\parallel \not\subseteq X \cup (C_X \setminus I_U^*)$  holds. Then we get from Observation 15 that  $|C^\parallel \setminus (X \cup$   
664  $(C_X \setminus I_U^*))| = 1$  holds. Since a heaviest vertex in  $C^\parallel$  has weight less than  $\frac{4w(C^\parallel)}{5}$  we get that  
665  $w(C^\parallel \setminus (X \cup (C_X \setminus I_U^*))) < \frac{4w(C^\parallel)}{5}$  holds as well. Hence  $w(C^\parallel \cap (X \cup (C_X \setminus I_U^*))) > \frac{w(C^\parallel)}{5}$   
666 holds, and using Claim 24.1 we get that picking a vertex proportionately at random from  
667 the set  $X \cup (C_X \setminus I_U^*)$  yields a vertex from the set  $C^\parallel \cap (X \cup (C_X \setminus I_U^*))$  with probability  
668 more than  $1/20(1 + \frac{12}{\varepsilon})$ , which satisfies part (1a) of the lemma.  $\triangleleft$

669 From now on we assume that  $w(c^\parallel) \geq \frac{4w(C^\parallel)}{5}$  holds. If  $c^\parallel \in X \cup (C_X \setminus I_U^*)$  holds, then  
670 from Claim 24.1 and our assumption about  $w(c^\parallel)$  we get that picking a vertex proportionately  
671 at random from the set  $X \cup (C_X \setminus I_U^*)$  yields the vertex  $c^\parallel$  itself with probability at least  
672  $1/5(1 + \frac{12}{\varepsilon})$ , which satisfies part (1a) of the lemma. So from now on we assume that  
673  $c^\parallel \notin X \cup (C_X \setminus I_U^*)$  holds.

674  $\triangleright$  **Claim 24.3.** If  $((C_U^* \setminus \{c^\parallel\}), I_U^*)$  is a light pair then part (1a) of the lemma holds.

675 Proof. Since  $((C_U^* \setminus \{c^\parallel\}), I_U^*)$  is a light pair we get from Lemma 4 that  $w(C^\parallel \cap (C_U^* \setminus \{c^\parallel\})) >$   
676  $\frac{w((C_U^* \setminus \{c^\parallel\}))}{4}$  holds. It follows that if we pick a vertex from the set  $C_U^* \setminus \{c^\parallel\}$  proportionately  
677 at random with probability  $p$  then we get a vertex from the set  $C^\parallel$  with probability more  
678 than  $\frac{p}{4}$ .

679 Since  $c^\parallel \notin X \cup (C_X \setminus I_U^*)$  holds, from Observation 15 we get that  $(C_U^* \setminus \{c^\parallel\}) \subseteq X \cup (C_X \setminus I_U^*)$   
680 holds. Observe that, in general,  $(C_X \setminus I_U^*) \subseteq (C_U^* \cup U_{OPT})$  holds. In this case since the vertex  
681  $c^\parallel \in C_U^*$  is not in the set  $C_X \setminus I_U^*$  we get that  $(C_X \setminus I_U^*) \subseteq ((C_U^* \setminus \{c^\parallel\}) \cup U_{OPT})$  holds. Hence  
682 we get that  $w(X \cup (C_X \setminus I_U^*)) \leq w(X) + w(C_U^* \setminus \{c^\parallel\}) + w(U_{OPT}) \leq 6w(OPT) + w(C_U^* \setminus \{c^\parallel\})$   
683 holds in this case. Also, since  $(G, w, (C, I, U))$  is a hard instance we get—Definition 20—that  
684  $w(C_U^* \setminus \{c^\parallel\}) > \frac{\varepsilon \cdot w(OPT)}{2}$  holds. Putting these together we get

$$685 \frac{w(X \cup (C_X \setminus I_U^*))}{w(C_U^* \setminus \{c^\parallel\})} \leq \frac{6w(OPT) + w(C_U^* \setminus \{c^\parallel\})}{w(C_U^* \setminus \{c^\parallel\})} = 1 + \frac{6w(OPT)}{w(C_U^* \setminus \{c^\parallel\})}$$

$$686 < 1 + \frac{6w(OPT)}{\frac{\varepsilon \cdot w(OPT)}{2}} = \frac{\varepsilon + 12}{\varepsilon}.$$

687

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688 Thus we get that  $w(X \cup (C_X \setminus I_U^*)) < (1 + \frac{12}{\varepsilon})w(C_U^* \setminus \{c^\parallel\})$  holds. It follows that picking  
 689 a vertex proportionately at random from the set  $X \cup (C_X \setminus I_U^*)$  yields a vertex from the  
 690 set  $C_U^* \setminus \{c^\parallel\}$  with probability more than  $1/(1 + \frac{12}{\varepsilon})$ . And this vertex is in the set  $C^\parallel$  with  
 691 probability more than  $1/4(1 + \frac{12}{\varepsilon})$ , which satisfies part (1a) of the lemma.  $\triangleleft$

692 From now on we assume that  $((C_U^* \setminus \{c^\parallel\}), I_U^*)$  is a heavy pair.

693  $\triangleright$  **Claim 24.4.**  $w(X \cup (I_X \setminus C_U^*)) < 4(1 + \frac{12}{\varepsilon}) \cdot w(I^\parallel)$

694 Proof. Since  $((C_U^* \setminus \{c^\parallel\}), I_U^*)$  is a heavy pair we get from Lemma 4 that  $w(I^\parallel) > \frac{w(I_U^*)}{4}$  holds.  
 695 Since  $(G, w, (C, I, U))$  is a hard instance we get from Lemma 22 that  $w(X \cup (I_X \setminus C_U^*)) <$   
 696  $(1 + \frac{12}{\varepsilon}) \cdot w(I_U^*)$  holds. Putting these together we get the claim.  $\triangleleft$

697  $\triangleright$  **Claim 24.5.** If  $w(i^\parallel) < \frac{4w(I^\parallel)}{5}$  holds then part (2a) of the lemma holds.

698 Proof. If  $I^\parallel \subseteq X \cup (I_X \setminus C_U^*)$  holds then from Claim 24.4 we get that part (2a) of the lemma  
 699 holds.

700 So suppose  $I^\parallel \not\subseteq X \cup (I_X \setminus C_U^*)$  holds. Then we get from Observation 15 that  $|I^\parallel \setminus (X \cup$   
 701  $(I_X \setminus C_U^*))| = 1$  holds. Since a heaviest vertex in  $I^\parallel$  has weight less than  $\frac{4w(I^\parallel)}{5}$  we get that  
 702  $w(I^\parallel \setminus (X \cup (I_X \setminus C_U^*))) < \frac{4w(I^\parallel)}{5}$  holds as well. Hence  $w(I^\parallel \cap (X \cup (I_X \setminus C_U^*))) > \frac{w(I^\parallel)}{5}$   
 703 holds, and using Claim 24.4 we get that picking a vertex proportionately at random from the  
 704 set  $X \cup (I_X \setminus C_U^*)$  yields a vertex from the set  $I^\parallel \cap (X \cup (I_X \setminus C_U^*))$  with probability more  
 705 than  $1/20(1 + \frac{12}{\varepsilon})$ , which satisfies part (2a) of the lemma.  $\triangleleft$

706 From now on we assume that  $w(i^\parallel) \geq \frac{4w(I^\parallel)}{5}$  holds. If  $i^\parallel \in X \cup (I_X \setminus C_U^*)$  holds then from  
 707 Claim 24.4 and our assumption about  $w(i^\parallel)$  we get that picking a vertex proportionately  
 708 at random from the set  $X \cup (I_X \setminus C_U^*)$  yields the vertex  $i^\parallel$  itself with probability at least  
 709  $1/5(1 + \frac{12}{\varepsilon})$ , which satisfies part (2a) of the lemma. So from now on we assume that  
 710  $i^\parallel \notin X \cup (I_X \setminus C_U^*)$  holds.

