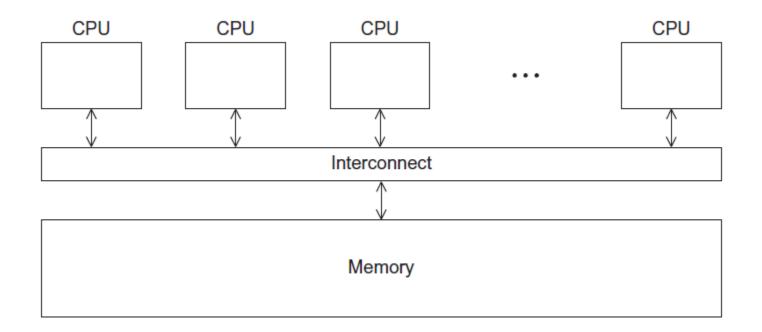
Shared Memory Programming with Pthreads

Pacheco. Chapter 4 T. Yang. UCSB CS140. Spring 2014

Outline

- Shared memory programming: Overview
- POSIX pthreads
- Critical section & thread synchronization.
 - Mutexes.
 - Producer-consumer synchronization and semaphores.
 - Barriers and condition variables.

Shared Memory Architecture

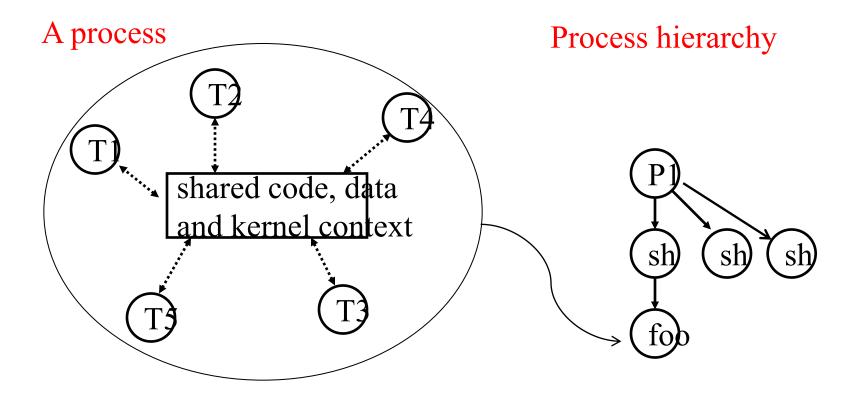


Processes and Threads

- A process is an instance of a running (or suspended) program.
- Threads are analogous to a "light-weight" process.
- In a shared memory program a single process may have multiple threads of control.

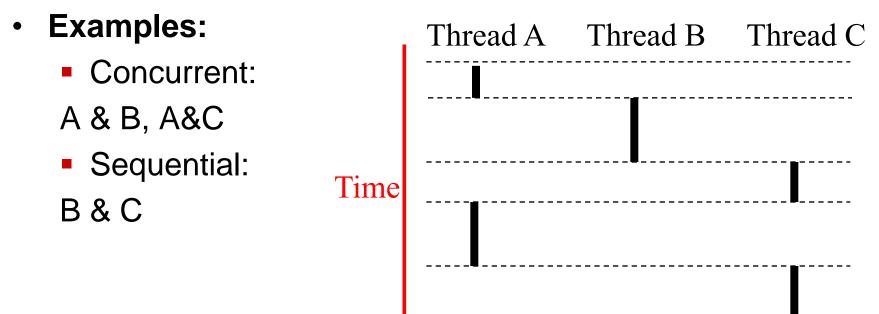


Threads are created within a process



Concurrent Thread Execution

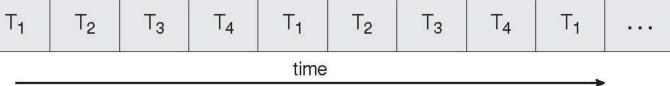
- Two threads run concurrently if their logical flows overlap in time
- Otherwise, they are sequential (we'll see that processes have a similar rule)



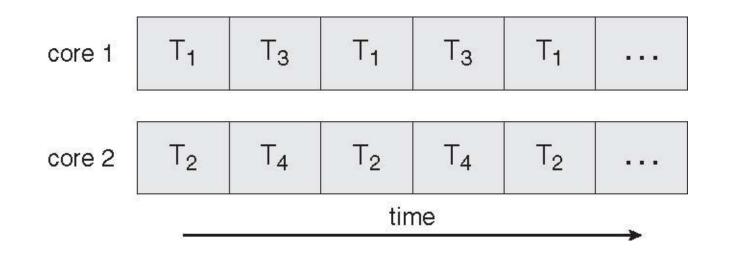
Execution Flow on one-core or multi-core systems

Concurrent execution on a single core system





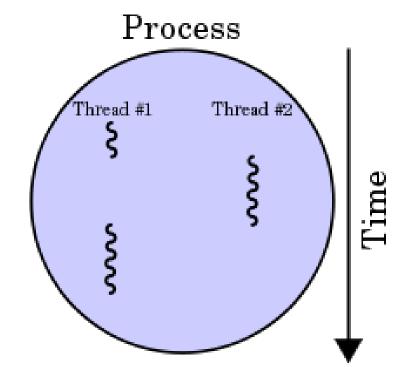
Parallel execution on a multi-core system



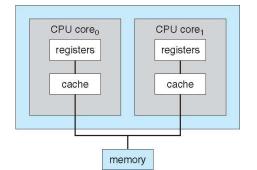
Benefits of multi-threading

- Responsiveness
- Resource Sharing

Shared memory

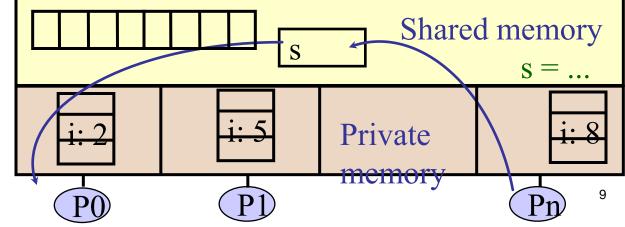


- Economy
- Scalability
 - Explore multi-core CPUs



Thread Programming with Shared Memory

- Program is a collection of threads of control.
 - Can be created dynamically
- Each thread has a set of private variables, e.g., local stack variables
- Also a set of shared variables, e.g., static variables, shared common blocks, or global heap.
 - Threads communicate implicitly by writing and reading shared variables.
 - Threads coordinate by synchronizing on shared variables

