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Technical Report No. 213  
December 1976

SCHEDULING INDEPENDENT TASKS WITH RELEASE  
DATES AND DUE DATES ON PARALLEL MACHINES

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## Introduction

An instance  $I$  of the scheduling problem considered in this paper is given by:

Two integers  $n > 0$  and  $m > 0$  and three sequences of numbers

$$\tau_1, \tau_2, \dots, \tau_n$$

$$r_1, r_2, \dots, r_n$$

and

$$d_1, d_2, \dots, d_n$$

such that  $\tau_i > 0$ ,  $r_i \geq 0$ ,  $d_i \geq 0$  and  $d_i \geq r_i + \tau_i$  for  $i = 1, \dots, n$ .

Informally,  $n$  is the number of tasks,  $m$  is the number of (identical) machines, the  $\tau_i$ 's are service times, the  $r_i$ 's are release dates and the  $d_i$ 's are due dates. The  $i^{\text{th}}$  task will be denoted by  $T_i$ . Task  $T_i$  requires a total of  $\tau_i$  units of service. This service cannot begin before time  $r_i$  and may not extend beyond time  $d_i$ . This service need not be obtained from a single machine, that is, preemptions are allowed.

A service assignment is a tuple  $\langle j, s, f \rangle$  where  $j$  is a positive integer less than or equal to  $m$  and  $s$  and  $f$  are nonnegative numbers such that  $s < f$ .

A schedule  $\sigma$  is a mapping from tasks into sets of service assignments. For example,  $\sigma(T_i) = \{ \langle j_1, s_1, f_1 \rangle, \langle j_2, s_2, f_2 \rangle \}$  means that machine  $j_1$  services task  $T_i$  during the time interval  $[s_1, f_1)$  and machine  $j_2$  services task  $T_i$  during the time interval  $[s_2, f_2)$ . The total service given to task  $T_i$  is  $(f_1 - s_1) + (f_2 - s_2)$ .

We say a schedule  $\sigma$  is feasible if it satisfies the following conditions:

1. No machine is assigned so as to be servicing more than one task at any instant.
2. No task is receiving service from more than one machine at any instant.

3. No task is receiving service from any machine at some instant earlier than its release date or later than its due date.
4. The total service given to each task is equal to its service time.

In the next section we shall give an efficient algorithm for determining a feasible schedule  $\sigma$  (if one exists) given an instance  $I$  of our scheduling problem.

#### An Algorithm

Let  $I$  be an instance of our scheduling problem. Let  $a_1 \leq a_2 \leq a_3 \leq \dots \leq a_{2n}$  be the ordered collection of release dates and due dates. For example, if  $n=3$ ,

$$r_1, r_2, r_3 = 1, 1, 3,$$

and

$$d_1, d_2, d_3 = 2, 7, 5$$

then

$$a_1, a_2, a_3, a_4, a_5, a_6 = 1, 1, 2, 3, 5, 7.$$

Let  $R_j = [a_j, a_{j+1})$  and  $k_j = a_{j+1} - a_j$  for  $j=1, \dots, 2n-1$ . Some of the intervals  $R_j$  may be empty ( $k_j=0$ ) and can be discarded. Renumber the remaining intervals such that  $R_1, \dots, R_p$  are all the nonempty intervals ( $k_j > 0$ ).

We form a capacitated flow network  $N(I)$  with vertices  $So$  (source),  $Si$  (sink),  $T_1, \dots, T_n$  and  $R_1, \dots, R_p$ . The arcs in  $N(I)$  and their capacities are:

<u>arc</u>	<u>capacity</u>
$(So, T_i)$	$\tau_i \quad i=1, \dots, n$
$(R_j, Si)$	$mk_j \quad j=1, \dots, p$
$(T_i, R_j)$	$k_j \quad \text{for all } T_i \text{ and } R_j \text{ such that } T_i \text{ can be serviced in the interval } R_j$

