SpongeCake: A Layered Microflake Surface Appearance Model

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Fig. 1. In this indoor scene, we model the window shade using our SpongeCake model, with a two-layer configuration: a specular fiber-like microflake layer on the inside and a rougher fiber-like microflake layer at bottom. Three different light settings are shown: exterior sunlight gives a diffuse (but non-Lambertian) transmission effect, while interior lighting leads to a very different specular fabric sheen effect; finally, we combine the two lighting configurations. Our layered model is able to design these kinds of appearances easily, while offering fast analytic evaluation, including an effective multiple scattering approximation; there is a lack of comparable analytic material models with similar benefits.

In this paper, we propose SpongeCake: a layered BSDF model where each layer is a volumetric scattering medium, defined using microflake or other phase functions. We omit any reflecting and refracting interfaces between the layers. The first advantage of this formulation is that an exact and analytic solution for single scattering, regardless of the number of volumetric layers, can be derived. We propose to approximate multiple scattering by an additional single-scattering lobe with modified parameters and a Lambertian lobe. We use a parameter mapping neural network to find the parameters of the newly added lobes to closely approximate the multiple scattering effect. Despite the absence of layer interfaces, we demonstrate that many common material effects can be achieved with layers of SGGX microflake and other volumes with appropriate parameters. A normal mapping effect can also be achieved through mapping of microflake orientations, which avoids artifacts common in standard normal maps. Thanks to the analytical formulation, our model is very fast to evaluate and sample. Through various parameter settings, our model is able to handle many types of materials, like plastics, wood, cloth, etc., opening a number of practical applications.

$\label{eq:ccs} CCS \ Concepts: \bullet \ Computing \ methodologies \rightarrow Rendering; \ Reflectance \ modeling.$

Additional Key Words and Phrases: microflake, layered BSDF, multiple scattering

1 INTRODUCTION

Rendering layered materials is an important challenge in computer graphics. However, simulating light reflection from a general planeparallel layer configuration is computationally challenging. Several previous solutions are based on position-free Monte Carlo simulation [Guo et al. 2018], which is general and unbiased, but requires expensive path sampling within each BSDF (bidirectional scattering distribution function) evaluation, and introduces additional variance (noise) into the rendering process. Other solutions have been proposed [Belcour 2018; Jakob et al. 2014; Weier and Belcour 2020; Zeltner and Jakob 2018] but all have significant complexity or other limitations. Instead of fully solving the general layering problem, our goal is to design a more restricted model that is fast, practical, and can represent many common appearances. In this paper, we propose SpongeCake, a volumetric layered BSDF model. In this model, each layer is a volumetric scattering medium using microflake or other phase functions, and there are no reflecting nor refracting BSDF interfaces between the layers, except for an optional bottom substrate BSDF. In cases where the reflective effects from these interfaces are needed, we show that they can often be approximated by SGGX microflake layers [Heitz et al. 2015] with appropriate parameters.

The absence of top and internal interfaces means that light can pass through the "fuzzy" medium boundaries with no change in direction, giving the model its name. This leads to the major benefit of our model: its computational tractability. We derive a general, exact analytic single scattering solution, for both reflection and transmission on any number of volumetric layers, building upon existing single-scattering derivations for more specific cases.

Multiple scattering is important for many materials, and we note the shape of the multiple-scattering lobe is typically similar to the single-scattering lobe, except with modified parameters. The key technical contribution of this paper is an accurate approximation to the multiple scattering effect for the SpongeCake model. We model the multiple scattering component using the sum of two additional lobes, a second analytic single-scattering lobe with modified parameters and a Lambertian lobe. We propose a parameter mapping approach, finding appropriate parameters for the newly added lobes, via a lightweight fully-connected neural network.

Through various parameter settings, our model is able to handle many types of materials, like plastics, wood, cloth, etc. The parameters of the model can often be related to actual physical parameters, e.g. fiber orientations and colors, such as in Fig. 1. Normal mapping can be achieved in our model through mapping of microflake orientations, which avoids common artifacts of standard hemispherical normal mapping. Thanks to the analytical formulation, our model is very fast to evaluate and sample, and does not introduce additional noise (variance). In summary, the contributions of our paper are:

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- Definition of the SpongeCake model, and demonstration of the wide range of appearances it can achieve: plastics, metals, fabrics, plant leaves, and polished hardwood with secondary specular highlights.
 - An analytic single-scattering solution, including reflection and transmission of multiple layers, together with an importance sampling scheme. This is a generalization of many similar previous single-scattering derivations.
 - An accurate analytic approximation to multiple scattering, based on the same analytic form as the single-scattering BSDF, with modified parameters predicted by a neural network.

The SpongeCake model can be used, with or without multiple scattering, to describe a broad set of material appearances that would be more expensive or less convenient to represent with alternative methods. We believe it can become a standard tool in the physicallybased shading toolkit.

2 RELATED WORK

134 Layered material models are the closest to our SpongeCake model. 135 There are quite a number of related works. The earliest in computer 136 graphics is the model by Hanrahan and Krueger [1993], where an 137 analytic single scattering model is derived. This is similar to our 138 solution, but less general, and uses Monte Carlo simulation to con-139 sider multiple scattering. Later, three different branches of layered 140 material research were proposed. The first line of research uses dis-141 cretized BSDFs for layering. A representative work was by Jakob et 142 al. [2014] that uses Fourier-space discretized BSDFs to do layering, 143 which was later extended by Zeltner and Jakob [2018] to support 144 anisotropy. Another direction in layered materials is analytic models. Weidlich and Wilkie [2007] derived an analytic lavered model 145 146 from individual multi-lobe BSDFs, though with various approxi-147 mations and not considering multiple scattering. Belcour [2018] 148 uses low-order moments of BSDFs and achieves fast performance, 149 but cannot support anisotropy nor arbitrary volumetric layers. Fur-150 ther improvements were introduced by more recent work of Weier 151 and Belcour [2020] and Yamaguchi et al. [2019]. These methods 152 extend the capabilities of previous solutions towards anisotropy 153 and other effects, but at the expense of more involved mathematical 154 techniques. In contrast, our work restricts the model itself, such that 155 exact single scattering and accurate approximate multiple scattering 156 can be achieved, while keeping the model relatively simple.