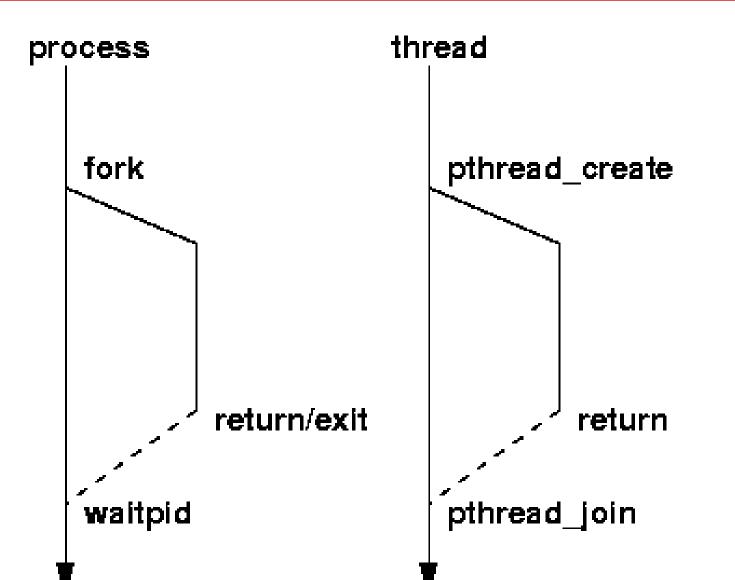


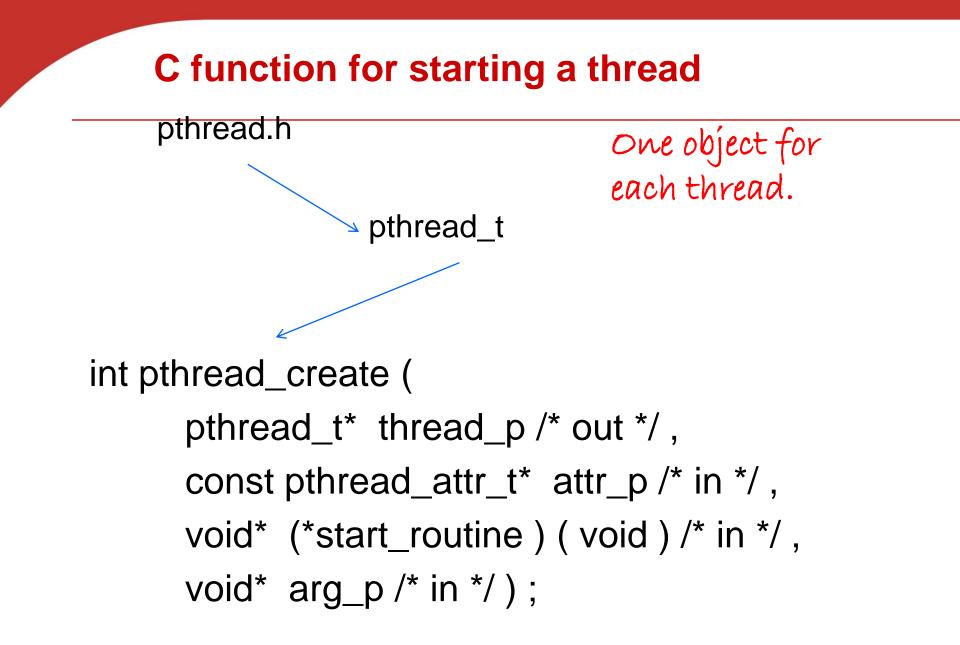
Shared Memory Programming

Several Thread Libraries/systems

- Pthreads is the POSIX Standard
 - Relatively low level
 - Portable but possibly slow; relatively heavyweight
- OpenMP standard for application level programming
 - Support for scientific programming on shared memory
 - http://www.openMP.org
- TBB: Thread Building Blocks
 - Intel
- CILK: Language of the C "ilk"
 - Lightweight threads embedded into C
- Java threads
 - Built on top of POSIX threads

Creation of Unix processes vs. Pthreads



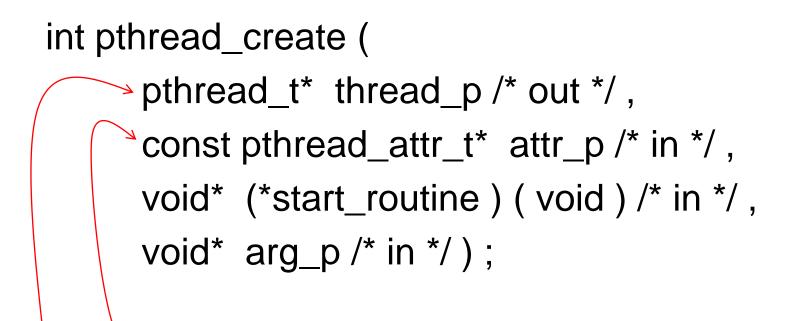


pthread_t objects

Opaque

- The actual data that they store is systemspecific.
- Their data members aren't directly accessible to user code.
- However, the Pthreads standard guarantees that a pthread_t object does store enough information to uniquely identify the thread with which it's associated.

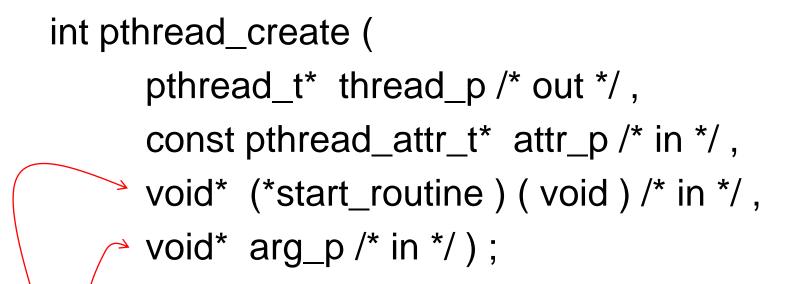
A closer look (1)



We won't be using, so we just pass NULL.

Allocate before calling.

A closer look (2)



Pointer to the argument that should be passed to the function *start_routine*.

The function that the thread is to run.

Function started by pthread_create

- Prototype: void* thread_function (void* args_p);
- Void* can be cast to any pointer type in C.
- So args_p can point to a list containing one or more values needed by thread_function.
- Similarly, the return value of thread_function can point to a list of one or more values.

