# Optimal Mixing of MCMC via Spectral Independence 

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## Contents

- Independent Sets and Computational Phase Transition
- Spectral Independence Technique
- Proof approach: SI implies fast mixing of Glauber
- Conclusion


## Sampling Independent Sets

- Given $G=(V, E)$, let $\Omega=$ set of all independent sets (IS) of $G$
$I \subseteq V$ is an IS if $I$ has no adjacent pairs
- Counting problem: Compute $|\Omega|=$ number of IS's of $G$



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- Sampling problem: Sample IS from $\mu=\operatorname{uniform}(\Omega)$

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Can we count/sample in time poly( $n$ )?


- Exact counting is intractable (\#P-complete)

$$
|\Omega|=7
$$

- Can we approximate $|\Omega|$ ? Approx. Counting $\cong$ Approx. Sampling


## Approx. Counting/Sampling

Counting: $|\Omega|=\#$ of IS's; Sampling: $\mu=\operatorname{uniform}(\Omega)$

- FPRAS for Approx Counting: Given G, and $\epsilon, \delta>0$, output EST:

$$
\operatorname{Pr}(E S T(1-\epsilon) \leq|\Omega| \leq \operatorname{EST}(1+\epsilon)) \geq 1-\delta
$$

in time $\operatorname{poly}(n, 1 / \epsilon, \log (1 / \delta))$.

- Approx. Sampler: Given $G$, and $\delta>0$, samples from $\pi$ where $\|\pi-\mu\|_{T V} \leq \delta$ in time $\operatorname{poly}(n, \log (1 / \delta))$.

$$
\|\pi-\mu\|_{T V}:=\frac{1}{2} \sum_{x \in \Omega}|\pi(x)-\mu(x)|
$$

- Approx Sampler in $O(n \log n)$ time then FPRAS in $O\left(n^{2} \log ^{2} n\right)$ time.


## Glauber Dynamics / Gibbs Sampling

## Goal: sample $\mu=$ uniform $(\Omega)$

From $X_{t}$ :

1. Pick a vertex $v \in V$ u.a.r.
2. If $X_{t} \cap N(v) \neq \varnothing$, then $X_{t+1}=X_{t}$
3. Otherwise, $X_{t+1}= \begin{cases}X_{t} \cup\{v\}, & \text { with prob. } \frac{1}{2} \\ X_{t} \backslash\{v\}, & \text { with prob. } \frac{1}{2}\end{cases}$


Mixing time: $\max _{X_{0}} \min \left\{t:\left\|X_{t}-\mu\right\|_{T V} \leq 1 / 4\right\}$

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## Approx Counting Complexity

Approx counting \# of IS's and sampling uniform( $\Omega$ )

- Input: For any $G=(V, E)$ with maximum degree $\Delta$
- $\Delta \leq 5$ : $O(n \log n)$ mixing time for Glauber Dynamics. [CLV'21]
$\Longrightarrow O\left(n^{2} \log ^{2} n\right)$ to approx $|\Omega|$
- $\Delta \geq 6$ : NP-hard to approx $|\Omega|$ within factor $\exp (C n)$ some $C>0$ [sly'10] (even when restricted to triangle-free graphs of max deg. 6)


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What happens between $5 \leftrightarrow 6$ ?

## Hardcore (gas) Model

- Given $G=(V, E)$ and fugacity/activity $\lambda>0$
- $\Omega=$ collection of all independent sets of $G$.

$$
\text { For } I \in \Omega, w(\sigma)=\lambda^{|I|}
$$

Gibbs distribution: $\mu(I)=\frac{w(I)}{Z_{G}(\lambda)}$

$$
\text { Partition function: } Z_{G}(\lambda)=\sum_{I \in \Omega} w(I)
$$

- $\lambda=1: Z(1)=|\Omega|=$ number of $I S$ 's, and $\mu=$ uniform $(\Omega)$

$$
Z(\lambda)=1+4 \lambda+2 \lambda^{2}
$$



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\mu\left(I_{1}\right)=\lambda / Z
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Gibbs distribution: $\mu(I)=\frac{w(I)}{Z_{G}(\lambda)}$