157 Another line of work was initiated by Guo et al. [2018], using 158 a position-free path integral formulation that works with general 159 layered materials. This approach applies Monte Carlo estimators 160 to the path integral to achieve efficient direct illumination (BSDF 161 evaluation). This work was later improved by Xia et al. [2020] and 162 Gamboa et al. [2020] with more advanced sampling approaches 163 and more efficient estimators. Guillén et al. [2020] leverages the 164 generality of position-free MC for modeling pearlescent pigments. 165 Though fairly efficient and versatile, these methods cause Monte 166 Carlo noise (variance), and still cannot compete with analytic solu-167 tions in terms of performance. They are also unlikely to be usable in 168 real-time applications. In contrast, our model is more restricted, but 169 is entirely analytic at rendering time, leading to very fast evaluation 170 and no additional Monte Carlo noise.

Note that most layered material models assume reflective and refractive interfaces between different layers, due to their differing indices of refraction. These interfaces are usually modeled as either perfectly specular or microfacet BSDFs [Walter et al. 2007]. In our work, we omit such interfaces (except for the optional bottom substrate BSDF), instead treating all layers as volumetric media made of scattering particles in air. This greatly simplifies our model, leading to an analytic solution for single scattering and an approximate analytic multiple scattering, and we show how to recover the reflective effects of the interfaces by well-chosen microflake media in our results. On the other hand, our model is not capable of refraction, so it cannot model e.g. rough glass solids (though it could still be used to layer additional effects on top of a refractive BSDF). We find this to be an acceptable trade-off, since in return we get fast and accurate evaluation of single and multiple scattering, and we still support a large range of appearances.

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Microflake models. Our SpongeCake model defines each layer as a volumetric medium, typically (though not necessarily) defined by a microflake distribution. The concept of microflake distributions and phase functions was introduced by Jakob et al [2010] to accurately define the full anisotropy of participating media, by modeling the distribution of underlying basic reflective elements called microflakes. The microflake model is successful in representing fibers and fabrics [Zhao et al. 2011]. Other work has also benefited from using microflakes for representing other types of materials such as foliage [Loubet and Neyret 2018], or special pigments [Guillén et al. 2020].

Heitz et al. [2015] introduced a versatile representation named symmetric GGX (SGGX) to efficiently describe microflake distributions using 3× 3 positive-definite matrices, allowing for surface-like and fiber-like microflakes, including convenient control over their orientations. We use this formulation in many of our results, though our framework is not restricted to it. We currently assume symmetric microflakes that act as mirrors on both sides.

Microfacet multiple scattering. Heitz et al. [2016] also introduced a multiple-scattering model for microfacet BSDFs, which is based on designing a microflake volume in a very specific manner, so that its single scattering exactly matches the behavior of microfacet models. Once such a volume is defined, multiple scattering in the microgeometry can be simulated by Monte Carlo random walks. Matching microfacet models with microflake volumes required single-sided microflakes, non-uniform density distributions, and other modifications. Dupuy et al. [2016] further explored the deep connections of the microflake theory in volumes with the microfacet theory on surfaces.

This research is in a fairly different direction from ours. In our model, we accept that uniform layers of two-sided mirror microflakes do not exactly match microfacet models. We focus on analytic evaluation, avoiding Monte Carlo computations. Adding multiple scattering to our model is a distinct problem from adding it to microfacet models, and addressing the latter is not in our scope. Still, our model is potentially extensible to depth-varying densities and other microflake types, partially unifying these research directions in the future.

ω_i	incident direction					
ωο	outgoing direction					
h	half vector of ω_i and ω_o					
$f_p(\omega_i \to \omega_o)$	phase function					
$\hat{f}_p(\omega_i \to \omega_o)$	reduced phase function					
$\sigma_t(\omega)$	generalized extinction coefficient					
$D(\omega)$	directional distribution function					
$\sigma(\omega)$	projected area of microflakes					
ρ	microflake density					
F(h)	reflectance term					
S	3 × 3 matrix for microflake distribution					
α	roughness					
Т	thickness (different from \top)					
т	matrix transpose (different from T)					
$f_r(\omega_i, \omega_o)$	BRDF					
$G(\omega, \omega_m)$	shadowing-masking term					
$\tau_k(\omega)$	attenuation					

model, where each plane-parallel layer contains a homogeneous volumetric medium. The volumetric media within the layers can have any absorption and scattering properties and can use various phase functions, including microflake-based, but also more traditional ones like Henyey-Greenstein. Therefore, we start with a general look at microflakes and their distributions.

3.1 General definitions

Our notation is summarized in Table 1. We will denote incoming (light) direction by ω_i and outgoing (camera) direction by ω_o . Consider a homogeneous volumetric layer of thickness T. The volumetric medium has a phase function $f_p(\omega_i \rightarrow \omega_o)$, and a directionally-dependent extinction coefficient $\sigma_t(\omega)$. For microflake phase functions, the extinction coefficient depends on the direction ω , while for other phase functions it is typically constant. The extinction coefficient should be symmetric: $\sigma_t(\omega) = \sigma_t(-\omega)$. The phase functions should satisfy reciprocity and energy conservation respectively ([Jakob et al. 2010]):

$$\sigma_t(\omega_i)f_p(\omega_i \to \omega_o) = \sigma_t(\omega_o)f_p(\omega_o \to \omega_i), \tag{1}$$

and

$$\int_{S^2} f_p(\omega_i \to \omega_o) \mathrm{d}\omega_o = 1. \tag{2}$$

For the exposition of our model, the generalized extinction coefficient σ_t is sufficient. We could also consider generalized absorption and scattering coefficients, but they are not necessary for the exposition below, and we omit them for simplicity.

3.2 Microflake media

For our purpose, microflake media can be thought of as volumetric distributions of tiny, flat two-sided mirrors, called microflakes (though one-sided and diffuse microflakes can also be defined). Each flake can have a constant or a directionally-varying reflectance (analogous to a Fresnel term of the mirror). The normals of the microflakes follow a directional distribution $D: S^2 \to R^+$.