711  $\triangleright$  **Claim 24.6.** If  $((C_U^* \setminus \{c^\parallel\}), I_U^* \setminus \{i^\parallel\})$  is a light pair then part (1b) of the lemma holds.

712 Proof. Since  $((C_U^* \setminus \{c^\parallel\}), (I_U^* \setminus \{i^\parallel\}))$  is a light pair we get from Lemma 4 that  $w(C^\ddagger \cap$   
 713  $(C_U^* \setminus \{c^\parallel\})) > \frac{w((C_U^* \setminus \{c^\parallel\}))}{4}$  holds. It follows that if we pick a vertex from the set  $C_U^* \setminus \{c^\parallel\}$   
 714 proportionately at random with probability  $p$  then we get a vertex from the set  $C^\ddagger$  with  
 715 probability more than  $\frac{p}{4}$ .

716 Applying the exact same argument as in the proof of Claim 24.3 we get that picking  
 717 a vertex proportionately at random from the set  $X \cup (C_X \setminus I_U^*)$  yields a vertex from the  
 718 set  $C_U^* \setminus \{c^\parallel\}$  with probability more than  $1/(1 + \frac{12}{\varepsilon})$ . And this vertex is in the set  $C^\ddagger$  with  
 719 probability more than  $1/4(1 + \frac{12}{\varepsilon})$ , which satisfies part (1b) of the lemma.  $\triangleleft$

720 From Lemma 4 we get that in the remaining case  $((C_U^* \setminus \{c^\parallel\}), I_U^* \setminus \{i^\parallel\})$  is a heavy pair.

721  $\triangleright$  **Claim 24.7.** If  $((C_U^* \setminus \{c^\parallel\}), I_U^* \setminus \{i^\parallel\})$  is a heavy pair then part (2b) of the lemma holds.

722 Proof. Since  $((C_U^* \setminus \{c^\parallel\}), (I_U^* \setminus \{i^\parallel\}))$  is a heavy pair we get from Lemma 4 that  $w(I^\ddagger \cap$   
 723  $(I_U^* \setminus \{i^\parallel\})) > \frac{w((I_U^* \setminus \{i^\parallel\}))}{4}$  holds. It follows that if we pick a vertex from the set  $I_U^* \setminus \{i^\parallel\}$   
 724 proportionately at random with probability  $p$  then we get a vertex from the set  $I^\ddagger$  with  
 725 probability more than  $\frac{p}{4}$ .

726 Since  $i^\parallel \notin X \cup (I_X \setminus C_U^*)$  holds, from Observation 15 we get that  $(I_U^* \setminus \{i^\parallel\}) \subseteq X \cup (I_X \setminus C_U^*)$   
 727 holds. Observe that, in general,  $(I_X \setminus C_U^*) \subseteq (I_U^* \cup U_{OPT})$  holds. In this case since the vertex  
 728  $i^\parallel \in I_U^*$  is not in the set  $I_X \setminus C_U^*$  we get that  $(I_X \setminus C_U^*) \subseteq ((I_U^* \setminus \{i^\parallel\}) \cup U_{OPT})$  holds. Hence

729 we get that  $w(X \cup (I_X \setminus C_U^*)) \leq w(X) + w(I_U^* \setminus \{i^\parallel\}) + w(U_{OPT}) \leq 6w(OPT) + w(I_U^* \setminus \{i^\parallel\})$   
 730 holds in this case. Also, since  $(G, w, (C, I, U))$  is a hard instance we get—Definition 20—that  
 731  $w(I_U^* \setminus \{i^\parallel\}) > \frac{\varepsilon \cdot w(OPT)}{2}$  holds. Putting these together we get

$$732 \quad \frac{w(X \cup (I_X \setminus C_U^*))}{w(I_U^* \setminus \{i^\parallel\})} \leq \frac{6w(OPT) + w(I_U^* \setminus \{i^\parallel\})}{w(I_U^* \setminus \{i^\parallel\})} = 1 + \frac{6w(OPT)}{w(I_U^* \setminus \{i^\parallel\})}$$

$$733 \quad < 1 + \frac{6w(OPT)}{\frac{\varepsilon \cdot w(OPT)}{2}} = \frac{\varepsilon + 12}{\varepsilon}.$$
 734

735 Thus we get that  $w(X \cup (I_X \setminus C_U^*)) < (1 + \frac{12}{\varepsilon})w(I_U^* \setminus \{i^\parallel\})$  holds. It follows that picking  
 736 a vertex proportionately at random from the set  $X \cup (I_X \setminus C_U^*)$  yields a vertex from the  
 737 set  $I_U^* \setminus \{i^\parallel\}$  with probability more than  $1/(1 + \frac{12}{\varepsilon})$ . And this vertex is in the set  $I^\ddagger$  with  
 738 probability more than  $1/4(1 + \frac{12}{\varepsilon})$ , which satisfies part (2b) of the lemma.  $\triangleleft$

739 Thus, assuming  $(C_U^*, I_U^*)$  is a light pair, at least one of the statements is always true.  $\blacktriangleleft$

740 From Lemma 23 and Lemma 24 we get

741 **► Lemma 25.** *Let  $(G, w, (C, I, U))$  be a hard instance of A-SVD and let  $\varepsilon, OPT, C^*, I^*, C_U^*, I_U^*$   
 742 be as in Definition 13. Then one of the following statements is true.*

743 **(1a)** *Picking a vertex proportionately at random from  $X \cup (I_X \setminus C_U^*)$  yields a vertex from  
 744  $\{v \in I_U^* \mid w(N(v) \cap C_U^*) \geq \frac{w(C_U^*)}{4}\}$  with probability at least  $1/20(1 + \frac{12}{\varepsilon})$ .*

745 **(1b)** *Picking a vertex proportionately at random from  $X \cup (I_X \setminus C_U^*)$  yields a vertex from  
 746  $\{v \in I_U^* \mid w(N(v) \cap (C_U^* \setminus \{c^*\})) \geq \frac{w(C_U^* \setminus \{c^*\})}{4}\}$  with probability at least  $1/20(1 + \frac{12}{\varepsilon})$ , for  
 747 some vertex  $c^* \in C_U^*$ .*

748 **(2a)** *Picking a vertex proportionately at random from  $X \cup (C_X \setminus I_U^*)$  yields a vertex from  
 749  $\{v \in C_U^* \mid w(I_U^* \setminus N(v)) \geq \frac{w(I_U^*)}{4}\}$  with probability at least  $1/20(1 + \frac{12}{\varepsilon})$ .*

750 **(2b)** *Picking a vertex proportionately at random from  $X \cup (C_X \setminus I_U^*)$  yields a vertex from  
 751  $\{v \in C_U^* \mid w((I_U^* \setminus \{i^*\}) \setminus N(v)) \geq \frac{w(I_U^* \setminus \{i^*\})}{4}\}$  with probability at least  $1/20(1 + \frac{12}{\varepsilon})$ , for  
 752 some vertex  $i^* \in I_U^*$ .*

753 **Proof.** From Lemma 4 we get that  $(I_U^*, C_U^*)$  is either a heavy pair or a light pair. If  $(I_U^*, C_U^*)$   
 754 is a heavy pair then Lemma 23 applies, and at least one of the four options of that lemma  
 755 holds. Option (1a) of Lemma 23 implies option (1a) of the current lemma. Option (1b) of  
 756 Lemma 23 implies option (1b) of the current lemma. Options (2a) and (2b) of Lemma 23  
 757 both imply option (2b) of the current lemma.