- Easy for small $\lambda$ : see small IS's most of the time
- Hard for large $\lambda$ : will see large IS's
- $\lambda=1: Z(1)=|\Omega|=$ number of $I S$ 's, and $\mu=$ uniform $(\Omega)$

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Goal: sample an IS approximately from $\mu$ (general $\lambda$ )
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$\lambda=1$ case: Approx counting \# of IS's and sampling uniform( $\Omega$ )

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## Hard-core Model Complexity

Counting: compute $Z(\lambda)=\sum_{I \in \Omega} \lambda^{|I|} ;$ Sampling: sample from $\mu(I)=\frac{\lambda^{|l|}}{Z_{G}(\lambda)}$

- Input: For any $G=(V, E)$ with $\max \operatorname{deg} \Delta$ and $\lambda>0$ :
- For all constant $\Delta$, there exists $\lambda_{c}=\lambda_{c}(\Delta)$ :
- All $\lambda<\lambda_{c}(\Delta): \quad O(n \log n)$ mixing time for Glauber Dynamics [CLV'21]
- All $\lambda>\lambda_{c}(\Delta)$ : NP-hard to approx $Z(\lambda)$ within $\exp (C n)$
[Sly'10,Sly-Sun'14, Galanis-Štefankovič-V'16]


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## Hard-core Model Complexity

Counting: compute $Z(\lambda)=\sum_{I \in \Omega} \lambda^{|I|} ;$ Sampling: sample from $\mu(I)=\frac{\lambda^{|l|}}{Z_{G}(\lambda)}$

- Input: For any $G=(V, E)$ with $\max \operatorname{deg} \Delta$ and $\lambda>0: \begin{aligned} & \lambda_{c}(5)=4^{4} / 3^{5} \approx 1.053 \\ & \lambda_{c}(6)=5^{5} / 4^{6} \approx 0.763\end{aligned}$
- For all constant $\Delta$, there exists $\lambda_{c}=\lambda_{c}(\Delta): \quad \lambda_{c}(\Delta)=\frac{(\Delta-1)^{\Delta-1}}{(\Delta-2)^{\Delta}} \sim \frac{e}{\Delta-2}$
- All $\lambda<\lambda_{c}(\Delta): \quad O(n \log n)$ mixing time for Glauber Dynamics [CLV'21]
- All $\lambda>\lambda_{c}(\Delta)$ : NP-hard to approx $Z(\lambda)$ within $\exp (C n)$
[Sly'10,Sly-Sun'14, Galanis-Štefankovič-V'16]
What is $\lambda_{c}=\lambda_{c}(\Delta)$ ?


## Phase Transition on Regular Trees



Let $\mu_{h}=$ Gibbs measure for $\Delta$-regular tree of height $h$.
Does configuration at leaves influence root?
Even height vs. odd height
$\mu_{h}: \Delta$-regular tree of height $h$

## Phase Transition on Regular Trees



$$
\lambda_{c}(\Delta)=\frac{(\Delta-1)^{\Delta-1}}{(\Delta-2)^{\Delta}}
$$

Compare marginal at root for even vs odd height
$\mu_{h}: \Delta$-regular tree of height $h$

$$
\begin{array}{ll}
\lambda<\lambda_{c}(\Delta): & \lim _{h \rightarrow \infty} \mu_{2 h}(r \in I)=\lim _{h \rightarrow \infty} \mu_{2 h+1}(r \in I) \\
\lambda>\lambda_{c}(\Delta): & \lim _{h \rightarrow \infty} \mu_{2 h}(r \in I)<\lim _{h \rightarrow \infty} \mu_{2 h+1}(r \in I)
\end{array}
$$