Another line of work approximated the multiple scattering, by introducing a separable correction term [Ashikmin et al. 2000; Jakob et al. 2014; Kelemen and Szirmay-Kalos 2001; Kulla and Conty 2017] or by scaling the singe scattering lobe [Turquin 2019]. These approaches are efficient and suitable for practical rendering systems, but the shapes of their BSDF lobes do not match simulations of actual microfacets, and in Turquin's case also lose the reciprocity property.

Xie et al. [2019] proposed to represent the multiple scattering with the Real NVP neural architecture, and used these models for rendering at run-time. Different from their work, our neural network only predicts parameters of additional lobes as a preprocess, while at rendering time it allows for analytic evaluation and sampling. Their work also does not consider microflakes nor layering.

Single scattering in volumetric layers. Single scattering in layered volumetric configurations has been derived in multiple forms by previous work; our setup differs in some details, but fundamentally follows the same ideas. Chandrasekhar [1960] is a standard reference for radiative transport, and describes a BRDF for half-space isotropic scattering. Later, this BRDF was extended for lunar regoliths [Hapke 1981, 1963] and for subsurface scattering [Premoze 2002]. Koenderink and Pont [2003] proposed an asperity scattering lobe, which also considers single-scattering in an isotropic layer. Recently, d'Eon [2021] proposed a new analytic BRDF for porous materials where scattering and absorption is approximated by spherical Lambertian particles (rather than an isotropic phase function, which is a different model). Our single-scattering solution works for any number of layers and for both reflection and transmission; we also discuss its form when specialized to microflake phase functions.

Neural networks for material appearance. Neural networks are commonly used in rendering, to replace various parts of the rendering process. Here we cover only the work most closely related to our application (material appearance and multiple scattering). Kallweit et al. [2017] used a moderately small neural network to predict multiple scattered energy between two different places in the volume of a cloud. Yan et al. [2017] proposed a lightweight multi-layer perceptron (MLP) to convert fur fiber properties to participating media scattering properties. Kuznetsov et al. [2019] trained a Generative Adversarial Network (GAN) to dynamically create new Normal Distribution Functions (NDFs) to render detailed appearance. Rainer et al. [2020; 2019] compress measured appearance data using autoencoders; the neural decoder needs to be evaluated during rendering. Recently, neural networks have also been used for importance sampling of BRDFs [Sztrajman et al. 2021] and BSSRDFs (bidirectional surface scattering distribution functions) [Leonard et al. 2021; Vicini et al. 2019]. We design a lightweight neural network to predict a set of single scattering parameters that would render similar to a given multiple scattering appearance; this is done as a preprocess to actual rendering, during which our model's evaluation is analytic.

3 BACKGROUND AND OVERVIEW

In this section, we briefly review some background knowledge, and introduce our model at a high level. SpongeCake is a layered BSDF

Next, we define the projected area of the microflakes as:

$$\sigma(\omega) = \int_{S^2} D(m) \langle \omega, m \rangle \mathrm{d}m, \tag{3}$$

where $\langle x, y \rangle$ is the clamped (non-negative) dot product. Note the lack of subscript on σ . The extinction function σ_t is defined to be the projected area, scaled by the microflake density ρ : $\sigma_t(\omega) = \rho \sigma(\omega)$. The units of σ_t and ρ are mm⁻¹, while the quantity $\sigma(\omega)$ is unitless.

We will assume that $D(\omega)$ is symmetric, and the microflakes are double-sided mirrors; then the microflake phase function can be written as ([Heitz et al. 2015]):

$$f_p(\omega_i \to \omega_o) = \frac{D(h)}{4\sigma(\omega_i)},$$
 (4)

where *h* is the half vector between ω_i and ω_o . This definition satisfies reciprocity and energy conservation. For theoretical purposes, we can assume that *D* is normalized as a pdf (i.e. it integrates to 1 on S^2); though this is not necessary in practice, as scaling *D* by a constant will scale σ by the same constant, canceling out in equation (4) and keeping the energy conservation of the phase function satisfied.

For convenience of our future derivation, we define a *reduced phase function* as

$$\hat{f}_p(\omega_i \to \omega_o) = \sigma_t(\omega_i)F(h)f_p(\omega_i \to \omega_o), \tag{5}$$

where F(h) is a reflectance term, which could be either constant or represent a Fresnel-like term. The reduced phase function appears at each scattering vertex of a light path through the volumetric layer. The reduced phase function is also reciprocal in the usual sense:

$$\hat{f}_p(\omega_i \to \omega_o) = \hat{f}_p(\omega_o \to \omega_i).$$
(6)

3.3 SGGX microflakes

While the above can work with any microflake distribution, in our results we use the SGGX microflake [Heitz et al. 2015] with parameters designed to achieve either a surface-like or a fiber-like distribution. The SGGX distribution is controlled by a symmetric, positive definite 3×3 matrix *S*, and can be written as:

$$D(\omega) = \frac{1}{\pi r t^2}$$
, where $q = \omega^{\top} S^{-1} \omega$, and $\sigma(\omega) = \sqrt{\omega^{\top} S \omega}$. (7)

Note that the expression $q = \omega^{\top} S^{-1} \omega$ is a quadratic form, and the surface where q = 1 is an ellipsoid. This leads to the intuition of modeling fibers as long, skinny ellipsoids, and surfaces as flattened ellipsoids. The ellipsoids can be aligned with the shading normal, or rotated to model fiber directions or normal maps.

Let α be a roughness parameter, analogous to the roughness in the GGX NDF [Walter et al. 2007]. We set the 3 × 3 matrix *S* specifying the SGGX distribution to diag(1, 1, α^2) for fiber-like distributions, and diag($\alpha^2, \alpha^2, 1$) for surface-like distributions. We can rotate these axis-aligned definitions using any 3 × 3 rotation matrix *R*, obtaining a rotated SGGX matrix *S'* = $R^{\top}SR$.

Our approach works with any analytic volumetric phase functions; in most cases, we will use microflake phase functions, of which SGGX microflakes are a specific convenient definition.

