

Abstract Data Types and Software Validation

Guttag, Horowitz, and Musser

Algebraic Specifications

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Algebraic Specifications of Abstract Data Types

- Specification
 - syntax
 - semantics
- Representation
- Programs (Implementation)

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type Stack[elementtype: Type]

syntax

```
NEWSTACK → Stack,  
PUSH(Stack, elementtype) → Stack,  
POP(Stack) → Stack,  
TOP(Stack) → elementtype U {UNDEFINED},  
ISNEW(Stack) → Boolean,  
REPLACE(Stack, elementtype) → Stack
```

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syntax

```
NEWSTACK → Stack,  
PUSH(Stack, elementtype) → Stack,  
POP(Stack) → Stack,  
TOP(Stack) → elementtype U {UNDEFINED},  
ISNEW(Stack) → Boolean,  
REPLACE(Stack, elementtype) → Stack
```

semantics

```
declare stk:Stack, elm:elementtype;  
POP(NEWSTACK) = NEWSTACK,  
POP(PUSH(stk,elm)) = stk,  
TOP(NEWSTACK) = UNDEFINED,  
TOP(PUSH(stk,elm)) = elm,  
ISNEW(NEWSTACK) = TRUE,  
ISNEW(PUSH(stk,elm)) = FALSE,  
REPLACE(stk,elm) = PUSH(POP(stk),elm)
```

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Every operation is one of

- Constructor
- Modifier
- Selector

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Constructor

- Can construct any instance of the type being defined using only constructors
- No proper subset of the constructors can do this
- For a given type the constructors are not necessarily unique

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Consider

type Sequence[elementtype: Type]

syntax

NEWSEQ → Sequence,
 APPENDR (Sequence, elementtype) → Sequence,
 APPENDL (Sequence, elementtype) → Sequence,
 FIRST(Sequence) → elementtype U {UNDEFINED},
 LAST(Sequence) → elementtype U {UNDEFINED},
 ISEMPY(Sequence) → Boolean

Canonical Form

- The expression defining an instance of a type is said to be in *canonical form* if it only uses the constructor operators for that type
- Every instance of a type has a *unique* canonical form

type Array[domaintype:Type, rangetype:Type]

syntax

NEWARRAY → Array,
 ASSIGN(Array,domaintype,rangetype) → Array,
 ACCESS(Array,domaintype) → rangetype,

semantics

declare arr:Array, dval,dval1:domaintype, rval:rangetype;
 ACCESS(NEWARRAY,dval) = UNDEFINED,
 ACCESS(ASSIGN(arr,dval,rval),dval1) =
 IF dval = dval1
 THEN rval
 ELSE ACCESS(arr,dval1).

type Stack[elementtype: Type]

syntax

NEWSTACK → Stack,
 PUSH(Stack, elementtype) → Stack,
 POP(Stack) → Stack,
 TOP(Stack) → elementtype U {UNDEFINED},
 ISNEW(Stack) → Boolean,
 REPLACE(Stack, elementtype) → Stack

representation STAK(Array[Integer,elementtype],Integer)
 → Stack[elementtype],

syntax

NEWSTACK → Stack,
 PUSH(Stack, elementtype) → Stack,
 POP(Stack) → Stack,
 TOP(Stack) → elementtype U {UNDEFINED},
 ISNEW(Stack) → Boolean,
 REPLACE(Stack, elementtype) → Stack,

programs

declare arr:Array, t:Integer, elm:elementtype;
 NEWSTACK = STAK(NEWARRAY,0),
 PUSH(STAK(arr,t),elm) = STAK(ASSIGN(arr,t+1,elm),t+1),
 POP(STAK(arr,t)) =
 IF t=0 THEN STAK(arr,0) ELSE STAK(arr,t-1),
 TOP(STAK(arr,t)) = ACCESS(arr,t),
 ISNEW(STAK(arr,t)) = (t=0)
 REPLACE(STAK(arr,t),elm) =
 IF t=0 THEN STAK(ASSIGN(arr,1,elm),1)
 ELSE STAK(ASSIGN(arr,t,elm),t).

Demonstrating Correctness of the Implementation

- Formally this is an application of many-sorted algebras
- Correctness is demonstrated by showing the existence of a homomorphic mapping from the implementation algebra to the abstract algebra

Basic Proof Technique

The basic proof technique for verifying an implementation of a data type is to show that each of the axiomatic specifications for the data type (i.e., the rewrite rules) is satisfied when the programs are substituted for the operations that they implement in the axiom.