## Computational Phase Transition

Theorem (Hardcore Model) [Chen-Liu-V'21]:
For every $\delta \in(0,1)$ and $\lambda \leq(1-\delta) \lambda_{c}(\Delta)$, for any $G$ of max degree $\leq \Delta$, Glauber dynamics mixes in $\leq C(\Delta, \delta) \times n \log n$ steps.
$O(n \log n)$ mixing time of Glauber on any graph of max degree $\Delta$ [Chen-Liu-V'21]
$0 \quad \lambda<\lambda_{c}(\Delta)$
$\lambda_{c}(\Delta)$ $\lambda>\lambda_{c}(\Delta)$
Root-leaf correlations decay for infinite $\Delta$-regular tree $\mathbb{T}_{\Delta}$

$$
\lambda_{c}(\Delta)=\frac{(\Delta-1)^{\Delta-1}}{(\Delta-2)^{\Delta}} \sim \frac{e}{\Delta-2}
$$

## Previous Results

- $\lambda \leq(1-\delta) \lambda_{c}(\Delta)$ : Exists poly-time approximate sampler for $\mu$

| Methods | Running Time | References |
| :---: | :---: | :---: |
| Correlation Decay | $n^{f(\delta) \times O(\log \Delta)}$ | Weitz'06 |
| Polynomial Interpolation | $O\left(n^{f(\Delta, \delta)}\right)$ | Barvinok'16, Patel-Regts'17, <br> Peters-Regts'19 |
| Glauber Dynamics | $O\left(n^{f(\delta)}\right)$ | Anari-Liu-Oveis Gharan'20 |
| Glauber Dynamics | $O\left(n^{O(1 / \delta)}\right)$ | Z. Chen-Liu-Vigoda'20 |
| Glauber Dynamics | $\leq C(\Delta, \delta) n \log n$ | Z. Chen-Liu-Vigoda'21 |
| Glauber Dynamics | $\leq C(\delta) n \log n$ | X. Chen-Feng-Yin-Zhang'22, <br> Y. Chen-Eldan'22 |
| Glauber Dynamics | $\geq C^{\prime}(\Delta) n \log n$ | Hayes-Sinclair'07 |

- $\lambda>\lambda_{c}(\Delta)$ : No poly-time approx sampler, assuming RP $\neq \mathrm{NP}$

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## Contents

- Results for Hardcore Model and Computational Phase Transition
- Spectral Independence Technique
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## Spectral Independence

- The (pairwise) influence of vertex $u$ on vertex $v$ is

$$
\Psi(u, v)= \begin{cases}\mu(v \in I \mid u \in I)-\mu(v \in I \mid u \notin I), & u \neq v \\ 0, & u=v\end{cases}
$$

- $\mu$ is $\alpha$-spectrally independent if for any induced subgraph $H: \quad \lambda_{1}\left(\Psi_{H}\right) \leq \alpha$
$\uparrow$ [Anari-Liu-Oveis Gharan'20]
Maximum
Eigenvalue
$\Psi$ is not symmetric and can have negative entries, but all eigenvalues real and non-negative.


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- $\mu$ is $\alpha$-spectrally independent if for any induced subgraph $H$ :
$\lambda_{1}\left(\Psi_{H}\right) \leq \alpha$
$\uparrow$
- Small $\eta \Longrightarrow$ Vertices are nearly independent

Maximum
Eigenvalue
empty graph: $\Psi(u, v)=0, \alpha_{\mathrm{SI}}=0$

- Large $\eta \Longrightarrow$ Vertices are strongly correlated
biclique: $\Psi(u, v) \approx-1 / 2$ for $u \in L, v \in R, \alpha_{\mathrm{SI}}=\Theta(n)$
$\Psi$ is not symmetric and can have negative entries, but all eigenvalues real and non-negative.


## Spectral Independence

- General setting: for $G=(V, E)$, for $\mu$ on $\{0,1\}^{V}$ :
- A pinning $\tau$ is an assignment $\tau: S \rightarrow\{0,1\}$ for some $S \subset V$.
- The (pairwise) influence of vertex $w$ on vertex $v$ is

$$
\Psi_{\tau}(w, v)= \begin{cases}\mu_{\tau}(\sigma(v)=1 \mid \sigma(w)=1)-\mu_{\tau}(\sigma(v)=0 \mid \sigma(w)=0), & w \neq v \\ 0, & w=v\end{cases}
$$

where $\mu_{\tau}$ is the distribution $\mu$ conditional on partial assignment $\tau$

- $\mu$ is $\alpha$-spectrally independent if for any pinning $\tau: \quad \lambda_{1}\left(\Psi_{\tau}\right) \leq \alpha \quad$ [Anari-Liu-Oveis Gharan'20]
$\Psi$ is not symmetric and can have negative entries,
but all eigenvalues real and non-negative.