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Fig. 2. Illustration of BRDF: the single scattering contribution of a layer can be seen as an integral over the depth of the single scattering vertex. The incident direction and the outgoing direction are at the same side of the layer.

3.4 SpongeCake overview

Since our SpongeCake model consists of only volumetric layers, all capabilities of this model can be evaluated with Monte Carlo random walk simulation of light transport, which we use as ground truth. However, our goal is to achieve much more efficient analytic evaluation and sampling.

We first derive an analytic single scattering formula for our BSDF model (Sec. 4). We show that for a microflake phase function with flake distribution D, the resulting BSDF has a form similar (but not identical) to a standard microfacet BSDF [Walter et al. 2007], with D becoming analogous to the microfacet normal distribution function. We discuss extensions to transmission and multiple layers. We also discuss the optional unscattered delta transmission component, which we may or may not choose to include, depending on the desired appearance.

While single scattering is already sufficient for a variety of appearances, multiple scattering is important for some materials. We approximate multiple scattering by noting that the ground truth multiple-scattering component of the BSDF often looks similar to the single-scattering component, except with modified parameters; an observation already made by others [Heitz et al. 2016]. We propose a multiple-to-single mapping approach, finding the parameters via a small neural network (Sec. 5). Thus, the multiple scattering term has the same form and the same evaluation cost as the single-scattering term.

4 SINGLE SCATTERING

We will first consider a single scattering event in a single reflective layer, under any general phase function. Afterwards, we will specifically consider microflake phase functions, and extend the discussion to transmission and multiple layers.

4.1 Single-scattering layer derivation

Consider a homogeneous microflake layer of thickness *T*. As explained by the position-free Monte Carlo framework [Guo et al. 2018], the BRDF of the single scattering contribution of this layer can be written as an integral over the depth of the single scattering vertex [Guo et al. 2018; Hanrahan and Krueger 1993], as shown in Fig. 2. A reduced phase function term corresponds to the scattering vertex, and volume extinction terms as well as reciprocal cosine

terms occur on both the incoming and outgoing directions:

$$f_{r}(\omega_{i},\omega_{o}) = \int_{0}^{T} \frac{\hat{f}_{p}(\omega_{i} \to \omega_{o})}{\cos \omega_{i} \cdot \cos \omega_{o}} \cdot \exp\left(-\frac{t\sigma_{t}(\omega_{i})}{\cos \omega_{i}}\right) \exp\left(-\frac{t\sigma_{t}(\omega_{o})}{\cos \omega_{o}}\right) dt. \quad (8)$$

Here, we use $\cos \omega$ to mean the cosine of the angle between ω and the macro surface normal, i.e. $(0, 0, 1)^{\top}$ in the local shading frame, which is equal to the *z*-component of ω . The cosine terms in the denominator originate from the change of integration domain from incoming ray length to layer depth ($\cos \omega_o$), and from the definition of a BRDF as outgoing radiance per unit incoming irradiance ($\cos \omega_i$). The same result can also be derived from first principles using the radiative transfer equation, by considering a ray into the medium from direction ω_o , under a directional light from direction ω_i with unit surface irradiance. See Guo et al. [2018] for more details.

To simplify the integral, we note that only the exponential extinction terms depend on the integration variable; the other terms can be taken out of the integral. Define $\Lambda(\omega) = \sigma(\omega)/\cos \omega$ for brevity. The Λ function here is analogous to the one used in previous work on Smith microfacet shadowing/masking, and has been used in the context of microflakes [Dupuy et al. 2016]. This lets us simplify the integral as follows:

$$f_r(\omega_i, \omega_o) = \frac{\hat{f}_p(\omega_i \to \omega_o)}{\cos \omega_i \cdot \cos \omega_o} \int_0^T e^{-t\rho(\Lambda(\omega_i) + \Lambda(\omega_o))} dt.$$
(9)

This integral is simple to solve, and the result is:

$$f_r(\omega_i, \omega_o) = \frac{\hat{f}_p(\omega_i \to \omega_o)}{\cos \omega_i \cdot \cos \omega_o} \cdot \frac{1 - e^{-T\rho(\Lambda(\omega_i) + \Lambda(\omega_o))}}{\rho(\Lambda(\omega_i) + \Lambda(\omega_o))}.$$
 (10)

The above result is valid for any phase function. For the important case of a microflake phase function, we can expand the definition of \hat{f}_p and arrive at the following BRDF form:

$$f_r(\omega_i, \omega_o) = \frac{F(h) D(h) G(\omega_i, \omega_o)}{4 \cos \omega_i \cdot \cos \omega_o},$$
 (11)

where

$$G(\omega_i, \omega_o) = \frac{1 - e^{-T\rho(\Lambda(\omega_i) + \Lambda(\omega_o))}}{\Lambda(\omega_i) + \Lambda(\omega_o)}.$$
 (12)

We immediately note the similarity of the formula to a standard microfacet BRDF, though with a rather different "shadowing-masking term" *G*. The model only depends on the product $T\rho$, rather than thickness and density separately. This expresses the intuition that increasing the thickness and density of a layer by the same factor has the same effect on appearance. The product of these quantities is the "effective thickness"; note that it is unitless (the thickness unit is canceled by the unit of extinction). Note also that the resulting BRDF is reciprocal.

4.2 Transmission

Similar to the reflective BRDF, the transmissive BTDF of the single scattering contribution of this layer can be written as an integral over the depth of the single scattering vertex, as shown in Fig. 3.







Fig. 4. Illustration of single scattering for multiple layers. The result becomes a sum over several cases, each corresponding to a scattering event in the respective layer.