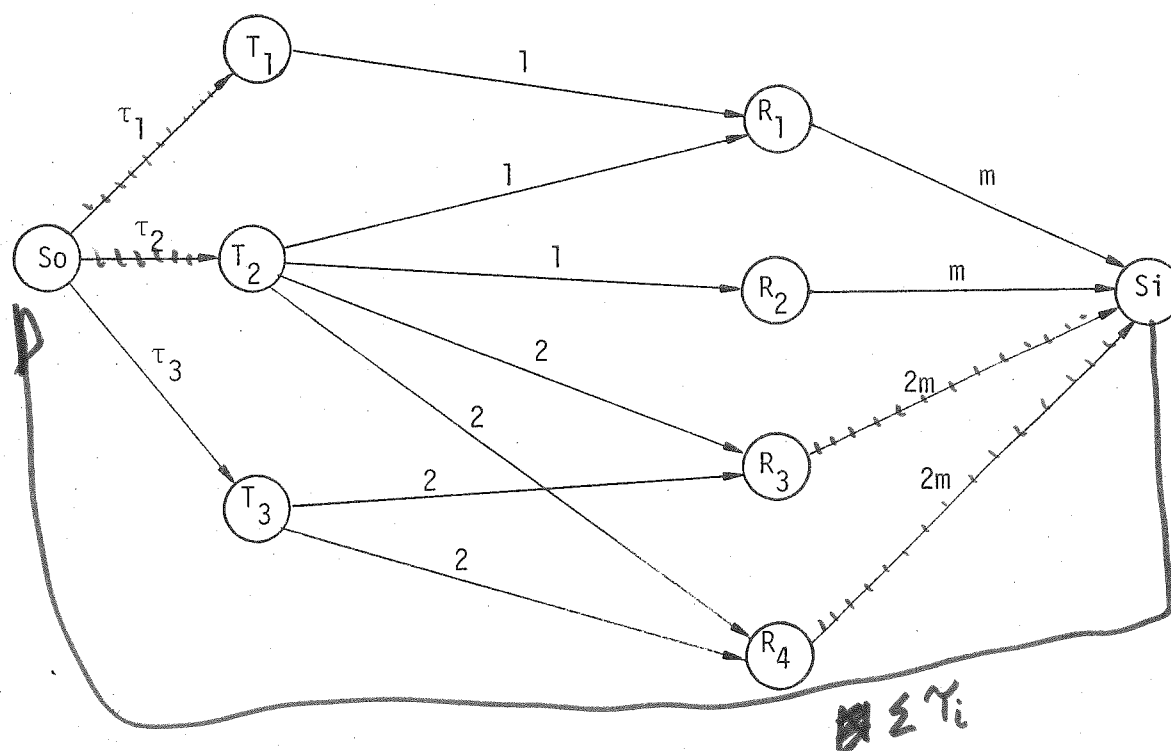
Continuing the above example we find (after eliminating interval  $[1,1]$ )

$$R_1 = [1,2) \quad k_1 = 1$$

$$R_2 = [2,3) \quad k_2 = 1$$

$$R_3 = [3,5) \quad k_3 = 2$$

$$R_4 = [5,7) \quad k_4 = 2$$



Flow Network

Let  $c(A,B)$  denote the capacity of arc  $(A,B)$  in  $N(I)$ . Our objective is to determine a maximum flow from  $So$  to  $Si$  in  $N(I)$ . A flow pattern  $h$  is a mapping from arcs in  $N(I)$  into nonnegative numbers such that  $h(A,B) \leq c(A,B)$  for every arc  $(A,B)$  in  $N(I)$ ,

$F = \sum_{i=1}^n h(So, T_i) = \sum_{j=1}^p h(R_j, Si)$ , and the sum of the flow into vertex  $T_i$  ( $R_j$ ) is equal to the flow leaving vertex  $T_i$  ( $R_j$ ) for  $i=1, \dots, n$  ( $j=1, \dots, p$ ). The value of the flow pattern  $h$  is equal to  $F$ .

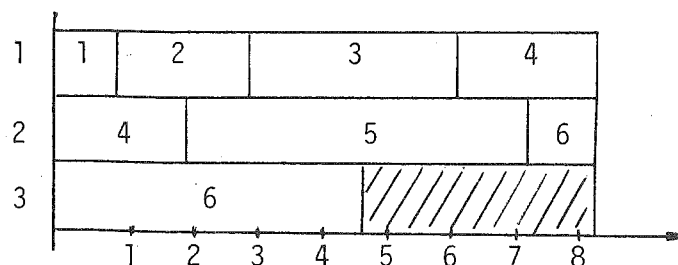
Algorithm AInput: Instance I of scheduling problemOutput: Feasible schedule  $\sigma$  if one exists.Method:

1. Construct flow network  $N(I)$
2. Find flow pattern  $h$  with maximum value  $F$ .
3. If  $F < \sum_{i=1}^n \tau_i$  then no feasible schedule exists; stop.
4. If  $F = \sum_{i=1}^n \tau_i$  then use  $h$  to construct a feasible schedule

 $\sigma$ .  $\square$ 

The construction of a feasible schedule in step 4 of Algorithm A is most easily accomplished one interval  $R_j$  at a time. Let  $t_i = h(T_i, R_j)$  for each arc  $(T_i, R_j)$  ( $j$  is fixed) in  $N(I)$  and  $t_i = 0$  if  $(T_i, R_j)$  is not in  $N(I)$ . By the construction of  $N(I)$  we have that  $t_i \leq k_j$  and  $\sum_{i=1}^n t_i \leq m k_j$ . The above conditions are sufficient to enable us to determine service assignments to tasks  $T_i$  with  $t_i > 0$  in the interval  $R_j$ . The algorithm will not be stated formally here but can be found in [Mc]. An example should suffice.

Let  $t_1 = 1, t_2 = 2, t_3 = 3, t_4 = 4, t_5 = 5, t_6 = 6, R_1 = [0, 8)$  and  $m = 3$ .



We begin by making service assignments on machine 1 for  $t_1, t_2$  and  $t_3$  time units. Next we see that  $t_4$  won't "fit" on machine 1. We use up the remaining capacity of machine 1 by servicing task  $T_4$  for two units

and then use machine 2 for the remaining service. (Actually, the service from machine 2 occurs chronologically before the service from machine 1.)