Wait for Completion of Threads

pthread_join(pthread_t *thread, void
 **result);

- Wait for specified thread to finish. Place exit value into *result.
- We call the function pthread_join once for each thread.
- A single call to pthread_join will wait for the thread associated with the pthread_t object to complete.

Example of Pthreads

```
thread
#include <pthread.h>
                                           pthread create
#include <stdio.h>
                                           pthread_create
void *PrintHello(void * id){
 printf("Thread%d: Hello World!\n", id);
void main (){
 pthread_t thread0, thread1;
 pthread_create(&thread0, NULL, PrintHello, (void *) 0);
 pthread_create(&thread1, NULL, PrintHello, (void *) 1);
```

Example of Pthreads with join

```
thread
#include <pthread.h>
                                             pthread create
#include <stdio.h>
                                             pthread_create
void *PrintHello(void * id){
  printf("Thread%d: Hello World!\n", id);
void main (){
 pthread_t thread0, thread1;
 pthread_create(&thread0, NULL, PrintHello, (void *) 0);
  pthread_create(&thread1, NULL, PrintHello, (void *) 1);
  pthread_join(thread0, NULL);
  pthread_join(thread1, NULL);
```

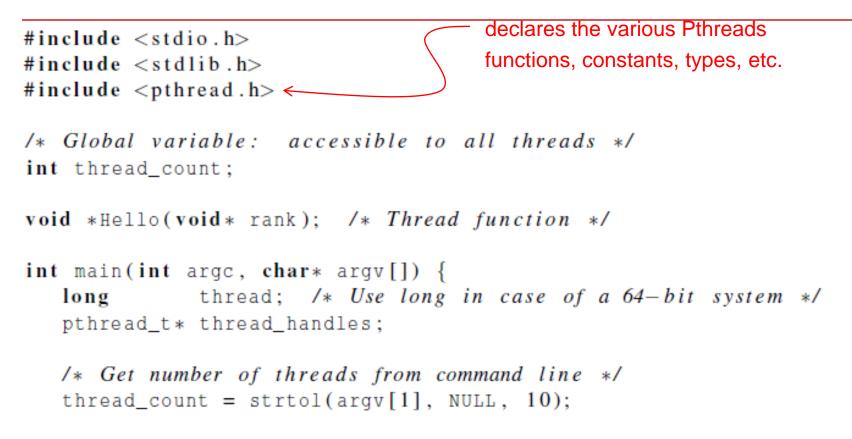
Some More Pthread Functions

- pthread_yield();
 - Informs the scheduler that the thread is willing to yield
- pthread_exit(void *value);
 - Exit thread and pass value to joining thread (if exists)

Others:

- pthread_t me; me = pthread_self();
 - Allows a pthread to obtain its own identifier pthread_t thread;
- Synchronizing access to shared variables
 - pthread_mutex_init, pthread_mutex_[un]lock
 - pthread_cond_init, pthread_cond_[timed]wait

Textbook Hello World example



thread_handles = malloc (thread_count*sizeof(pthread_t));



```
for (thread = 0; thread < thread_count; thread++)
    pthread_create(&thread_handles[thread], NULL,
        Hello, (void*) thread);</pre>
```

printf("Hello from the main thread\n");

for (thread = 0; thread < thread_count; thread++)
 pthread_join(thread_handles[thread], NULL);</pre>

```
free(thread_handles);
return 0;
/* main */
```



```
void *Hello(void* rank) {
   long my_rank = (long) rank; /* Use long in case of 64-bit system */
   printf("Hello from thread %ld of %d\n", my_rank, thread_count);
   return NULL;
} /* Hello */
```

Compiling a Pthread program

gcc -g -Wall -o pth_hello pth_hello . c -lpthread

link in the Pthreads library

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Running a Pthreads program

- ./ pth_hello <number of threads>
- ./ pth_hello 1

Hello from the main thread Hello from thread 0 of 1

. / pth_hello 4

Hello from the main thread Hello from thread 0 of 4 Hello from thread 1 of 4 Hello from thread 2 of 4 Hello from thread 3 of 4

Issues in Threads vs. Processes

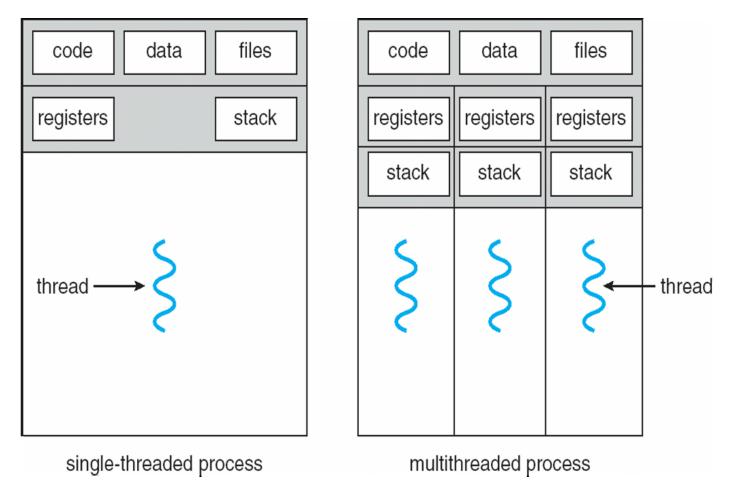
- Shared variables as global variables exist in threads
 - Can introduce subtle and confusing bugs!
 - Limit use of global variables to situations in which they're really needed.

Starting threads

- Processes in MPI are usually started by a script.
- In Pthreads the threads are started by the program executable.