Assume ω_i is above and ω_o below the horizon (i.e. $\cos \omega_o < 0$), then

$$f_{r}(\omega_{i},\omega_{o}) = \int_{0}^{T} \frac{\hat{f}_{p}(\omega_{i} \to \omega_{o})}{|\cos \omega_{i}| \cdot |\cos \omega_{o}|} \\ \exp\left(-\frac{t\sigma_{t}(\omega_{i})}{\cos \omega_{i}}\right) \exp\left(-\frac{(t-T)\sigma_{t}(\omega_{o})}{\cos \omega_{o}}\right) dt.$$
(13)

Note that we have replaced $(T - t)/|\cos \omega_o|$ by $(t - T)/\cos \omega_o$, since we know that $\cos \omega_o < 0$. This will result in the same formulation as above, except the *G* term will be scaled by an additional constant term:

$$G(\omega_i, \omega_o) = \frac{1 - e^{-T\rho(\Lambda(\omega_i) + \Lambda(\omega_o))}}{\Lambda(\omega_i) + \Lambda(\omega_o)} \cdot e^{T\rho\Lambda(\omega_o)}.$$
 (14)

Note that we still define $\Lambda(\omega) = \sigma(\omega)/\cos \omega$, so the value of Λ will be negative for directions under the horizon.

4.3 Single scattering for multiple layers

Thanks to the absence of the top and internal interfaces in our SpongeCake model, the above derivation can be trivially extended to a single-scattering model for multi-layer materials, since the light passing through the (potentially "fuzzy") medium boundaries will not change its direction. Therefore, the integration from 0 to T in Eqn. 8 can be naturally segmented into separate layers, and we extend single scattering to multiple layers by summing up the contribution from each layer. For each layer k, we also need to take into account the attenuation of light going through all the layers above the k-th in direction ω (or below, for directions ω under the horizon), as shown in Fig. 4.





Fig. 5. The neural network structure for our single layer multiple scattering. The unmodified parameter means that the value of current output parameter is set as the corresponding input parameter, and will not be changed during training. In the current network, the flake orientation ω_p and phase function type k are unmodified parameters.

4.4 Delta transmission term and substrate BSDF

For transmissive BTDFs, an unscattered (delta function) component is also present when $\omega_i = -\omega_o$, and is simply the product of transmissions through all layers in the direction ω_i . We can decide to include this component or not, depending on circumstances. Some materials have this effect (e.g. thin fabrics where some rays can travel through without hitting fibers) while others do not (thicker fabrics, leaves, rough refractive plastic, etc.) Inclusion of this component is thus controlled by a boolean flag.

Finally, we can choose to stack volumetric layers on top of a single *substrate* BSDF, which could use any standard model (Lambertian, microfacet, etc.), and could use refraction. The value of this BSDF will again be reduced by transmission terms, for both ω_i and ω_o .

4.5 Importance sampling

Importance sampling is an important operation for a BSDF. Given a direction ω_i , for a single layer, we sample the outgoing direction ω_o by importance sampling the phase function of the microflake layer. Since the phase function is normalized, the pdf is set as the phase function value.

To sample our multi-layered model, given an incoming direction ω_i , we first obtain a layer k, by importance sampling the attenuation along the layers using a discrete probability distribution. If the delta transmission component is desired, we can also choose it here with an appropriate probability.

Once the layer is chosen, we sample the ω_o by importance sampling the phase function at layer k. With the sampled ω_o , we evaluate the BSDF value and compute its pdf. The pdf is computed by summing up the pdfs at each layer, which is the phase function value, weighted by layer probabilities for normalization. The sampling weight is then simply the BSDF evaluation divided by the pdf.

Note that we only use single scattering for importance sampling, even after introducing the multiple scattering term, for simplicity. Without the choice between single and multiple scattering, less variance is produced.



Fig. 6. The neural network structure for our two-layer multiple scattering. In the current network, the unmodified parameters for the top and bottom layers are the flake orientation ω_p and phase function type *k*.

5 MULTIPLE SCATTERING

When volumetric layers have a large scattering albedo and/or a large thickness, multiple scattering can become significant. However, an analytical solution for multiple scattering in a microflake layer, or multiple such layers, appears to be intractable. A Monte Carlo random walk with next event estimation [Guo et al. 2018] is a valid way to compute the multiple scattering, however it is costly and adds variance to the rendered result.

Previous work has observed that multiple scattering produces a distribution similar in shape to single scattering, which was used by Turquin [2019] to approximate multiple scattering simply as a rescaled single scattering lobe. However, we find that this simple rescaling is not as accurate, and also loses reciprocity. We propose to instead add another single-scattering lobe with modified parameters, as well as a Lambertian term to more closely approximate the multiple scattering BSDF component. Thus, our full BSDF with multiple scattering is computed as a sum of three lobes: the original (exact) single-scattering lobe, a second single-scattering lobe with modified parameters, and a Lambertian lobe.

Once the parameters of the two newly added lobes are found, evaluation of approximate multiple scattering becomes as simple as computing another analytic single-scattering BSDF with the same form, same number and types of layers, but different parameters (plus a Lambertian term of negligible cost). The remaining challenge is how to obtain the new lobe parameters.

We propose a neural network model to map the original layer parameters to the new lobe parameters. We train the network using a differentiable implementation of our analytic single scattering model. The ground-truth multiple scattering component is computed by Monte Carlo simulation.

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Fig. 7. Our analytic single scattering model with different sampling approaches: BSDF sampling, light sampling and their combination using multiple importance sampling (MIS). This serves to validate the correctness of our single scattering model. Since our model supports MIS, it can be added to any common path tracing frameworks.



Fig. 8. Multiple scattering validation for a set of single-layer materials. For each example, we list the microflake type, roughness α and thickness T. We find small differences from the ground truth; see also Fig. 9 for the corresponding lobe visualizations.

The structure of the neural network is a multi-layer perceptron (MLP), consisting of three internal layers, each containing 128 neurons, as shown in Fig. 5. We train separate networks for different numbers of layers. We illustrate this for one and two layers; more layers could be added in the same way as desired.

The input to the network is the concatenation of parameter vectors of each layer, including: roughness α , single-scattering albedo γ (three channels), thickness *T*, Schlick reflectance f_0 (three channels), the phase function type *k* (fiber or surface microflake) and orientation ω_p (three channels). The output are the parameters for equivalent single scattering, including: roughness α , albedo γ (three channels), thickness *T*, f_0 (three channels), the phase function type *k* (fiber or surface microflake), orientation ω_p (three channels) and the weights W_1 and W_2 for the single scattering term and Lambertian term, where the phase function types are the same as the input.