758 If  $(I_U^*, C_U^*)$  is a light pair then Lemma 24 applies, and at least one of the four options  
 759 of that lemma holds. Option (1a) of Lemma 24 implies option (2a) of the current lemma.  
 760 Option (1b) of Lemma 24 implies option (2b) of the current lemma. Options (2a) and (2b)  
 761 of Lemma 24 both imply option (1b) of the current lemma.

762 Thus in every case, one of the four options of the current lemma holds.  $\blacktriangleleft$

763 **► Lemma 26.** *Let  $(G, w, (C, I, U))$  be a hard instance of A-SVD and let  $\varepsilon, OPT, C^*, I^*, C_U^*, I_U^*$   
 764 be as in Definition 13.*

765 **1.** *There is a randomized polynomial-time algorithm which, given  $(G, w, (C, I, U))$  as input,  
 766 picks a vertex proportionately at random from the set  $X \cup (I_X \setminus C_U^*)$  with probability  
 767 at least  $\frac{1}{2}$ . That is, the algorithm runs in polynomial time and outputs a vertex  $v$ , and  
 768 the following hold with probability at least  $\frac{1}{2}$ : (i)  $v \in X \cup (I_X \setminus C_U^*)$ , and (ii) for any  
 769  $x \in (X \cup (I_X \setminus C_U^*))$ ,  $Pr[v = x] = w(x)/w(X \cup (I_X \setminus C_U^*))$ .*

770 2. There is a randomized polynomial-time algorithm which, given  $(G, w, (C, I, U))$  as input,  
 771 picks a vertex proportionately at random from the set  $X \cup (C_X \setminus I_U^*)$  with probability  
 772 at least  $\frac{1}{2}$ . That is, the algorithm runs in polynomial time and outputs a vertex  $v$ , and  
 773 the following hold with probability at least  $\frac{1}{2}$ : (i)  $v \in X \cup (C_X \setminus I_U^*)$ , and (ii) for any  
 774  $x \in (X \cup (C_X \setminus I_U^*))$ ,  $\Pr[v = x] = w(x)/w(X \cup (C_X \setminus I_U^*))$ .

775 **Proof.** Given an instance  $(G, w, (C, I, U))$  of ANNOTATED SPLIT VERTEX DELETION as  
 776 input, in each case the algorithm first applies Remark 14 to compute a 5-factor approximate  
 777 solution  $X$  to the SPLIT VERTEX DELETION instance  $(G[U], w_U)$ , and a split partition  
 778  $(C_X, I_X)$  of the split graph  $G[U] - X$ , in polynomial time.

779 The two cases are symmetric; we prove each case in turn.

780 1. In this case the algorithm picks a vertex  $v_1$  proportionately at random from the set  
 781  $X \cup I_X$ . It then deletes  $v_1$  from  $X \cup I_X$  and picks a vertex  $v_2$  proportionately at random  
 782 from the remaining set  $(X \cup I_X) \setminus \{v_1\}$ . Finally, it returns one of the two vertices  $\{v_1, v_2\}$   
 783 uniformly at random as the vertex  $v$ .

784 This procedure clearly runs in polynomial time. We now analyze the probability of success.  
 785 Suppose  $I_X \cap C_U^* = \emptyset$  holds. Then  $X \cup I_X = X \cup (I_X \setminus C_U^*)$  holds, and vertex  $v_1$  satisfies  
 786 the requirement on vertex  $v$  with probability 1. Since the algorithm returns vertex  $v_1$   
 787 with probability  $\frac{1}{2}$ , in this case the algorithm succeeds with probability  $\frac{1}{2}$ .

788 The other case is when  $I_X \cap C_U^* \neq \emptyset$ . Now, since  $I_X$  is an independent set and  $C_U^*$  a  
 789 clique, we get that  $|I_X \cap C_U^*| = 1$  holds in this case. So let  $I_X \cap C_U^* = \{y\}$ , and hence  
 790  $X \cup (I_X \setminus C_U^*) = (X \cup I_X) \setminus \{y\}$ . Note that we sample two distinct vertices  $v_1$  and  $v_2$   
 791 from  $X \cup I_X$ , and then set  $v$  as one of them uniformly at random. Now consider two  
 792 cases:

793 a. Suppose that  $v_1 = y$ . Then we sample  $v_2$  from  $(X \cup I_X) \setminus \{y\} = X \cup (I_X \setminus C_U^*)$   
 794 proportionately at random. Then we pick  $v \in \{v_1, v_2\}$  uniformly at random. Hence,  
 795 with probability  $\frac{1}{2}$  we return  $v_2$ , which satisfies all the required conditions.  
 796 b. Otherwise,  $v_1 \neq y$ . Then conditioned on this event (when we pick  $v_1$ ), the following  
 797 holds: for any  $x \in X \cup (I_X \setminus C_U^*) = (X \cup I_X) \setminus \{y\}$ ,  $\Pr[v_1 = x] = w(x)/w(X \cup (I_X \setminus C_U^*))$ .  
 798 Once again, with probability  $\frac{1}{2}$  we return  $v_1$ , and it satisfies all the required conditions.

799 2. In this case the algorithm picks a vertex  $v_1$  proportionately at random from the set  
 800  $X \cup C_X$ . It then deletes  $v_1$  from  $X \cup C_X$  and picks a vertex  $v_2$  proportionately at random  
 801 from the remaining set  $(X \cup C_X) \setminus \{v_1\}$ . Finally, it returns one of the two vertices  $\{v_1, v_2\}$   
 802 uniformly at random as the vertex  $v$ .

803 This procedure clearly runs in polynomial time. We now analyze the probability of  
 804 success. Suppose  $C_X \cap I_U^* = \emptyset$  holds. Then  $X \cup C_X = X \cup (C_X \setminus I_U^*)$  holds, and vertex  
 805  $v_1$  satisfies the requirement on vertex  $v$  with probability 1. Since the algorithm returns  
 806 vertex  $v_1$  with probability  $\frac{1}{2}$ , in this case the algorithm succeeds with probability  $\frac{1}{2}$ .

807 The other case is when  $C_X \cap I_U^* \neq \emptyset$ . Then  $|C_X \cap I_U^*| = 1$  and let  $C_X \cap I_U^* = \{y\}$ . Note  
 808 that we sample two distinct vertices  $v_1$  and  $v_2$  from  $X \cup C_X$ , and then set  $v$  as one of  
 809 them uniformly at random. Now consider two cases:

810 a. Suppose that  $v_1 = y$ . In this case, we sample  $v_2$  from  $X \cup C_X \setminus \{y\}$  proportionately at  
 811 random. The algorithm returns  $v_2$  with probability at least  $\frac{1}{2}$ , which satisfies all the  
 812 required conditions.  
 813 b. Otherwise  $v_1 \neq y$ . Then conditioned on this event (when we pick  $v_1$ ), the following  
 814 holds: for any  $x \in (X \cup C_X) \setminus \{y\} = X \cup (C_X \setminus I_U^*)$ ,  $\Pr[v_1 = x] = w(x)/w(X \cup (C_X \setminus I_U^*))$ .  
 815 The algorithm returns  $v_1$  with probability at least  $\frac{1}{2}$ , which satisfies all the required  
 816 conditions.