Difference between Single and Multithreaded Processes Shared memory access for code/data

Separate control flow -> separate stack/registers



Matrix-Vector Multiplication with Pthreads

Textbook P.159-162

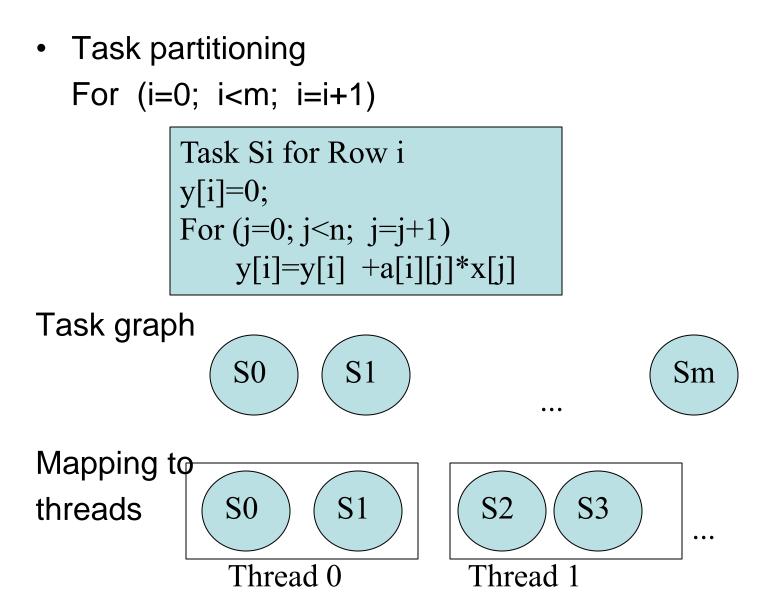
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Sequential code

$$\begin{pmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{pmatrix} * \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} = \begin{pmatrix} 1*1+2*2+3*3 \\ 4*1+5*2+6*3 \\ 7*1+8*2+9*3 \end{pmatrix}^{-} = \begin{pmatrix} 14 \\ 32 \\ 50 \end{pmatrix}$$
/* For each row of A */
for (i = 0; i < m; i++) {
 y[i] = 0.0;
 /* For each element of the row and each element of x */
 for (j = 0; j < n; j++)
 y[i] += A[i][j]* x[j];
}

a ₀₀	<i>a</i> ₀₁		$a_{0,n-1}$		Уо
a_{10}	a_{11}		$a_{1,n-1}$	x_0	y1
:	:			<i>x</i> ₁	:
a_{i0}				. =	$y_{1} = a_{10}x_{0} \pm a_{11}x_{1} \pm \dots + a_{n-1}x_{n-1}$
10	a_{i1}	•••	$a_{i,n-1}$:	$y_i = a_{i0}x_0 + a_{i1}x_1 + \cdots + a_{i,n-1}x_{n-1}$
:			$a_{i,n-1}$	x_{n-1}	$y_i = a_{i0}x_0 + a_{i1}x_1 + \cdots + a_{i,n-1}x_{n-1}$

Block Mapping for Matrix-Vector Multiplication



Using 3 Pthreads for 6 Rows: 2 row per thread

	Components	
Thread	of y	
0	y[0], y[1]	S0, S1
1	y[2], y[3]	S2, S3
2	y[4], y[5]	S4,S5

Code for S0

Code for Si

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Pthread code for thread with ID rank

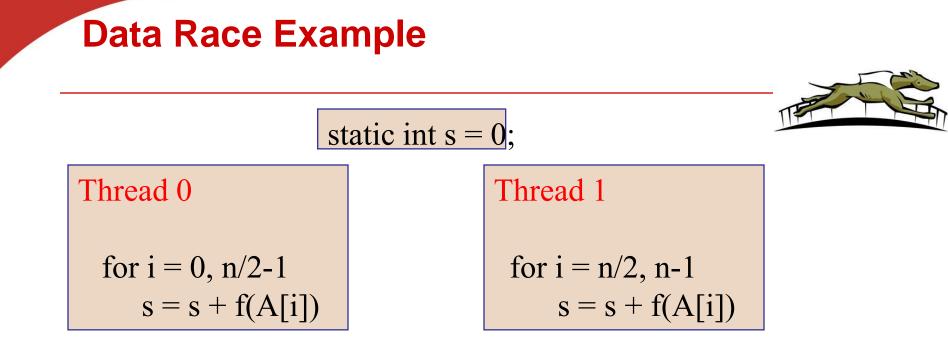
```
void *Pth_mat_vect(void* rank) {
   long my rank = (long) rank;
   int i, j;
   int local_m = m/thread_count;
   int my_first_row = my_rank*local_m;
   int my_last_row = (my_rank+1)*local_m - 1;
   for (i = my_first_row; i <= my_last_row; i++) {</pre>
      y[i] = 0.0;
                                   Task Si
      for (j = 0; j < n; j++)
          y[i] += A[i][j]*x[j];
   }
```

```
return NULL;
} /* Pth_mat_vect */
```



CRITICAL SECTIONS

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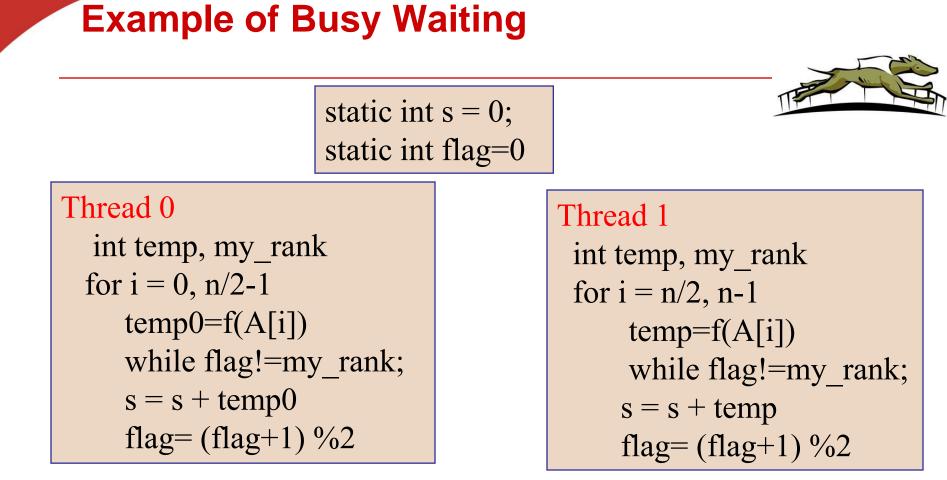


- Also called critical section problem.
- A race condition or data race occurs when:
 - two processors (or two threads) access the same variable, and at least one does a write.
 - The accesses are concurrent (not synchronized) so they could happen simultaneously



- 1. Busy waiting
- 2. Mutex (lock)
- 3. Semaphore
- 4. Conditional Variables

5. Barriers



A thread repeatedly tests a condition, but, effectively, does no useful work until the condition has the appropriate value.
Weakness: Waste CPU resource. Sometime not safe with compiler optimization.