Since  $t_4 \leq 8$  we are guaranteed that this service assignment satisfies condition 2 for feasibility.

In step 4 of Algorithm A we use  $h$  to construct  $\sigma$  in each of the intervals  $R_j$  for  $j=1, \dots, p$ .

The correctness of Algorithm A rests on the following lemma.

Lemma 1 A feasible schedule exists for an instance  $I$  of our scheduling problem if and only if the maximum value  $F$  of a flow pattern for  $N(I)$  is equal to  $\sum_{i=1}^n \tau_i$ .

#### Complexity of the Algorithm

In this section we argue that the worst-case time complexity of Algorithm A is  $O(n^3)$ . It takes time  $O(n \log_2 n)$  to construct the  $R_j$ 's,  $O(n^2)$  to build  $N(I)$  and, from Edmonds and Karp [EK],  $O(n^3)$  to find  $F$ . The last step in the construction of  $\sigma$  is  $O(n^2)$ .

#### Uniform Machines

In this section we extend our results to the case of two uniform machines. Let  $m$ , the number of machines, be equal to 2. Suppose machine 1 has speed  $s_1$  and machine 2 has speed  $s_2$  where  $s_1 \geq s_2$ . Accordingly, it takes machine  $j$  ( $j=1$  or  $2$ )  $\tau_i/s_j$  time units to service task  $T_i$  where  $\tau_i$  is the service requirement of  $T_i$ . Suppose task  $T_i$  receives an actual service time of  $\delta_j$  on machine  $j$  for  $j=1,2$ . We require that these total actual service times be such that  $\delta_1 s_1 + \delta_2 s_2 = \tau_i$ , the service requirement of task  $T_i$ .

As we did earlier, we define a capacitated flow network  $\bar{N}(I)$  with vertices  $S_o$  (source),  $S_i$  (sink),  $T_1, \dots, T_n$  and  $R_1, \dots, R_p$ . The arcs in

$\bar{N}(I)$  and their capacities are:

<u>arc</u>	<u>capacity</u>	
$(S_0, T_i)$	$\tau_i$	$i=1, \dots, n$
$(R_j, S_i)$	$(s_1 + s_2)k_j$	$j=1, \dots, n$
$(T_i, R_j)$	$s_1 k_j$	for all $T_i$ and $k_j$ such that $T_i$ can be serviced in the interval $R_j$ .

We have the following lemma.

Lemma 2 Let  $m=2$  and  $s_1 \geq s_2$ . A feasible schedule exists for and instance  $I$  of our scheduling problem if and only if the maximum value  $\bar{F}$  of a flow pattern for  $\bar{N}(I)$  is equal to  $\sum_{i=1}^n \tau_i$ .

Proof: Let  $\sigma$  be a feasible schedule. Let  $R$  be some region and let  $k$  be the length of  $R$ . Let  $\delta_{ij}$  be the total actual service time of task  $T_i$  on machine  $j$  in region  $R$ .

Define  $t_i = s_1 \delta_{i1} + s_2 \delta_{i2}$ . Clearly,  $\delta_{i1} + \delta_{i2} \leq k$  and therefore  $t_i \leq s_1 k$  (we have used  $s_1 \geq s_2$ ). Thus a flow of  $t_i$  units in the arc  $(T_i, R)$  does not exceed the capacity of this arc. We also have  $\sum_{i=1}^n \delta_{i1} \leq k$  and  $\sum_{i=1}^n \delta_{i2} \leq k$  and therefore  $\sum_{i=1}^n t_i = s_1 \sum_{i=1}^n \delta_{i1} + s_2 \sum_{i=1}^n \delta_{i2} \leq (s_1 + s_2) k$ . Accordingly, the total flow in  $(R, S_i)$  does not exceed the capacity of this arc. Since  $\sigma$  is feasible the total flow leaving  $T_i$  is equal to  $\tau_i$ .

Let  $h$  be a flow pattern with value  $\bar{F} = \sum_{i=1}^n \tau_i$ . Our aim is to show that within each region  $R$  we are able to schedule the tasks which, ac-

cording to  $h$ , are to obtain service in  $R$ . Let  $t_i$  denote the service (not the actual service time) to be obtained by task  $T_i$  in region  $R$ , i.e., the flow in  $(T_i, R)$ . We have  $t_i \leq s_1 k$  for  $i=1, \dots, n$  ( $k$  is the length of  $R$ ) and therefore (a)  $\max_i t_i \leq s_1 k$ . Moreover, (b)  $\sum t_i \leq s_1 k + s_2 k$ . Conditions (a) and (b) are known to be necessary and sufficient for the existence of a preemptive schedule of length  $k$  on two machines with speeds  $s_1 \geq s_2$  and tasks with service times  $t_1, \dots, t_n$  [GS,HLS].  $\square$

The worst-case time complexity of an algorithm based on Lemma 2 is  $O(n^3)$  since there is a linear-time algorithm for the construction of the schedule in each region  $R$  [GS].



References

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