Dataset. We randomly sample roughness, albedo, reflectance (f_0) , thickness, orientation and phase function type and generate 4000 single-layer BSDFs and 12000 two-layer BSDFs as the training dataset. For each BSDF, we sample the ω_i and ω_o with 32×32 uniform stratified samples respectively. Starting from a sampled ω_i at the original location, Monte Carlo sampling in the media is performed in the media: sample a new position and sample a new direction with the phase function sampling. This process continues until the maximum depth (set as 20) is reached, or the ray exits the surface. We trace 100K samples for each ω_i . We sample the directions with uniform sampling to guarantee sufficient samples at the grazing angles. Although the noise is still obvious, it does not affect the neural network training. We use 90% of the dataset for training and the rest for validation.

Training. The loss function is MAE (mean absolute error) of the difference between the ground truth and the single scattering computed from the output. Our network is implemented in the PyTorch framework; we also implement our single scattering model in Py-Torch, making it automatically differentiable. We apply the Adam solver, where the learning rate is set as 0.001. The training samples are fed into the network in a mini-batch size of 32. For single-layer network, it took four hours on an NVIDIA 2080Ti GPU for training. A two-layer network (Fig. 5) took ten hours on an NVIDIA 2080Ti GPU for training. Note that the resulting networks are specific to a given number of layers, but general across layer parameters.

6 RESULTS AND COMPARISON

We have implemented our algorithm inside the Mitsuba renderer [2010]. All timings in this section are measured on a 2.20GHz Intel i7 (40



Fig. 9. Lobe visualizations for multiple scattering for a set of single-layer materials. For each example, we list the microflake type, reflectance γ , roughness α and thickness T. The first two columns represent the entire BSDF (top and bottom hemispheres), with pixel rows corresponding to a discretization of incoming directions, and pixel columns corresponding to outgoing directions. The latter four columns visualize the outgoing lobe given a fixed incoming direction at the specified angle θ .

threads) with 32 GB of memory. Since the evaluation of our model is analytic, it could also be easily implemented in any other rendering system and hardware, ray-traced or rasterized, CPU or GPU. We use mean squared error (MSE) to measure the image difference. The layered material settings are detailed in Table 2.

Model validation. To validate the correctness of our single scattering model, we compare the rendered results using our single scattering model with a Monte Carlo simulation in Fig. 7. They produce identical results, which confirms the correctness of our single scattering derivation. We also test different sampling strategies: BSDF sampling, light sampling and their combination using multiple importance sampling (MIS). All of these sampling strategies provide identical results. Because our model supports MIS, it can be used in standard path tracing implementations. In Fig. 8, 9, 10 and 11, we compare the rendering results and the lobes of our multiple scattering model against the ground truth (Monte Carlo simulated) on a set of single-layer and two-layer materials. More examples are shown in the supplemental material. We can see an overall good fit, and we discuss the more difficult cases in section 7.

Common plastics and metals. In the Kettle scene (Fig. 12), we use our model for plastics and metals, which are typically represented using microfacet BRDFs. This is not necessarily a useful application of our model, as previous models handle these materials well; however, it does demonstrate that despite the mathematical differences, homogeneous microflake layers do not lead to objectionably different appearances for common materials. For the plastic, we use a two-layer material: the top layer is surface-like SGGX flake with

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Fig. 10. Multiple scattering validation for a set of two-layer materials. For each example, we list the microflake type, roughness α and thickness T of both layers. See also Fig. 10 for the corresponding lobe visualizations.

Table 2. The parameter settings used in our scenes. The main parameters for each layer include albedo γ , roughness α (for hg, α is the g), Schlick reflectance f_0 , thickness *T*, the phase function type *k* (fiber or surface microflake or hg) and orientation ω_p . Here, α for a media with hg phase function represents the mean cosine of scattering interactions. The microflake reflectance is controlled by a constant *albedo* and a Schlick Fresnel approximation with f_0 controlling the reflectance at 0 degrees (so $f_0 = (1, 1, 1)$ means no Fresnel term applied).

Scene	Layer	Parameters						
		k	γ	α	f_0	Т	ω_p	
Window	L 1	fiber	(0.9,0.9,0.7)	0.1	(1,1,1)	1.0	map	
Shade	L 2	fiber	(0.9,0.9,0.7)	1.0	(1,1,1)	1.0	map	
	L 1	fiber	(0.7,0.1,0.1)	0.1	(1,1,1)	1.0	map	
Fabric	L 2	surface	(0.7,0.1,0.1)	0.8	(1,1,1)	5.0	(0,0,1)	
	L 1	surface	(1,1,1)	0.05	(0.1,0.1,0.1)	0.05	map	
Plant	L 2	hg	(0.7,0.1,0.1)	0.7	(1,1,1)	map	-	
	L 3	surface	(1,1,1)	0.05	(1,1,1)	0.002	map	
	L 1	surface	(1,1,1)	0.05	(0.1,0.1,0.1)	0.1	(0,0,1)	
Wood	L 2	fiber	map	0.2	(1,1,1)	1.0	map	
	L 3	surface	(1,1,1)	0.8	(1,1,1)	5	(0,0,1)	

roughness 0.05 and the bottom substrate is a Lambertian red material. For the metal, we use an SGGX surface flake with roughness 0.1. For the corresponding microfacet model, we use the Mitsuba rough plastic and rough conductor plugins with GGX for the plastic and the metal. We only use single scattering with our model in this example, and only adjust the red diffuse albedo to match closer; the specular roughness settings are identical. *Flake orientation mapping*. Normal mapping is a commonly used effect, which is known to cause artifacts due to areas with front-facing geometric but back-facing shading normal. One of the benefits of our model is that we can use orientation mapping for the microflakes, which is able to avoid the black artifacts naturally, without requiring any specific processing [Schüssler et al. 2017]. We demonstrate this by using a normal (orientation) map in Fig. 13. We compare a microfacet surface to our method, where we use a single-layer surface microflake with single scattering. The microfacet model produces black artifacts, while our method avoids this issue, since the half vector looking up the microflake distribution function in Eq. 11 is not constrained to lie in a half-space and is valid for all orientations. While this does not make an attempt to simulate the underlying physics (light inter-reflection in deep grooves), it is a practical approach with plausible results.