Application Pthread Code: Estimating π

$$\pi = 4\left(1 - \frac{1}{3} + \frac{1}{5} - \frac{1}{7} + \dots + (-1)^n \frac{1}{2n+1} + \dotsb\right)$$

double factor = 1.0; double sum = 0.0; for (i = 0; i < n; i++, factor = -factor) { sum += factor/(2*i+1); }

pi = 4.0 * sum;

Mapping for a multi-core machine

Two thread distribution

Divide computation to 2 threads or more using block mapping. For example, n=20

Thread 0: Iterations 0, 1, 2, .., 9 Thread 1: Iterations 10, 11, 12, .., 19

- No of threads = thread_count
- No of iterations per thread my_n= n/ thread_count
 Assume it is an integer?
- Load assigned to my thread:
 - •First iteration: my_n * my_rank
 - •Last iteration: First iteration + my_n -1

A thread function for computing π

```
void * Thread_sum(void * rank) {
    long my rank = (long) rank;
    double factor;
    long long i;
    long long my n = n/thread count;
    long long my first i = my n*my rank;
    long long my last i = my first i + my n;
    if (my_first_i % 2 == 0) /* my_first_i is even */
       factor = 1.0;
    else /* my_first_i is odd */
       factor = -1.0;
                              Unprotected critical section.
    for (i = my_first_i;
       sum += factor/(2*i+1);
```

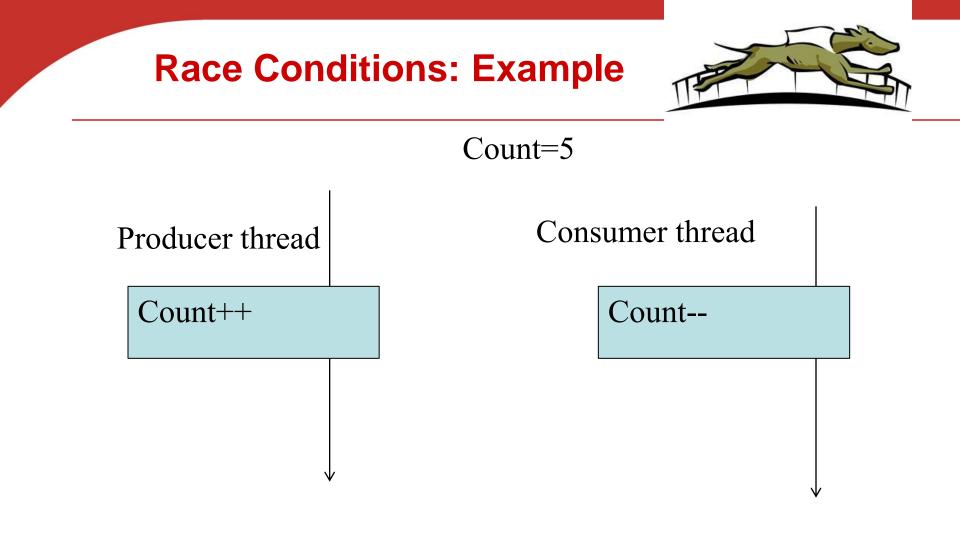
return NULL; /* Thread_sum */

Running results with 1 thread and 2 threads

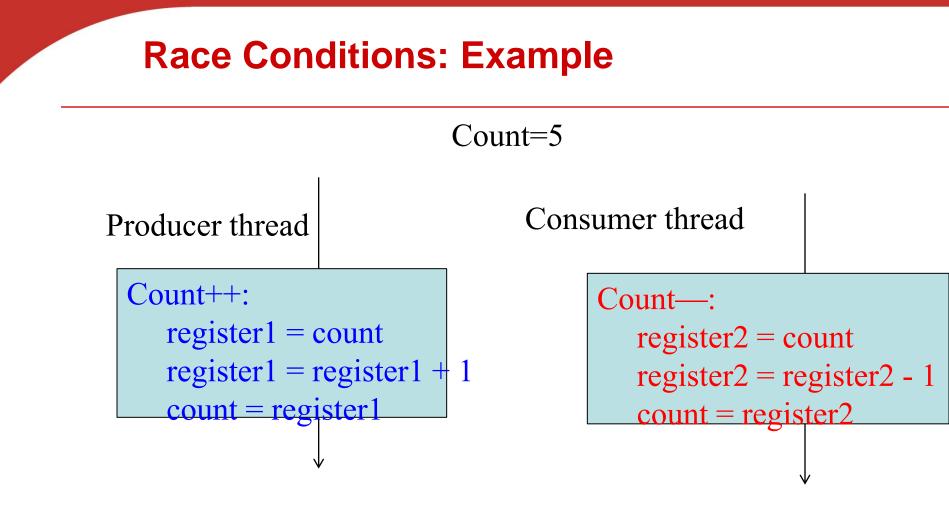
	n			
	10^{5}	10^{6}	107	10^{8}
π	3.14159	3.141593	3.1415927	3.14159265
1 Thread	3.14158	3.141592	3.1415926	3.14159264
2 Threads	3.14158	3.141480	3.1413692	3.14164686

As n becomes larger,

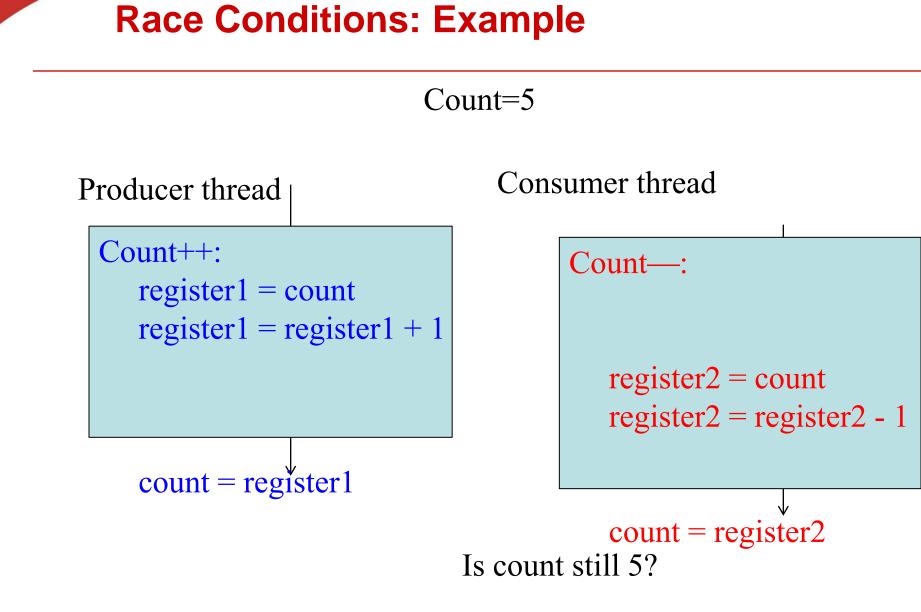
- The one thread result becomes more accurate, gaining more correct digits
- The two-thread result is getting worse or strange



Is count still 5?