**Algorithm 1**


---

**Input:** An instance  $(G, w, (C, I, U))$  of A-SVD, a tuples  $(\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I)$  and  $\varepsilon > 0$ .  
**Output:** A  $(2 + \varepsilon)$ -factor approximate solution to  $(G, w, (C, I, U))$ .

- 1: **procedure** ASVD-APPROX( $(G, w, (C, I, U)), \varepsilon, \beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I$ )
- 2:   **if**  $U = \emptyset$  **then**
- 3:     Compute a 2-approximation  $S$  using Lemma 12
- 4:     **return**  $S$
- 5:   **end if**
- 6:    $X \leftarrow$  5-approximate solution to  $(G[U], w)$  from Theorem 6
- 7:    $I_X, C_X \leftarrow$  the independent set and the clique in the split partition of  $G[U] - X$ .
- 8:   Compute the sets  $S_{12}$  and  $S_{34}$  as described in Lemma 16.
- 9:   Compute the sets  $S^\dagger$  and  $S^\ddagger$  as described in Lemma 18.
- 10:  **if**  $\beta_1^C \geq 0$  and  $\beta_2^C \geq 0$  and  $\beta_1^I \geq 0$  and  $\beta_2^I \geq 0$  **then**
- 11:    **for all**  $j \in \{1, 2, \dots, b(\varepsilon)\}$  **do**  $\triangleright b(\varepsilon) = \lceil 80(1 + \frac{12}{\varepsilon}) \rceil$ .
- 12:     Sample a vertex  $v_I$  proportionally at random from the set  $X \cup (I_X \setminus C_{U'}^*)$   
using Lemma 26.
- 13:     Set  $Z_C \leftarrow N(v_I) \cap U$ .
- 14:     Set  $C' \leftarrow C \cup Z_C$
- 15:     Set  $U' \leftarrow U \setminus Z_C$
- 16:     Set  $S_{j,1}^C \leftarrow$  ASVD-APPROX( $(G, w, (C', I, U')), \varepsilon, \beta_1^C - 1, \beta_2^C, \beta_1^I, \beta_2^I$ )
- 17:     Set  $S_{j,2}^C \leftarrow$  ASVD-APPROX( $(G, w, (C', I, U')), \varepsilon, \beta_1^C, \beta_2^C - 1, \beta_1^I, \beta_2^I$ )
- 18:     Sample a vertex  $v_C$  proportionally at random from the set  $X \cup (C_X \setminus I_{U'}^*)$   
using Lemma 26.
- 19:     Set  $Z_I \leftarrow U \setminus N(v_C)$ .
- 20:     Set  $I' \leftarrow I \cup Z_I$
- 21:     Set  $U' \leftarrow U \setminus Z_I$
- 22:     Set  $S_{j,1}^I \leftarrow$  ASVD-APPROX( $(G, w, (C, I', U')), \varepsilon, \beta_1^C, \beta_2^C, \beta_1^I - 1, \beta_2^I$ )
- 23:     Set  $S_{j,1}^I \leftarrow$  ASVD-APPROX( $(G, w, (C, I', U')), \varepsilon, \beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I - 1$ )
- 24:    **end for**
- 25:  **else**
- 26:    **for all**  $j \in \{1, 2, \dots, b(\varepsilon)\}$  **do**
- 27:      $S_{j,1}^C, S_{j,2}^C, S_{j,1}^I, S_{j,2}^I \leftarrow V(G), V(G), V(G), V(G)$
- 28:    **end for**
- 29:  **end if**
- 30:   $S \leftarrow$  a min weight set in  $\bigcup_{j=1,2,\dots,b(\varepsilon)} \{S_{j,1}^C, S_{j,2}^C, S_{j,1}^I, S_{j,2}^I\} \cup \{S_{12}, S_{34}, S^\dagger, S^\ddagger\}$ .
- 31:  **return**  $S$
- 32: **end procedure**

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**3.1 Polynomially Bounded Weights**

818

819 Let us first consider instances  $(G, w)$  of SVD which have polynomially bounded weights. Let  
820  $n = |V(G)|$ . Recall that  $w(v) \geq 1$  holds for each vertex  $v$  of  $G$ . We say that the weight  
821 function  $w$  is *polynomially bounded* if, in addition,  $\sum_{v \in V(G)} w(v) \leq c_1 n^{c_0}$  holds for every  
822  $v \in V(G)$  and some constants  $c_0, c_1$ . For such instances we have the following theorem.

823 **► Theorem 27.** *There exists a randomized algorithm that given a graph  $G$ , a polynomially*  
824 *bounded weight function  $w$  on  $V(G)$  and  $\varepsilon > 0$ , runs in time  $\mathcal{O}(n^{f(\varepsilon)})$  and outputs  $S \subseteq V(G)$*   
825 *such that  $G - S$  is a split graph and  $w(S) \leq (2 + \varepsilon)w(OPT)$  with probability at least  $1/2$ ,*

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826 where  $OPT$  is a minimum weight split vertex deletion set of  $G$ . Here,  $f(\varepsilon) = 6 + \log(80(1 +$   
827  $\frac{12}{\varepsilon})) \cdot 4c_0 \log(c_1) / \log(4/3)$ , where  $c_0, c_1$  are constants such that  $w(V) \leq c_1 \cdot n^{c_0}$ .

828 **Proof.** Let us fix an optimum solution  $OPT$  to  $(G, w)$ . We treat the instance  $(G, w)$  of SVD  
829 as an instance  $(G, w, (C = \emptyset, I = \emptyset, U = V(G)))$  of A-SVD, and apply Algorithm 1 to it,  
830 along with the given value of  $\varepsilon$  and four integers  $\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I$  each set to  $\lceil \log_{4/3}(w(V(G))) \rceil$ .  
831 Note that, as  $w$  is polynomially-bounded, we have  $w(V(G)) \leq c_1 n^{c_0}$  for some constants  
832  $c_0, c_1$ , and hence  $\beta' \leq c_2 \log(n)$  for every  $\beta' \in \{\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I\}$  where  $c_2$  is a constant. We  
833 will show that the value  $\beta = 1 + \beta_1^C + \beta_2^C + \beta_1^I + \beta_2^I \leq 1 + 4c_2 \log(n)$  is an upper-bound on  
834 the depth of the recursion tree of Algorithm 1, and that in each recursive call this value  
835 drops by 1. Hence the depth of recursion is bounded by  $\beta$ . Each recursive call is made on  
836 more constrained sub-instances of A-SVD where the underlying graph  $G$ , weight function  $w$ ,  
837 and the value of  $\varepsilon$  remain fixed. When one of  $\{\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I\}$  falls to  $-1$ , we argue that the  
838 current instance must be an easy instance (see Definition 20), assuming all the recursive  
839 calls leading the current call were “good” (as defined below). During its run the algorithm  
840 also computes a 5-approximate solution  $X$  to  $(G[U], w)$  using Theorem 6; let  $I_X, C_X$  be a  
841 fixed split partition of  $G[U] - X$ . We have a split partition  $(C^*, I^*)$  of  $G - OPT$  and we  
842 define  $I_U^* = I^* \cap U, C_U^* = C^* \cap U$ . These sets, introduced in Definition 13, play an important  
843 role in Algorithm 1 and its analysis.