Assume: $stk = \text{STAK}(arr, t)$

Show:
 $\text{TOP}(\text{PUSH}(stk, elm)) = elm$

type Soda_Machine

syntax

```
Init → Soda_Machine,
Pay(Soda_Machine) → Soda_Machine,
Select(Soda_Machine, Flavor) → Soda_Machine,
Dispense(Soda_Machine, Cup) → Soda_Machine,
Number_Of_Coins(Soda_Machine) → Integer,
Current_Selection(Soda_Machine) → Flavor,
Full(Soda_Machine, Cup) → Boolean,
Cup_Contents(Soda_Machine, Cup) → Flavor
```

syntax

```
Init → Soda_Machine,
Pay(Soda_Machine) → Soda_Machine,
Select(Soda_Machine, Flavor) → Soda_Machine,
Dispense(Soda_Machine, Cup) → Soda_Machine,
Number_Of_Coins(Soda_Machine) → Integer,
Current_Selection(Soda_Machine) → Flavor,
Full(Soda_Machine, Cup) → Boolean,
Cup_Contents(Soda_Machine, Cup) → Flavor
```

semantics

```
declare sm: Soda_Machine, c, cc: Cup, f: Flavor;
Number_Of_Coins(Init) = 0,
Number_Of_Coins(Pay(sm)) = Number_Of_Coins(sm) + 1,
Number_Of_Coins(Select(sm, f)) = Number_Of_Coins(sm),
Number_Of_Coins(Dispense(sm, c)) =
  if Number_Of_Coins(sm) >= 2 & ~Full(sm, c)
  then Number_Of_Coins(sm) - 2
  else Number_Of_Coins(sm)
fi
```

syntax

```
Init → Soda_Machine,
Pay(Soda_Machine) → Soda_Machine,
Select(Soda_Machine, Flavor) → Soda_Machine,
Dispense(Soda_Machine, Cup) → Soda_Machine,
Number_Of_Coins(Soda_Machine) → Integer,
Current_Selection(Soda_Machine) → Flavor,
Full(Soda_Machine, Cup) → Boolean,
Cup_Contents(Soda_Machine, Cup) → Flavor
```

semantics

```
declare sm: Soda_Machine, c, cc: Cup, f: Flavor;
Full(Init, c) = False,
Full(Pay(sm), c) = Full(sm, c),
Full(Select(sm, f), c) = Full(sm, c),
Full(Dispense(sm, c), cc) =
  if c = cc & Number_Of_Coins(sm) >= 2 & ~Full(sm, c)
  then True
  else Full(sm, cc)
fi
```

HW#7

You are to prove Axiom #6 of the algebraic specification for the symbol table given in the Guttag, et. al. paper. You may assume that $\text{symtab} = \text{SYMT}(stk)$.

Symboltable Data Type

type Symboltable

syntax

```
INIT → Symboltable,
ENTERBLOCK(Symboltable) → Symboltable,
ADDID(Symboltable, Identifier, Attributelist) → Symboltable,
LEAVEBLOCK(Symboltable) → Symboltable,
ISINBLOCK(Symboltable, Identifier) → Boolean,
RETRIEVE(Symboltable, Identifier) →
  Attributelist U {UNDEFINED}.
```

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semantics

declare symtab: Symboltable, id, id1: Identifier, attrlist: Attributelist;

```
1) LEAVEBLOCK(INIT) == INIT,
2) LEAVEBLOCK(ENTERBLOCK(symtab)) == symtab,
3) LEAVEBLOCK(ADDID(symtab, id, attrlist))
   == LEAVEBLOCK(symtab),
4) ISINBLOCK(INIT, id) == FALSE,
5) ISINBLOCK(ENTERBLOCK(symtab), id) == FALSE,
6) ISINBLOCK(ADDID(symtab, id, attrlist), idl)
   == IF id = idl
      THEN TRUE
      ELSE ISINBLOCK(symtab, idl),
7) RETRIEVE(INIT, id) == UNDEFINED,
8) RETRIEVE(ENTERBLOCK(symtab), id)
   == RETRIEVE(symtab, id),
9) RETRIEVE(ADDID(symtab, id, attrlist), idl)
   == IF id = idl
      THEN attrlist
      ELSE RETRIEVE(symtab, idl)
```

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representation

SYMT(Stack[Mapping[Identifier, Attributelist]]) → Symboltable.

programs

```
declare stk: Stack, id: Identifier, attrlist: Attributelist;
INIT = SYMT(PUSH(NEWSTACK, NEWMAP)),
ENTERBLOCK(SYMT(stk)) = SYMT(PUSH(stk, NEWMAP)),
ADDID(SYMT(stk), id, attrlist)
  = SYMT(REPLACE(stk, DEFMAP(TOP(stk), id, attrlist))),
LEAVEBLOCK(SYMT(stk))
  = IF ISNEW(STK)
    THEN SYMT(REPLACE(stk, NEWMAP))
    ELSE SYMT(POP(stk)),
ISINBLOCK(SYMT(stk), id) = ISDEFINED(TOP(stk), id),
RETRIEVE(SYMT(stk), id)
  = IF ISNEW(stk)
    THEN UNDEFINED
    ELSE IF ISDEFINED(TOP(stk), id)
        THEN EVMAP(TOP(stk), id)
        ELSE RETRIEVE(SYMT(POP(stk)), id).
```

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Mapping Data Type

type Mapping[domaintype: Type, rangetype: Type]

syntax

```
NEWMAP → Mapping,
DEFMAP(Mapping, domaintype, rangetype) → Mapping,
EVMAP(Mapping, domaintype) →
  rangetype U {UNDEFINED},
ISDEFINED(Mapping, domaintype) → Boolean.
```