Is count still 5?



Race Condition

"count = 5" initially: S0: producer execute register1 = count {register1 = 5} S1: producer execute register1 = register1 + 1 $\{register1 = 6\}$ S2: consumer execute register2 = count $\{register 2 = 5\}$ S3: consumer execute register2 = register2 - 1 $\{register2 = 4\}$ S4: producer execute count = register1 {count = 6 S5: consumer execute count = register2 {count = 4}

Busy-Waiting

- A thread repeatedly tests a condition, but, effectively, does no useful work until the condition has the appropriate value.
- Beware of optimizing compilers, though!

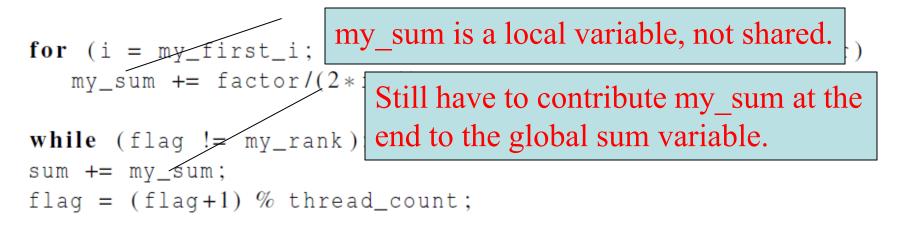
Pthreads global sum with busy-waiting

```
void * Thread_sum(void * rank) {
  long my_rank = (long) rank;
  double factor;
  long long i;
  long long my n = n/thread count;
  long long my_first_i = my_n*my_rank;
  long long my_last_i = my_first_i + my_n;
   if (my first i % 2 == 0)
     factor = 1.0;
  else
                           sum is a shared global variable. Can we
      factor = -1.0:
                            transform code and minimize thread
  for (i = my_first_i
                           interaction on this variable?
      while (flag != my
      sum += factor/(2*i+1):
      flag = (flag+1) % thread_count;
  return NULL;
```

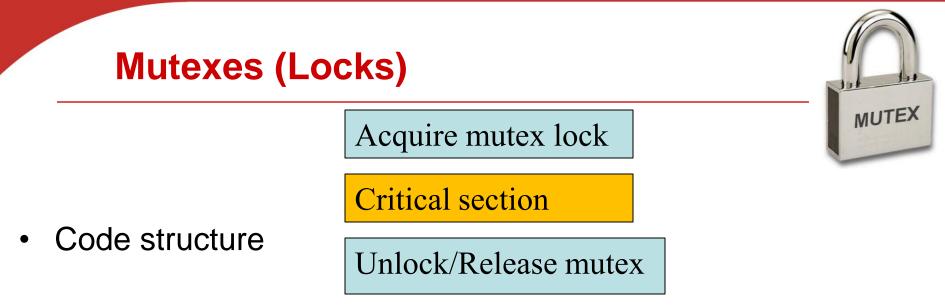
/* Thread_sum */

Global sum with local sum variable/busy waiting (1)

Global sum with local sum variable/busy waiting



return NULL; /* Thread_sum */



- Mutex (mutual exclusion) is a special type of variable used to restrict access to a critical section to a single thread at a time.
- guarantee that one thread "excludes" all other threads while it executes the critical section.
- When A thread waits on a mutex/lock, CPU resource can be used by others.



Mutexes in Pthreads

A special type for mutexes: pthread_mutex_t.

int pthread_mutex_init(pthread_mutex_t* mutex_p /* out */ const pthread_mutexattr_t* attr_p /* in */);

• To gain access to a critical section, call

int pthread_mutex_lock(pthread_mutex_t* mutex_p /* in/out */);

• To release

int pthread_mutex_unlock(pthread_mutex_t* mutex_p /* in/out */);

• When finishing use of a mutex, call

int pthread_mutex_destroy(pthread_mutex_t* mutex_p /* in/out */);

Global sum function that uses a mutex (1)

```
void * Thread_sum(void * rank) {
   long my_rank = (long) rank;
   double factor;
   long long i;
   long long my_n = n/thread_count;
   long long my_first_i = my_n*my_rank;
   long long my_last_i = my_first_i + my_n;
   double my_sum = 0.0;
   if (my_first_i % 2 == 0)
      factor = 1.0;
   else
```

factor = -1.0;

Global sum function that uses a mutex (2)

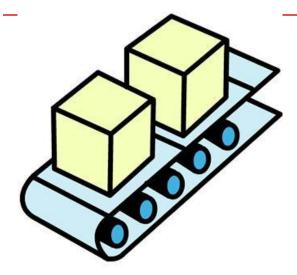
```
for (i = my_first_i; i < my_last_i; i++, factor = -factor) {
    my_sum += factor/(2*i+1);</pre>
```

```
pthread_mutex_lock(&mutex);
sum += my_sum;
pthread_mutex_unlock(&mutex);
```

return NULL;
/* Thread_sum */

Threads	Busy-Wait	Mutex	
1	2.90	2.90	
2	1.45	1.45	T _{coriol}
4	0.73	0.73	$rac{T_{ m serial}}{T_{ m parallel}} pprox { m thread_count}$
8	0.38	0.38	puruner
16	0.50	0.38	
32	0.80	0.40	
64	3.56	0.38	

Run-times (in seconds) of π programs using n = 108 terms on a system with two four-core processors.



Producer-consumer Synchronization and Semaphores

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Why Semaphores?

Synchronization	Functionality/weakness	
Busy waiting	Spinning for a condition. Waste resource. Not safe	
Mutex lock	Support code with simple mutual exclusion	
Semaphore	Handle more complex signal-based synchronization	© Original Artist / Search



- Allow a resource to be shared among multiple threads.
 - Mutex: no more than 1 thread for one protected region.