844 To argue the correctness of Algorithm 1, we require the following definition. An invocation  
845 ASVD-APPROX( $G, w, (C, I, U), \varepsilon, \beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I$ ) is *good* if the following conditions are true:

- 846 ■  $\beta_1^C \geq \log_{4/3}(w(C_U^*)),$
- 847 ■  $\beta_2^C \geq \log_{4/3}(w(C_U^* \setminus \{c\}))$  for some  $c \in C_U^*,$
- 848 ■  $\beta_1^I \geq \log_{4/3}(w(I_U^*)),$  and
- 849 ■  $\beta_2^I \geq \log_{4/3}(w(I_U^* \setminus \{i\}))$  for some  $i \in I_U^*.$

850 Note that the definitions of  $C_U^*$  and  $I_U^*$  depend only on  $(G, w, (C, I, U))$  and on the  
851 optimum solution  $OPT$  that was fixed at the beginning. These sets are hypothetical and  
852 unknown, and we can't directly test if an invocation of Algorithm 1 is a good invocation.  
853 However, observe that in the initial call,  $U = V(G)$  and we set each of  $\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I$  to  
854  $\lceil \log_{4/3}(w(V(G))) \rceil$ , and hence the initial invocation is good. We will argue that if the  
855 current invocation is good and the instance of A-SVD is a hard instance (see Definition 20),  
856 then each recursive call made by the algorithm is good with a constant probability (which  
857 depends on  $\varepsilon$ ). Then (via an induction) we argue that a good recursive call will return a  
858  $(2 + \varepsilon)$ -approximate solution with probability at least  $\frac{1}{2}$ , and hence with constant probability  
859 we obtain a  $(2 + \varepsilon)$ -approximate solution from a recursive call. To boost the probability of  
860 success to  $\frac{1}{2}$ , we need to repeat this process constantly many times, so we make constantly  
861 many recursive calls. Finally, to bound the running time, we argue that the depth of the  
862 recursion tree is bounded by  $\beta = \mathcal{O}(\log n)$ , and we make constantly many recursive calls in  
863 each invocation of the algorithm. So the total number of calls made to this algorithm, which  
864 is upper-bounded by the size of the recursion tree, is  $n^{\mathcal{O}(1)}$ . This means that in polynomial  
865 time, with probability at least  $1/2$ , we obtain a  $(2 + \varepsilon)$ -approximate solution to  $(G, w)$ . Let  
866 us now present these arguments formally.

867 Let us recall the optimum solution  $OPT$  to  $(G, w)$  that was fixed at the beginning. We say  
868 that an instance  $(G, w, (C, U, I))$  is a *nice instance* if the solution  $OPT$  is also an optimum  
869 solution to this A-SVD instance. This means that a split partition  $C^*, I^*$  of  $G - OPT$  satisfies,  
870  $C^* \cap I = \emptyset$  and  $I^* \cap C = \emptyset$ . Note that this condition is trivially satisfied at the beginning  
871 for the starting instance  $(G, w, (C = \emptyset, I = \emptyset, U = V(G)))$ . Let us consider an invocation  
872 of Algorithm 1 on a nice instance of  $(G, w, (C, I, U))$  with polynomially bounded weight

function  $w$  and  $\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I$  such that it is a good invocation. Let  $S$  denote the solution returned by it. We will show that  $S$  is a  $(2 + \varepsilon)$ -approximate solution with probability at least  $\frac{1}{2}$ , by an induction on  $|U|$ . Suppose that  $|U| = 0$ , i.e.  $U = \emptyset$ . Then Lemma 12 ensures that  $S$  is a 2-approximate solution. This forms the base case of our induction on  $|U|$ .

Now suppose that  $|U| > 0$ , and we have two cases depending on whether  $(G, w, (C, I, U))$  is an easy instance or not. If it is an easy instance, then either the premise of Lemma 16 or the premise of Lemma 18 holds. Hence, one of  $S_{12}, S_{23}, S^\dagger, S^\ddagger$  is a  $(2 + \varepsilon)$ -approximation to  $(G, w, (C, I, U))$ . Moreover, we claim that if any one of  $\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I$  drops to  $-1$ , then the instance  $(G, w, (C, I, U))$  is an easy instance. Consider the case when  $\beta_2^C = -1$ . Then  $\log_{4/3}(w(C_U^* \setminus \{c\})) = -1$  for some  $c \in C_U^*$ . This means  $w(C_U^* \setminus \{c\}) < 3/4$ , and since  $w(v) \geq 1$  for every  $v \in V(G)$ , it must be the case that  $C_U^* = \{c\}$ . Hence, the premise of Lemma 18 holds and we obtain a  $(2 + \varepsilon)$ -approximate solution for  $(G, w, (C, I, U))$ . Similar arguments apply to the other cases, i.e. when  $\beta_1^C = -1$ , or  $\beta_1^I = -1$  or  $\beta_2^I = -1$ , and we can obtain a  $(2 + \varepsilon)$ -approximation in all these cases. Therefore, in all these cases  $S$  is a  $(2 + \varepsilon)$ -approximation to  $(G, w, (C, I, U))$ .

Now, consider the case when the given instance is a hard instance, i.e.  $U \neq \emptyset$  and the premises of Lemma 16 and Lemma 18 don't hold. In this case  $\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I \geq 0$ . Recall that  $X$  is a 5-approximate solution to SVD in the subgraph  $G[U]$ , and hence  $w(X) \leq 5 \cdot OPT$ . We will make recursive calls on instances of A-SVD of the form  $(G, w, (C', I', U'))$  such that  $C \subseteq C', I \subseteq I'$  and  $U' \subsetneq U$ . Suppose that  $(G, w, (C', I', U'))$  is a nice instance. Then by the induction hypothesis, as  $|U'| < |U|$ , we can assume that Algorithm 1 returns a  $(2 + \varepsilon)$ -approximate solution  $\widehat{S}$  to this instance with probability at least  $1/2$ . This is an approximate solution to the current instance as well:

▷ Claim 27.1.  $\widehat{S}$  is a  $(2 + \varepsilon)$ -approximate solution to  $(G, w, (C, I, U))$

**Proof.** Observe that, since  $\widehat{S}$  is feasible solution to the nice instance  $(G, w, (C', I', U'))$ , there is a split partition  $(C_{\widehat{S}}, I_{\widehat{S}})$  of  $G - \widehat{S}$  such that  $C' \cap I_{\widehat{S}} = \emptyset$  and  $I' \cap C_{\widehat{S}} = \emptyset$ . Therefore, we have  $C \cap I_{\widehat{S}} = \emptyset$  and  $I \cap C_{\widehat{S}} = \emptyset$ , i.e.  $\widehat{S}$  is a feasible solution to  $(G, w, (C, I, U))$ . Since  $w(\widehat{S}) \leq (2 + \varepsilon)w(OPT)$ , the claim is true. ◀

Let us now consider the recursive calls made by the algorithm for each  $j \in \{1, 2, \dots, b(\varepsilon) = \lceil 80(1 + \frac{12}{\varepsilon}) \rceil\}$ , and argue that with a constant probability (depending on  $\varepsilon$ ) we can obtain a  $(2 + \varepsilon)$ -approximation to the given instance. In each recursive call, one of  $\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I$  drops by exactly 1. Let us fix  $j \in \{1, 2, \dots, b(\varepsilon)\}$  and consider the two vertices  $v_I, v_C$  sampled using Lemma 26. Since  $(G, w, (C, I, U))$  is a hard instance, the following hold.