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semantics

```
declare map: Mapping, dval, dval1 : domaintype,
        rval: rangetype;
EVMAP(NEWMAP, dval) == UNDEFINED,
EVMAP(DEFMAP(map, dval, rval), dval1)
  == IF dval = dval1 THEN rval
     ELSE EVMAP(map, dval1),
ISDEFINED(NEWMAP, dval1) == FALSE,
ISDEFINED(DEFMAP(map, dval, rval), dval1)
  == IF dval = dval1 THEN TRUE
     ELSE ISDEFINED(map, dval1).
```

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Affirm Specifications

Typename

Local declarations

Interfaces

Ruleset

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Ruleset

- Automatically applied rules
 - Axiom
 - Rulelemma
- Controlled rules
 - Define
 - Schema

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Secure Terminal in Affirm

Uses types `buffer` and `host_level`, which must be defined

```
type buffer;
declare dummy: buffer;

interface sanitized_buffer: buffer;

end {buffer} ;
```

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```
type host_level;
declare dummy, l: host_level;

interfaces
  high, low: host_level;
interface induction(l): Boolean;
```

```
axioms
  high = low == FALSE,
  low = high == FALSE;

schema induction(l) == cases(Prop(high), Prop(low));

end {host_level} ;
```

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```
type secure_terminal;
needs types host_level, buffer;

declare dummy, st: secure_terminal;
declare l: host_level;
declare b: buffer;

interfaces
  connected(st), reviewed(st), accepted(st), sanitized(st),
  connect_ok(st, l): Boolean;
interfaces
  terminal_level(st), active_host(st): host_level;
interfaces
  terminal_buffer(st), send_data(st): buffer;
interfaces
  init, connect_to(st, l), disconnect(st), sanitize(st), review_data(st),
  change_level(st), receive_data(st, b): secure_terminal;
```

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Define

- Defines not automatically applied

```
define connect_ok(st,l) == ~connected(st)
  and (sanitized(st) or terminal_level(st)=l);
```

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Axioms for Connected Variable

```
axioms
  connected(init) == FALSE,
  connected(disconnect(st)) == FALSE,
  connected(sanitize(st)) == connected(st),
  connected(connect_to(st,l)) == if connect_ok(st,l)
    then TRUE
    else connected(st),
  connected(change_level(st)) == connected(st),
  connected(receive_data(st, b)) == connected(st);
```

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Axioms for Reviewed Variable

```
axioms
  reviewed(init) == FALSE,
  reviewed(disconnect(st)) == reviewed(st),
  reviewed(sanitize(st)) ==
    (connected(st) and reviewed(st)),
  reviewed(connect_to(st,l)) == if connect_ok(st,l)
    then false
    else reviewed(st),
  reviewed(change_level(st)) == reviewed(st),
  reviewed(receive_data(st, b)) == reviewed(st);
```

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Axioms for Accepted Variable

```
axioms
  accepted(init) == FALSE,
  accepted(disconnect(st)) == accepted(st),
  accepted(sanitize(st)) ==
    (connected(st) and accepted(st)),
  accepted(connect_to(st,l)) == if connect_ok(st,l)
    then false
    else accepted(st),
  accepted(change_level(st)) == accepted(st),
  accepted(receive_data(st, b)) == accepted(st);
```

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Axioms for Sanitized Variable

```
axioms
  sanitized(init) == TRUE,
  sanitized(disconnect(st)) == sanitized(st),
  sanitized(sanitize(st)) ==
    (connected(st) imp sanitized(st)),
  sanitized(connect_to(st,l)) == if connect_ok(st,l)
    then false
    else sanitized(st),
  sanitized(change_level(st)) == sanitized(st),
  sanitized(receive_data(st, b)) == sanitized(st);
```

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Axioms Regrouped for Init

```
axioms
  connected(init) == FALSE,
  reviewed(init) == FALSE,
  accepted(init) == FALSE,
  sanitized(init) == TRUE,
  terminal_buffer(init) == sanitized_buffer;
```

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Axioms Regrouped for Disconnect

```
axioms
  connected(disconnect(st)) == FALSE,
  reviewed(disconnect(st)) == reviewed(st),
  accepted(disconnect(st)) == accepted(st),
  sanitized(disconnect(st)) == sanitized(st),
  terminal_level(disconnect(st)) == terminal_level(st),
  active_host(disconnect(st)) == active_host(st),
  terminal_buffer(disconnect(st)) ==
    terminal_buffer(st);
```

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Schema for Induction Proof

```
schema sectorInduction(st)
  == cases(Prop(init),
    all st, l (IH(st) imp Prop(connect_to(st,l))),
    all st (IH(st) imp Prop(disconnect(st))),
    all st (IH(st) imp Prop(sanitize(st))),
    all st (IH(st) imp Prop(review_data(st))),
    all st (IH(st) imp Prop(change_level(st))),
    all st, b (IH(st) imp Prop(receive_data(st,b))));
```

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