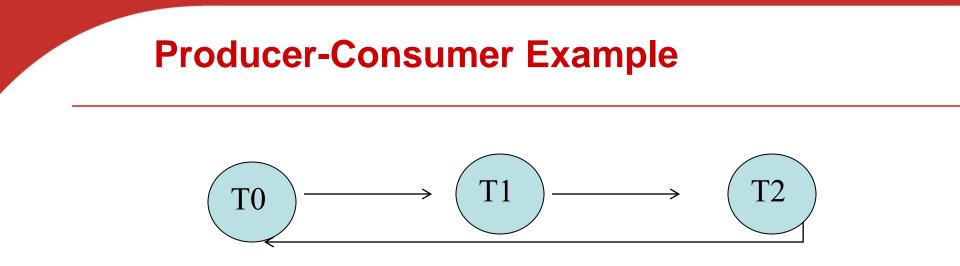
nk Lassie is trying to tell us something,

- Allow a thread waiting for a condition after a signal
 - E.g. Control the access order of threads entering the critical section.
 - For mutexes, the order is left to chance and the system.

Problems with a mutex solution in multiplying many matrices

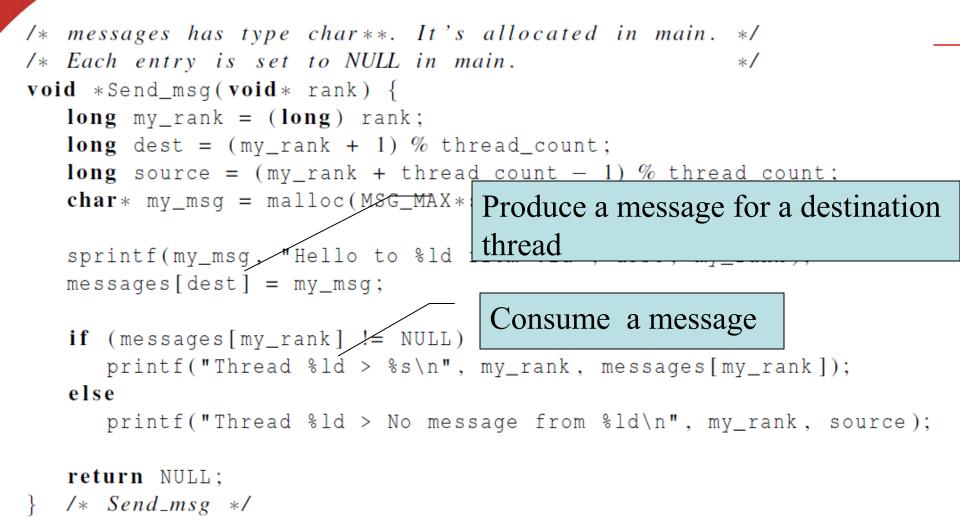
product_mat= A^*B^*C Out of order multiplication \rightarrow product_mat= A^*C^*B That is wrong

/* n and product_matrix are shared and initialized by the main thread /* product_matrix is initialized to be the void* Thread_work(void* rank) { long my_rank = (long) rank; matrix_t my_mat = Allocate_matrix(n); Generate_matrix(my_mat); pthread_mutex_lock(&mutex); Multiply_matrix(product_mat, my_mat); pthread_mutex_unlock(&mutex); Free_matrix(&my_mat); return NULL; } /* Thread_work */



- Thread x produces a message for Thread x+1.
 - Last thread produces a message for thread 0.
- Each thread prints a message sent from its source.
- Will there be null messages printed?
 - A consumer thread prints its source message before this message is produced.
 - How to avoid that?

First attempt at sending messages using pthreads



Semaphore: Generalization from mutex locks

- Semaphore S integer variable
 - Initial value can be negative or positive
- Can only be accessed /modified via two (atomic) operations with the following semantics:
 - wait (S) { //also called P() while S <= 0 wait in a queue; S--;



'I think Lassie is trying to tell us something, ma.'

post(S) { //also called V()
 S++;
 Wake up a thread that waits in the queue.
 }

Syntax of Pthread semaphore functions

#include <semaphore.h>

Semaphores are not part of Pthreads; you need to add this.

int	sem_init(
	sem_t*	semaphore_p	/*	out	*/,
	int	shared	/*	in	*/,
	unsigned	initial_val	/*	in	*/);

int sem_destroy(sem_t* semaphore_p /* in/out */);
int sem_post(sem_t* semaphore_p /* in/out */);
int sem_wait(sem_t* semaphore_p /* in/out */);

Message sending with semaphores

sprintf(my_msg, "Hello to %ld from %ld", dest, my_rank); messages[dest] = my_msg;

sem_post(&semaphores[dest]);

```
/* signal the dest thread*/
```

sem_wait(&semaphores[my_rank]);

/* Wait until the source message is created */

```
printf("Thread %ld > %s\n", my_rank,
    messages[my_rank]);
```

Typical Producer-Consumer Flow in Using a Semaphore

- Thread 1: //Consumer
- sem_wait(s);
- // condition is satisfied
- Consume an item
- Thread 2: // Producer
 Produce an item
 sem_post(s);
- What does initial value s mean?
 - s=0?
 - s=2?
 - s=-2

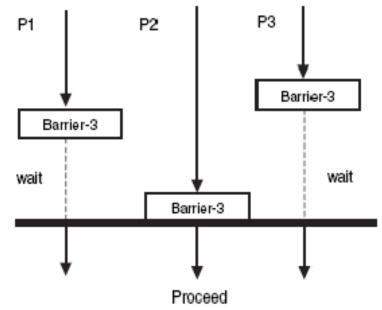


BARRIERS AND CONDITION VARIABLES

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- Synchronizing the threads to make sure that they all are at the same point in a program is called a barrier.
- No thread can cross the barrier until all the threads have reached it.



Application: Start timing of all threads at a fixed point.

/* Shared */ double elapsed_time; . . . /* Private */ double my_start, my_finish, my_elapsed; Synchronize threads; Store current time in my_start; /* Execute timed code */ . . . Store current time in my_finish; my_elapsed = my_finish - my_start; elapsed = Maximum of my_elapsed values;

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Using barriers for debugging

point in program we want to reach;

barrier;

if (my_rank == 0) { printf("All threads reached this point\n"); fflush(stdout); }



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Implement a barrier with busy-waiting and a mutex

• A shared counter as # of threads waiting in this point.