- With probability at least  $1/2$ ,  $v_I \in X \cup (I_X \setminus C_U^*)$ , and for any  $x \in (X \cup (I_X \setminus C_U^*))$ ,  $Pr[v_I = x] = w(x)/w(X \cup (I_X \setminus C_U^*))$ .
- With probability at least  $1/2$ ,  $v_C \in X \cup (C_X \setminus I_U^*)$ , and for any  $x \in (X \cup (C_X \setminus I_U^*))$ ,  $Pr[v_C = x] = w(x)/w(X \cup (C_X \setminus I_U^*))$ .

By the induction hypothesis, any good invocation ASVD-APPROX( $G, w, (C', I', U'), \varepsilon, \widehat{\beta}_1^C, \widehat{\beta}_2^C, \widehat{\beta}_1^I, \widehat{\beta}_2^I$ ) where  $(G, w, (C', I', U'))$  is a nice instance and  $|U'| < |U|$  holds, returns a  $(2 + \varepsilon)$ -approximate solution to  $(G, w, (C', I', U'))$  with probability at least  $\frac{1}{2}$ . We now have four cases, depending on which of the four statements in Lemma 25 is true for  $(G, w, (C, I, U))$ . In each case we will argue that with constant probability, we make a good recursive call on a nice instance and obtain a  $(2 + \varepsilon)$ -approximate solution from it.

- (i) Suppose that statement (1a) of Lemma 25 is true. That is, picking a vertex proportionally at random from  $X \cup (I_X \setminus C_U^*)$  yields a vertex from  $\{v \in I_U^* \mid w(N(v) \cap C_U^*) \geq \frac{w(C_U^*)}{4}\}$  with probability at least  $1/20(1 + \frac{12}{\varepsilon})$ . Then  $v_I \in \{v \in I_U^* \mid w(N(v) \cap C_U^*) \geq \frac{w(C_U^*)}{4}\}$

919 with probability at least  $1/40(1 + \frac{12}{\varepsilon})$ . As  $v_I \in I_U^*$ , every vertex in  $Z_C = N(v_I) \cap U$   
920 must either be in  $OPT_U$  or in  $C_U^*$ . Furthermore,  $w(Z_C \cap C_U^*) \geq \frac{w(C_U^*)}{4}$ . Let  $U' =$   
921  $U \setminus Z_C$ ,  $C' = C \cup Z_C$  and consider the invocation ASVD-APPROX( $G, w, (C', I, U'), \varepsilon, \beta_1^C -$   
922  $1, \beta_2^C, \beta_1^I, \beta_2^I$ ). Let us argue that it is a good invocation. By definition  $C_{U'}^* = C^* \cap U'$   
923 satisfies  $w(C_{U'}^*) \leq \frac{3}{4}w(C_U^*)$ . Therefore, as  $\beta_1^C \geq \log_{4/3}(w(C_U^*))$ , we have  $\beta_1^C - 1 \geq$   
924  $\log_{4/3}(w(C_{U'}^*))$ . Furthermore, observe that  $\beta_2^C \geq \log_{4/3}(w(C_{U'}^* \setminus \{c^*\}))$ , and  $I, \beta_1^I, \beta_2^I$   
925 remain unchanged. Hence, assuming that the current invocation is good, this invocation  
926 is also good. Let us argue that  $(G, w, (C', I, U'))$  is a nice instance, i.e.  $OPT$  is an  
927 optimum solution to it. Towards this, recall that  $C' = C \cup Z_C$  where  $Z_C = N(v_I) \cap U$   
928 and  $v_I \in I_U^* \subseteq I^*$ . Hence, every vertex in  $Z_C$  is either in  $OPT$  or in  $C^*$ , i.e.  $Z_C \cap I^* = \emptyset$ .  
929 Since  $OPT$  is feasible for  $(G, w, (C, I, U))$  we have that  $C \cap I^* = \emptyset$ . Therefore,  $C' \cap I^* =$   
930  $(C \cup Z_C) \cap I^* = \emptyset$ , and hence  $OPT$  is a feasible solution for  $(G, w, (C', I, U'))$ . Finally, as  
931 any feasible solution for  $(G, w, (C', I, U'))$  is also feasible for  $(G, w, OPT)$  is an optimum  
932 solution for  $(G, w, (C', I, U'))$ . Now  $|U'| < |U|$ , and by the induction hypothesis, this  
933 invocation returns a solution  $S_{j,1}^C$  to  $(G, w, (C', I, U'))$  with probability at least  $1/2$ . By  
934 Claim 27.1,  $S_{1,j}^C$  is a  $(2 + \varepsilon)$ -approximate solution to  $(G, w, (C, I, U))$ . Hence, we obtain a  
935 solution  $S_{1,j}^C$  that is a  $(2 + \varepsilon)$ -approximation to  $(G, w, (C, I, U))$ , and this event happens  
936 with probability at least  $1/80(1 + \frac{12}{\varepsilon})$ . Note that  $\beta_1^C$  drops by 1 in the recursive call .