Fabric scene. In this Fabric scene (Fig. 15) from Guo et al. [2018], we use a two-layer model: the top layer is a fiber-like SGGX model with roughness 0.5, thickness as 1, with an orientation map, and the bottom map is a surface-like SGGX model with roughness 0.8, thickness as 5. We show the results with single scattering only, and full solutions. The single scattering is computed with the analytical model, and the multiple scattering is computed with the predicted parameters. We modified Guo et al. [2018] to handle layered materials without interfaces and use it to render a reference with higher sample rate. Our method produces very similar results to the reference. We also compare our method against Guo et al. [2018] with equal time. We find that our result is closer to the reference and has much less noise.

Plant scene. In Fig. 16, we use a three-layer model to model plant leaves: a thin surface flake layer with roughness 0.05 to represent front specular reflection, a volumetric layer with a forward-scattering Henyey-Greenstein phase function with g = 0.7 and another thin specular surface flake layer for the other side. Orientation maps are used for the top and bottom layers, and a thickness





Fig. 11. Lobe visualization for multiple scattering validation for a set of two-layer materials. For each example, we list the microflake type, reflectance γ , roughness α and thickness T of each layer. The first two columns represent the entire BSDF (top and bottom hemispheres), with pixel rows corresponding to a discretization of incoming directions, and pixel columns corresponding to outgoing directions. The latter four columns visualize the outgoing lobe given a fixed incoming direction at the specified angle θ .

map is used for the middle layer. Note the distinct effects of both front lighting and back lighting: white specular reflection on the front, and strongly peaked colored back-lighting due to forward scattering. The common alternative solution of using a Lambertian transmission lobe is also demonstrated; the back-lighting is not anywhere as strong and peaked. This effect cannot be achieved without forward scattering, which is simple to model with our approach, but there is a lack of comparable analytic material models with this behavior. Existing solutions require a separate BSDF model just for this case [Burley 2015]. *Window shade scene.* In Fig. 1, we model a layered fabric window shade material. Two SGGX models are used: the inside is a fiber-like flake with thickness 1 and roughness 0.1, and the outside layer has the same thickness and roughness 1.0 (essentially isotropic scattering). We show the indoor view with three lighting settings: sun only (left), lamp only (middle), and both sun and lamp (right).

Wood scene. In Fig. 17 we show three types of woods (padauk, walnut and curly maple), using texture data from Marschner et al. [2005]. The benefit of our model is that one does not need to implement an additional BRDF, in this case Marschner's wood model. We set up a layering with our model, where we use three layers: a



Fig. 12. Comparison between our model using a specular layer of SGGX microflakes and the microfacet model, for common plastics and metals. Our method is able to produce very similar appearance to the microfacet model in equal time. While not necessarily a useful application of our model, it demonstrates that homogeneous SGGX microflake layers do not lead to objectionably different appearances from GGX microfacets for common materials.



Fig. 13. Normal mapping: we can use orientation mapping for the microflakes, instead of modifying the shading normal; this avoids the black artifacts of traditional normal mapping. We compare a microfacet surface to our method, where we use a single-layer surface SGGX microflake.

coating layer with a surface-like SGGX model (roughness 0.05, and thickness 0.1), a secondary specular layer with a fiber-like SGGX model (roughness 0.2, thickness 1) with a textured fiber orientation and albedo, and a diffuse layer with a surface-like SGGX flake (roughness 0.8 and thickness 5, with textured albedo). We do not use a substrate BRDF in this example. We compare our method against Guo et al. [2018] with equal time. By comparison, we find that our method has much less noise and the MSE is much smaller.

Sheen. Our model can also be used to add a "sheen" or "peach fuzz" effect on top of any other BSDF, which approximates the effect of small fly-away fibers protruding out of the material. A common solution for this effect is the BRDF introduced by Conty and Kulla [2017], which is a microfacet-based layer with a fiber-like instead of surface-like normal distribution function. While this is a useful model and our method is not designed to replace it, we offer a few benefits. First, our solution (when limited to single scattering) is completely analytic and does not require numerical fits to the shadowing-masking behavior. Second, *at* low roughness, Conty and Kulla's model becomes very dark due to microfacet



Fig. 14. Our model can be used to add a "sheen" or "peach fuzz" effect on top of any other BSDF, which approximates the effect of small fly-away fibers protruding out of the material. At low roughness, the common solution by Conty and Kulla [2017] predicts very dark appearance. In contrast, our solution shows the desired sharp grazing effect even at low roughness.

shadowing/masking becoming prominent and virtually eliminating the reflection itself; in contrast, our solution shows the desired sharp grazing effect even at low roughness (Fig. 14).

Multiple scattering for rough metals. Missing energy is a common issue for microfacet models due to ignored multiple scattering, and several research efforts have focused on addressing this. As shown in Fig. 18, our method with single scattering achieves similar appearance to the microfacet model for a rough copper material. With our multiple scattering added, our method is able to provide multiple scattered results that closely resemble the reference.

White furnace test. The SpongeCake model is energy-conserving when using ground-truth evaluation; however, our analytic multiple scattering is an approximation to the true multiple scattering. Therefore, if we model a material with no absorption and render it in a white furnace (i.e. a constant environment with unit incoming radiance), we should expect our single scattering results to always pass the test with pixel values at most one. This is exactly what we observe in the experiments (Fig. 19). Adding Monte Carlo multiple scattering will produce a constant image modulo integration error, while our multiple scattering (due to being an approximation) will lead to an almost constant image with some loss of accuracy. We have not observed problems due to this in any rendered results.



Fig. 15. For this fabric material, we use a two-layer model: the top layer is a fiber-like SGGX model with roughness 0.5, thickness as 1, with an orientation map, and the bottom map is a surface-like SGGX model with roughness 0.8, thickness as 5. The single scattering is computed with the analytical model, and the multiple scattering is computed using the analytic approximation with our network-predicted parameters. We compare our method against Guo et al. [2018] with equal time. Our result is closer to the reference and has much less noise.