/* Shared and initialized by the main thread */
int counter; /* Initialize to 0
int thread_count;
pthread_mutex_t barrier_mutex;
....
Need one counter
variable for each

variable for each instance of the barrier, otherwise problems are likely to occur.

```
/* Barrier */
pthread_mutex_lock(&barrier_mutex);
counter++;
pthread_mutex_unlock(&barrier_mutex);
while (counter < thread_count);</pre>
```

Implementing a barrier with semaphores

```
/* Shared variables */
int counter;
                   Protect
                     Wait all threads to come
sem t count sem;
sem t barrier sem; /* Initialize to 0 */
void * Thread_work(...) {
   /* Barrier */
   sem wait(&count sem);
   if (counter == thread_count-1) {
      counter = 0;
      sem_post(&count_sem);
      for (j = 0; j < thread_count -1; j++)
         sem_post(&barrier_sem);
     else {
      counter++;
      sem_post(&count_sem);
      sem_wait(&barrier_sem);
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```

Condition Variables

- Why?
- More programming primitives to simplify code for synchronization of threads

Synchronization	Functionality	
Busy waiting	Spinning for a condition. Waste resource. Not safe	
Mutex lock	Support code with simple mutual exclusion	
Semaphore	Signal-based synchronization. Allow sharing (not wait unless semaphore=0)	
Barrier	Rendezvous-based synchronization	
Condition variables	More complex synchronization: Let threads wait until a user-defined condition becomes true	

Synchronization Primitive: Condition Variables

- Used together with a lock
- One can specify more general waiting condition compared to semaphores.
- A thread is blocked when condition is no true:
 - placed in a waiting queue, yielding CPU resource to somebody else.
 - Wake up until receiving a signal

Pthread synchronization: Condition variables

int status; pthread_condition_t cond;

const pthread_condattr_t attr;

pthread_mutex mutex;

status = pthread_cond_init(&cond,&attr);

status = pthread_cond_destroy(&cond);

status = pthread_cond_wait(&cond,&mutex);

-wait in a queue until somebody wakes up. Then the mutex is reacquired.

status = pthread_cond_signal(&cond);

- wake up one waiting thread.

status = pthread_cond_broadcast(&cond);

- wake up all waiting threads in that condition

How to Use Condition Variables: Typical Flow

 Thread 1: //try to get into critical section and wait for the condition

Mutex_lock(mutex);

While (condition is not satisfied)

Cond_Wait(mutex, cond);

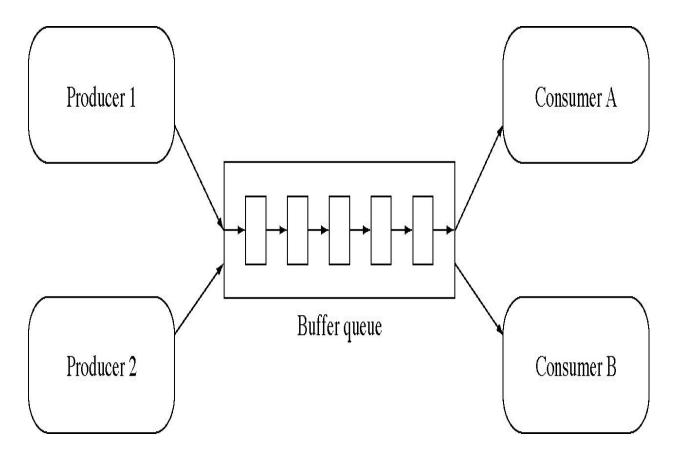
Critical Section;

Mutex_unlock(mutex)

Thread 2: // Try to create the condition.
 Mutex_lock(mutex);
 When condition can satisfy, Signal(cond);
 Mutex_unlock(mutex);

Condition variables for in producerconsumer problem with unbounded buffer

Producer deposits data in a buffer for others to consume



First version for consumer-producer problem with unbounded buffer

- int avail=0; // # of data items available for consumption
- Consumer thread:

while (avail <=0); //wait Consume next item; avail = avail-1;

• *Producer thread:*

Produce next item; avail = avail+1; //notify an item is available

Condition Variables for consumer-producer problem with unbounded buffer

- int avail=0; // # of data items available for consumption
- Pthread mutex m and condition cond;
- Consumer thread:

multex_lock(&m)
while (avail <=0) Cond_Wait(&cond, &m);
Consume next item; avail = avail-1;
mutex_unlock(&mutex)</pre>

• Producer thread:

mutex_lock(&m); Produce next item; availl = avail+1; Cond_signal(&cond); //notify an item is available mutex_unlock(&m);

When to use condition broadcast?

- When waking up one thread to run is not sufficient.
- Example: concurrent malloc()/free() for allocation and deallocation of objects with non-uniform sizes.

Running trace of malloc()/free()

- Initially 10 bytes are free.
- m() stands for malloc(). f() for free()

V		
Thread 1:	Thread 2:	Thread 3:
m(10) – succ	m(5) – wait	m(5) – wait
f(10) -broadcast		
	Resume m(5)-succ	
		Resume m(5)-succ
m(7) - wait		
		m(3) –wait
	f(5) –broadcast	
Resume m(7)-wait		Resume m(3)-succ

Time

Implementing a barrier with condition variables Text book p.180

```
/* Shared */
int counter = 0;
pthread_mutex_t mutex;
pthread cond t cond var;
void * Thread work(. . .) {
    /* Barrier */
    pthread_mutex_lock(&mutex);
    counter++:
    if (counter == thread_count) {
       counter = 0;
       pthread_cond_broadcast(&cond_var);
    } else {
       while (pthread_cond_wait(&cond_var, &mutex) !=
    pthread_mutex_unlock(&mutex);
    . . .
```

Concluding Remarks (1)

- A thread in shared-memory programming is analogous to a process in distributed memory programming.
 - However, a thread is often lighter-weight
- In Pthreads programs, all the threads have access to global variables, while local variables usually are private to the thread running the function.
- When multiple threads access a shared resource without controlling, we have a race condition.
 - A critical section is a block of code that updates a shared resource that can only be updated by one thread at a time

Concluding Remarks (2)

- Busy-waiting can be used for critical sections with a flag variable and a while-loop
 - It can waste CPU cycles, & may be unreliable
- A mutex arrange for mutually exclusive access to a critical section.
- Semaphore & Condition variables
 - more powerful synchronization primitives.
- A barrier is a point in a program at which the threads block until all of the threads have reached it.