937 (ii) Suppose that statement (1b) of Lemma 25 is true. That is, picking a vertex proportionately  
938 at random from  $X \cup (I_X \setminus C_U^*)$  yields a vertex from  $\{v \in I_U^* \mid w(N(v) \cap (C_U^* \setminus \{c^*\})) \geq$   
939  $\frac{w(C_U^* \setminus \{c^*\})}{4}\}$  with probability at least  $1/20(1 + \frac{12}{\varepsilon})$ , for some vertex  $c^* \in C_U^*$  (as determined  
940 by Lemma 25). Then, with probability at least  $1/40(1 + \frac{12}{\varepsilon})$ ,  $v_I \in \{v \in I_U^* \mid w(N(v) \cap$   
941  $(C_U^* \setminus \{c^*\})) \geq \frac{w(C_U^* \setminus \{c^*\})}{4}\}$ . As  $v_I \in I_U^*$ , every vertex in  $Z_C = N(v_I) \cap U$  must either  
942 be in  $OPT$  or in  $C_U^*$ . Let  $C' = C \cup Z_C$ ,  $U' = U \setminus Z_C$  and consider the invocation  
943 ASVD-APPROX( $G, w, (C', I, U'), \varepsilon, \beta_1^C, \beta_2^C - 1, \beta_1^I, \beta_2^I$ ). Let us argue that it is a good  
944 invocation. Let  $\widehat{C} = (C_U^* \setminus \{c^*\}) \setminus N(v_I)$  and  $C_{U'}^* = C^* \cap U'$ , and note that either  
945  $C_{U'}^* = \widehat{C}$  or  $C_{U'}^* = \widehat{C} \cup \{c^*\}$ . Since  $w(\widehat{C}) \leq \frac{3}{4}w(C_U^* \setminus \{c^*\})$  by the choice of  $v_I$ , we have  
946  $\log_{4/3}(w(\widehat{C})) \leq \log_{4/3}(w(C_U^* \setminus \{c^*\})) - 1 \leq \beta_2^C - 1$ . Therefore, if  $C_{U'}^* = \widehat{C}$ , then for any  
947 arbitrary  $c' \in C_{U'}^*$ , we have  $\beta_2^C - 1 \geq \log_{4/3}(w(C_{U'}^* \setminus \{c'\}))$ ; otherwise  $C_{U'}^* = \widehat{C} \cup \{c^*\}$ ,  
948 and  $\beta_2^C - 1 \geq \log_{4/3}(w(C_{U'}^* \setminus \{c^*\}))$ . Furthermore, observe that  $\beta_1^C$  is unchanged and  
949  $C_{U'}^* \subseteq C_U^*$ , we have  $\log_{4/3}(w(C_{U'}^*)) \leq \beta_1^C$ . Similarly,  $I, \beta_1^I, \beta_2^I$  are also unchanged. Hence,  
950 this invocation is good. Next, as in the previous case, we can argue that  $(G, w, (C', I, U'))$   
951 is a nice instance. Then, as  $|U'| < |U|$ , by the induction hypothesis the invocation returns  
952 a  $(2 + \varepsilon)$ -approximate solution  $S_{j,2}^C$  to  $(G, w, (C', I, U'))$  with probability at least  $1/2$ . By  
953 Claim 27.1,  $S_{j,2}^C$  is a  $(2 + \varepsilon)$ -approximate solution to  $(G, w, (C, I, U))$ . Hence, we obtain a  
954 solution  $S_{j,2}^C$  that is a  $(2 + \varepsilon)$ -approximation to  $(G, w, (C, I, U))$ , and this event happens  
955 with probability at least  $1/80(1 + \frac{12}{\varepsilon})$ . Note that  $\beta_2^C$  drops by 1 in recursive call made  
956 here.

957 (iii) Suppose that statement (2a) of Lemma 25 is true. This case is symmetric to Case-  
958 (i), above, where the arguments are made with respect to  $v_C \in X \cup (C_X \setminus I_U^*)$ . Here  
959  $v_C \in \{v \in C_U^* \mid w(I_U^* \setminus N(v)) \geq \frac{w(I_U^*)}{4}\}$  with probability at least  $1/40(1 + \frac{12}{\varepsilon})$ . We consider  
960 the instance  $(G, w, (C, I', U'))$  where  $I' = I \cup Z_I$ ,  $U' = U \setminus Z_I$  and  $Z_I = U \setminus N(v_C)$ . We  
961 can argue that this invocation is good and the instance  $(G, w, (C, I', U'))$  is nice. Then,  
962 as  $|U'| \leq |U|$ , by the induction hypothesis, this invocation returns a  $(2 + \varepsilon)$ -approximate  
963 solution to  $(G, w, (C, I', U'))$  with probability at least  $1/2$ . Let  $S_{j,1}^I$  denote this solution,  
964 and we argue that it is also a  $(2 + \varepsilon)$ -approximate solution to  $(G, w, (C, I, U))$ . In  
965 conclusion, we obtain a solution  $S_{j,1}^I$  that is a  $(2 + \varepsilon)$ -approximation to  $(G, w, (C, I, U))$ ,  
966 and this event happens with probability at least  $1/80(1 + \frac{12}{\varepsilon})$ . Note that  $\beta_1^I$  drops by 1



in recursive call made here.

(iv) Suppose that statement (2a) of Lemma 25 is true. This case is symmetric to Case-(ii) above. Here we have a vertex  $v_C \in \{v \in C_U^* \mid w(I_U^* \setminus N(v)) \geq \frac{w(I_U^*)}{4}\}$  with probability at least  $1/40(1 + \frac{12}{\varepsilon})$ . We make a recursive call  $\text{ASVD-APPROX}(G, w, (C, I', U'), \varepsilon, \beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I - 1)$ , where  $I' = I \cup Z_I$ ,  $U' = U \setminus Z_I$  and  $Z_I = U \setminus N(v_C)$ . Here, we obtain a solution  $S_{j,2}^I$  that is a  $(2 + \varepsilon)$ -approximation to  $(G, w, (C, I, U))$ , and this event happens with probability at least  $1/80(1 + \frac{12}{\varepsilon})$ . Note that  $\beta_2^I$  drops by 1 in recursive call made here. Therefore, if  $(G, w, (C, I, U))$  is a hard instance, then for each  $j \in \{1, 2, \dots, b(\varepsilon)\}$ , one of  $S_{j,1}^C, S_{j,2}^C, S_{j,1}^I, S_{j,2}^I$  is a  $(2 + \varepsilon)$ -approximate solution to it with probability at least  $1/80(1 + \frac{12}{\varepsilon})$ . Note that the recursive calls made for any two distinct  $j, j' \in \{1, 2, \dots, b(\varepsilon)\}$  are independent events. Therefore, by setting  $b(\varepsilon) = \lceil 80(1 + \frac{12}{\varepsilon}) \rceil$ , we obtain that with probability at least  $1/2$  there exists  $j \in \{1, 2, \dots, b(\varepsilon)\}$  such that one of  $S_{j,1}^C, S_{j,2}^C, S_{j,1}^I, S_{j,2}^I$  is a  $(2 + \varepsilon)$ -approximate solution to  $(G, w, (C, I, U))$ .

Finally, let us bound the running time of this algorithm. Towards this, we must bound the total number of calls made to Algorithm 1, when run on an instance  $(G, w)$  with polynomially bounded weights. Observe that, we start with an instance  $(G, w, (C = \emptyset, I = \emptyset, U = V(G)))$  of A-SVD along with  $\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I$  set to  $\lceil \log_{4/3}(w(V(G))) \rceil = c_2 \log(n)$  for some constant  $c_2$ . Then, for each instance  $(G, w, (C, I, U))$ , we make  $b(\varepsilon)$  recursive calls and at least one of  $\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I$  drops by 1 in each of these calls. Additionally  $U$  drops to a strict subset in each of these calls. Hence in a finite number of steps, either  $U$  becomes empty, or one of  $\beta_1^C, \beta_2^C, \beta_1^I, \beta_2^I$  becomes equal to  $-1$ , and we reach an easy instance. Observe that this must happen at some point before the depth of recursion exceeds  $\beta = 1 + 4c_2 \log(n)$ . Hence, the number of recursive calls made for the instance  $(G, w)$  is upper bounded by  $b(\varepsilon)^\beta = \mathcal{O}(n^{h(\varepsilon)})$  where  $h(\varepsilon) = \log(80(1 + \frac{12}{\varepsilon})) \cdot 4c_0 \log(c_1) / \log(4/3)$ . Recall that  $c_0, c_1$  are constants such that  $w(V(G)) \leq c_1 \cdot n^{c_0}$ . Observe that in each recursive call, we spend  $\mathcal{O}(n^6)$  time (excluding the recursive calls). Hence the total running time is upper-bounded by  $n^{f(\varepsilon)}$  where  $f(\varepsilon) = 6 + \log(80(1 + \frac{12}{\varepsilon})) \cdot 4c_0 \log(c_1) / \log(4/3)$ . Alternatively, this bound on the running time can be obtained from the Master Theorem. ◀

### 3.2 General Weight Functions

In this section, we extend Theorem 27 to instances of SVD with general weight function. In particular we show that given an instance with general weights, we can construct an instance with polynomially-bounded weights such that an approximate solution to the new instance can be lifted back to the original instance.