Fig. 16. To model plant leaves, we use a three-layer configuration: two thin specular surface flake layers with roughness 0.05, enclosing a volumetric layer with a forward-scattering Henyey-Greenstein phase function. Orientation maps are used for the top and bottom layers and thickness map is used for the middle layer. Note the white specular reflection due to front lighting, and strongly peaked colored back-lighting due to forward scattering. The common alternative solution of using a Lambertian transmission lobe cannot achieve the peaked back-lighting effect, and remains much darker in transmission.



Fig. 17. We apply SpongeCake to varnished wood with secondary specular highlights, using texture data from Marschner et al. [2005]. We use three layers: a coating layer with a surface-like SGGX model, a secondary specular layer with a fiber-like SGGX model with a textured fiber orientation and albedo, and a diffuse layer with a high-roughness surface-like SGGX flake. We compare our results against Guo et al. [2018] with equal time. Our method produces results with much less noise.

7 DISCUSSION AND LIMITATIONS

Refraction. An obvious limitation of our model is that volumetric layers cannot simulate refraction (though we could still add volumetric layers on top of a standard refractive BSDF substrate). Note

that our method can still reasonable model thin flat slabs of a refractive material (e.g. glass panes); as long as the two interfaces are parallel, the resulting ray direction is unaffected. If different normal mapping is applied to the two interfaces, such as in thin thin jade

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Microfacet model

Ours (single scattering)

Ours (single + multiple scattering)

Reference (Guo et al. 2018)

Fig. 18. Previous work has focused on approximating multiple scattering in microfacet models (the effect is very prominent in e.g. rough metals). While this is not the main goal of our work, in practice we can obtain similar results by adding multiple scattering to a microflake layer. Our solution closely matches the reference for microflake multiple scattering.



Fig. 19. A white furnace test for a SpongeCake two-layered material with no absorption. Our delta transmission and single scattering results in darker pixel values as expected. Our multiple scattering closely approximates a constant image, despite some inaccuracies due to being an approximation. Using ground-truth Monte Carlo multiple scattering will produce a constant image, modulo integration error.

slab examples shown in Guo et al.'s paper, our model is not capable of approximating the effect; however, this is arguably not a common use case.

Orientation mapping, other media types. Another limitation is that non-microflake layers cannot be easily orientation-mapped (such as the HG layer in our plant example). A solution to this may be to extend the microflake types supported from mirror reflectance to Lambertian or rough reflection and transmission. There is no known analytic solution for the phase functions of such microflakes, though an implementation based on table look-ups may be sufficient.



Fig. 20. Our multiple scattering approximation does not provide a perfect fitting in some cases, e.g. a fiber-like flake with low roughness. This is not a flaw of the neural network, but instead a limitation of the expressiveness of the two added lobes in our approximation. However, the inaccuracy in rendered results is less obvious, since the proportion of multiple scattering is also low compared to single scattering in this case.

Also, we currently do not support depth-varying densities or nonexponential (correlated) media, though we believe that Eqs. 8 and 13 may be extended to include these effects with some additional effort.

Expressive power of added lobes. One limitation is that our multiple scattering network may not always be able to find accurate fits. A typical failure case is on fiber microflakes with low roughness, as shown in Fig. 20. In this case, there are no existing single scattering parameters which have the similar distribution as the multiple scattering distribution, because all single scattering lobes, even with high roughness, tend to contrast its peak and "tail", leaving two obvious black diagonals in our BSDF lobe visualization. But fortunately, when rendered with both single and multiple scattering, a natural balance helps us to reduce the difference to the minimum. That is, when the roughness of fibers is low, our predicted multiple

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scattering is less accurate, but the proportion of multiple scattered energy is also low. When the fibers are of high roughness, multiple scattering dominates, but our network is also able to perform good parameter fits.

More discussion. Note that we are currently only concerned with BSDF models, thus we ignore BSSRDF effects (light entering and exiting from different spatial locations on the top layer interface). This limitation is shared with all existing layered BSDF work, but considering BSSRDFs could be an interesting future extension.

Being a useful forward model, SpongeCake may also be helpful when used for inverse rendering, since the model is analytic and has good expressive power for representation with a relatively small parameter space, and is also easily made differentiable. For example, in Figs. 12 and 18, we manually set the parameters to match our results with those from microfacet models. This process can be automated with the help of differentiable rendering and gradientdescent based optimization methods.

Finally, while our model can create a wide range of appearances, the techniques to achieve them are not standard knowledge among artists, and may require either a steep learning curve or an artistfriendly reinterpretation.

CONCLUSION AND FUTURE WORK 8

We have presented SpongeCake, a layered BSDF model where each 1507 1508 layer is a volumetric scattering medium, and without any reflecting 1509 and refracting interfaces between the layers (except an optional substrate). With our model, we have derived an exact analytic solution for single scattering, regardless of the number of volumetric layers. We have approximated the multiple scattering by adding two new lobes (an additional single scattering lobe and a Lambertian lobe), 1513 whose parameters are estimated by a parameter mapping neural 1514 network. Thanks to the analytic formulation, our model is very fast 1515 to evaluate and sample, and does not introduce noise to the BSDF 1516 evaluation. Through various parameter settings, we have demon-1517 strated that our model handles many types of materials, like plastics, 1518 1519 wood, cloth, and leaves, as well as supporting orientation mapping, anisotropy, and fiber sheen effects with no additional effort. Interac-1520 1521 tive and real-time applications of the SpongeCake model should be possible in the near future. 1522

In the future, it would also be interesting (and challenging) to explore possibilities to unify surface and media layers further. Depth-1524 varying densities, diffuse or one-sided microflakes, and other ex-1525 tensions may be useful to achieve more effects and deeper under-1526 standing. Extending our model to deal with correlated participating 1527 1528 media [d'Eon 2018; Jarabo et al. 2018] to study how non-exponential 1529 falloff would expand the range of surface appearance could also be interesting, as would the incorporation of non-local BSSRDF effects. 1530

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