► **Lemma 28.** *Let  $(G, w)$  be an instance of SVD, and  $\varepsilon > 0$  be a constant. Then we can construct another instance  $(G', w')$  of SVD such that  $G'$  is a subgraph of  $G$  and given any  $\alpha$ -approximate solution to  $(G', w')$  where  $\alpha \leq 5$ , we can obtain an  $(\alpha + \varepsilon)$ -approximate solution to  $(G, w)$ . Moreover, the weight function  $w'$  is polynomially bounded, and  $w'(V(G')) \leq \frac{30n^2}{\varepsilon}$ .*

**Proof.** Given the instance  $(G, w)$  of SVD, let us compute a 5-approximation  $X$  to it by applying Theorem 6. Let  $OPT$  denote an optimum solution to  $(G, w)$  and note that  $w(OPT) \leq w(X) \leq 5w(OPT)$ . We then construct an instance  $(G', w'')$  as follows.

1. Let  $Z = \{v \in V(G) \mid w(v) \leq \varepsilon \cdot \frac{1}{n} \cdot \frac{w(X)}{5}\}$ , and let  $G' = G[V(G) \setminus Z]$ .
2. Let  $H = \{v \in V(G) \mid w(v) > 5w(X)\}$ , and define  $w''(v) = w(X) + 1$ . For all other vertices  $v \in V(G') \setminus H$ , define  $w''(v) = w(v)$ .

Consider the instance  $(G', w'')$ , and let  $S$  be an  $\alpha$ -approximate solution to  $(G', w'')$  for some  $\alpha \leq 5$ . We claim that  $S \cup Z$  is an  $(\alpha + \varepsilon)$ -approximate solution to  $(G, w)$ . Let us first argue that  $S \cap H = \emptyset$ . Let  $OPT''$  denote the optimum solution to  $(G', w'')$ . Consider the

1013 solution  $X \subseteq V(G)$  to  $(G, w)$  and observe that as  $G'$  is an induced subgraph of  $G$ , the graph  
 1014  $G' - X$  is a split graph. Further,  $w(X) = w''(X)$  (since for any  $v \in X$ ,  $w(v) \leq w(X)$  and  
 1015 hence  $w''(v) = w(v)$ ). Similarly, if we consider the solution  $OPT$  to  $(G, w)$ , we obtain that  
 1016  $w''(OPT) = w(OPT)$ . Hence,  $w''(OPT'') \leq w''(OPT)$  and  $OPT'' \cap H = \emptyset$ . Therefore, if  
 1017  $w''(S) \leq \alpha w''(OPT'')$  for  $\alpha \leq 5$ , then  $w''(S) \leq \alpha w''(OPT) = \alpha w(OPT) \leq 5w(X)$ , and  
 1018 hence  $S \cap H = \emptyset$ . Therefore,  $w''(S) = w(S)$  and  $w(S) \leq \alpha w(OPT)$ . Then we have the  
 1019 following.

$$\begin{aligned} 1020 \quad w(S \cup Z) &= w(S) + w(Z) \\ 1021 \quad &\leq w(S) + n \cdot \varepsilon \cdot \frac{1}{n} \cdot \frac{w(X)}{5} \\ 1022 \quad &\leq \alpha w(OPT) + \varepsilon w(OPT) \end{aligned}$$

Thus given any  $\alpha$ -approximate solution  $S$  to  $(G', w'')$  we can construct an  $(\alpha + \varepsilon)$ -  
 approximate solution to  $(G, w)$ . Next, observe that every vertex  $v \in V(G')$  satisfies the  
 following.

$$\varepsilon \cdot \frac{1}{n} \cdot \frac{w(X)}{5} \leq w''(v) \leq 5w(X) + 1$$

Define  $w'(v) = w(v) \cdot \frac{1}{\varepsilon} \cdot \frac{5n}{w(X)}$ . Then we have the following.

$$1 \leq w'(v) \leq \frac{5w(X) + 1}{w(X)} \cdot \frac{5n}{\varepsilon} \leq \frac{30n}{\varepsilon}$$

1024 Hence  $w'(v) \geq 1$  for every vertex  $v \in V(G)$  and  $\sum_{v \in V(G')} w'(v) \leq \frac{30n^2}{\varepsilon}$ . Since  $\varepsilon$  is a constant  
 1025  $(G', w')$  is a polynomially-bounded instance. Furthermore, by definition of  $w'$ , any  $S \subseteq V(G')$   
 1026 is an  $\alpha$ -approximate solution to  $(G', w')$  if and only if it is an  $\alpha$ -approximate solution to  
 1027  $(G', w')$ . Therefore, if  $\alpha \leq 5$ , then given any  $\alpha$ -approximate solution  $S$  to  $(G', w')$ ,  $S \cup Z$  is  
 1028 an  $(\alpha + \varepsilon)$ -approximate solution to  $(G, w)$ . ◀

1029 We have the following corollary of Theorem 27 and Lemma 28.

1030 ▶ **Theorem 29.** *There exists a randomized algorithm that given a graph  $G$ , a weight function*  
 1031  *$w$  on  $V(G)$  and  $\varepsilon > 0$ , runs in time  $\mathcal{O}(n^{g(\varepsilon)})$  and outputs  $S \subseteq V(G)$  such that  $G - S$  is a split*  
 1032 *graph and  $w(S) \leq 2(1 + \varepsilon)w(OPT)$  with probability at least  $1/2$ , where  $OPT$  is a minimum*  
 1033 *weight split vertex deletion set of  $G$ . Here,  $g(\varepsilon) = 6 + 8 \log(80(1 + \frac{12}{\varepsilon})) \cdot \log(\frac{30}{\varepsilon}) / \log(4/3)$ .*

1034 **Proof.** Given the instance  $(G, w)$  and  $\varepsilon$ , we apply Lemma 28 and obtain an instance  
 1035  $(G', w')$ , where  $w'(V(G')) \leq \frac{30n^2}{\varepsilon}$ . We then apply Theorem 27 to  $(G', w')$  and  $\varepsilon$  and  
 1036 obtain a solution  $S'$  to it. This algorithm runs in time  $|V(G')|^{g(\varepsilon)} \leq n^{g(\varepsilon)}$ , where  $g(\varepsilon) =$   
 1037  $6 + 8 \log(80(1 + \frac{12}{\varepsilon})) \cdot \log(\frac{30}{\varepsilon}) / \log(4/3)$ , and with probability at least  $1/2$   $S'$  is a  $(2 + \varepsilon)$ -  
 1038 approximate solution to  $(G', w')$ . Then by Lemma 28,  $S'$  can be lifted to a  $2(1 + \varepsilon)$ -approximate  
 1039 solution  $S$  to  $(G, w)$ . ◀

## 1040 4 Conclusion

1041 One of the natural open question is to obtain a polynomial time 2-approximation algorithm  
 1042 for SVD and match the lower bound obtained under UGC. It will be interesting to find other  
 1043 implicit  $d$ -HITTING SET problems and find its correct “approximation complexity”. Towards  
 1044 this we restate the conjecture of Fiorini et al. [6] about a concrete implicit 3-HITTING SET  
 1045 problem: there is a 2-approximation algorithm for CLUSTER VERTEX DELETION matching  
 1046 the lower bound under UGC.

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