## Main Theorems [CLV'21]

Let $\mu$ be Gibbs dist. of spin system on $G=(V, E)$ of constant max degree $\leq \Delta$.
Theorem 1: For all $\Delta$, all $\alpha>0$, there exists $C(\Delta, \alpha)$.
If $\mu$ is $\alpha$-spectrally independent, then Glauber Dynamics has relaxation time:

$$
T_{\text {relax }} \leq C(\Delta, \alpha) \times n \text { steps }
$$

Theorem 2: All $\Delta, \alpha>0, b>0$, there exists $C(\Delta, \alpha, b)$. If $\alpha$-spectrally independent and $b$-marginally bounded then mixing time:

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T_{\operatorname{mix}} \leq C(\Delta, \alpha, b) \times n \log n \text { steps. }
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Relaxation time $=$ inverse spectral gap. $O(n)$ relaxation time then FPRAS in $O\left(n^{2} \log ^{2} n\right)$.

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- $\mu$ is $b$-marginally bounded if for any induced subgraph $H$, all $v \in V(H)$ :

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\min \left\{\mu_{H}(v \in I), \mu_{H}(v \notin I)\right\} \geq b .
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Previously, $n^{C(\alpha)}$ mixing. [Anari-Liu-Oveis Gharan'20]

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## Goal: Proof Sketch [CLV'21]

Let $\mu$ be Gibbs dist. of spin system on $G=(V, E)$ of constant max degree $\leq \Delta$.
Theorem 1: For all $\Delta$, all $\alpha>0$, there exists $C(\Delta, \alpha)$.
If $\mu$ is $\alpha$-spectrally independent, then Glauber Dynamics has relaxation time:

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Step 3: $C(\alpha, \Delta) \times n$ relaxation time [Chen-Liu-V'21]

## Glauber Dynamics: Alt. View



$$
Q=\{\bullet, \bullet\}
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## $\Omega_{3}$ : set of all full configurations

$\pi_{3}(V, \sigma)=\mu(\sigma), \forall \sigma: V \rightarrow\{\bullet, \bullet\}$

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\begin{aligned}
G= & (V, E) \\
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Glauber Dynamics is down-up walk $P_{n}^{\vee}$ from $\Omega_{n}$ to $\Omega_{n-1}$ to $\Omega_{n}$

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$\mathscr{X}(0)=\{\varnothing\}$
$\varnothing$

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$$
x(0)=\{\varnothing\}
$$

$\square$


Spectrum of local walks $P_{1,2}^{\wedge}$ and $P_{2,1}^{\vee}$ described by influence matrices $\Psi_{\mu}$ (spectral independence) [Anari-Liu-Oveis Gharan'20]

## Up and Down Walks:

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Let $\pi_{k}(\tau)=\frac{\mu(\tau)}{\binom{n}{k}}$ where $\tau \in \Omega_{k}$ is assignment $\tau: S \rightarrow\{0,1\}$ for $|S|=k$ vertices.

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Down chain: $P_{k}^{\downarrow}$ from $\tau \in \Omega_{k}: \quad P_{k}^{\downarrow}\left(\tau, \tau \backslash\left(i, s_{i}\right)\right)=1 / k$ where $i \in V, s_{i} \in\{0,1\}$

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Up chain: $P_{k-1}^{\uparrow}$ from $\sigma \in \Omega_{k-1}$ :

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P_{k}^{\uparrow}\left(\sigma, \sigma \cup\left(i, s_{i}\right)\right) \propto \pi_{k}\left(\sigma \cup\left(i, s_{i}\right)\right)=\frac{\mu\left(\sigma \cup\left(i, s_{i}\right)\right)}{(n-k-1) \mu(\sigma)}
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\text { up-down: } & P_{k-1}^{\wedge}=P_{k-1}^{\uparrow} P_{k}^{\downarrow} \quad \text { down-up: } P_{k}^{\vee}
\end{aligned}=P_{k}^{\downarrow} P_{k-1}^{\uparrow}, ~ l
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Useful fact:

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\operatorname{gap}\left(P_{k-1}^{\wedge}\right)=\operatorname{gap}\left(P_{k}^{\vee}\right)
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For vertices $i, j \in V$, spins $s_{i}, s_{j} \in\{0,1\}, P_{1}^{\wedge}=(1,2)$ up-down walk:

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P_{1}^{\wedge}\left(\left(i, s_{i}\right),\left(j, s_{j}\right)\right) & =\frac{1}{2} \frac{1}{n-1} \operatorname{Pr}_{\sigma \sim \mu}\left[\sigma(j)=s_{j} \mid \sigma(i)=s_{i}\right] \\
\Psi(i, j) & =\operatorname{Pr}[\sigma(j)=1 \mid \sigma(i)=1]-\operatorname{Pr}[\sigma(j)=1 \mid \sigma(i)=0]
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\text { Local Walk } Q & =2 P_{1}^{\wedge} \\
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Follows that: $\quad \lambda_{2}(Q)=\frac{\lambda_{\max }(\Psi)}{n-1}$
Fix configuration $\tau$ on $k$ vertices, then: $\quad \lambda_{2}\left(Q_{\tau}\right)=\frac{\lambda_{\text {max }}\left(\Psi_{\tau}\right)}{n-k-1} \leq \frac{\alpha}{n-k-1}$

## Local to Global Theorem

- [Alev-Lau'20]: If $\alpha$-Spectrally Independent, then:

$$
\operatorname{gap}\left(P_{\text {Glauber }}\right)=\operatorname{gap}\left(P_{n}^{\vee}\right) \geq \frac{1}{n} \prod_{i=0}^{n-2} \gamma_{i} \geq \frac{1}{n} \prod_{i=0}^{n-2}\left(1-\frac{\alpha}{n-i-1}\right) \geq \frac{C}{n^{\alpha+1}}
$$

$\gamma_{k}$ is spectral gap for local walk $Q_{\tau}=2 P_{\tau, 1}^{\wedge}$ for worst assign $\tau$ to $k$ vertices.

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How to prove Local to Global Theorem of [Alev-Lau'20]?

## Spectral gap = Variance decay

- $\mu$ : Gibbs distribution over $\Omega \subset\{0,1\}^{V}$
. For a function $f: \Omega \rightarrow \mathbb{R}_{\geq 0}$, expectation: $\mathbb{E}_{\mu} f=\sum_{\sigma: V \rightarrow Q} \mu(\sigma) f(\sigma)$
. Variance of $f$ is $\operatorname{Var}_{\mu}(f)=\frac{1}{2} \sum_{\sigma, \eta \in \Omega} \mu(\sigma) \mu(\eta)(f(\sigma)-f(\eta))^{2}$
. Local Variance $=$ Dirichlet Form $D_{P}(f)=\frac{1}{2} \sum_{\sigma, \eta \in \Omega} \mu(\sigma) P(\sigma, \eta)(f(\sigma)-f(\eta))^{2}$
- Spectral gap $\gamma$ equivalent to: $\min _{f} D_{P}(f) \geq \gamma \operatorname{Var}_{\mu}(f)$

$$
\text { then } \operatorname{Var}_{\mu}(P f) \leq(1-\gamma) \operatorname{Var}_{\mu}(f)
$$

## Local-to-Global Key Fact: $D_{P_{k}}(f) \geq \gamma_{k-1} \frac{k}{k+1} D_{P_{k}}(f)$

Recall, $\operatorname{gap}\left(P_{k}^{\wedge}\right)=\operatorname{gap}\left(P_{k+1}^{\vee}\right)$

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D_{P_{k}}(f):=\frac{1}{2} \sum_{\sigma, \eta \in \Omega_{k}} \pi_{k}(\sigma) P_{k}^{\wedge}(\sigma, \eta)(f(\sigma)-f(\eta))^{2}
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D_{P_{\widehat{k}}}(f):=\frac{1}{2} \sum_{\sigma, \eta \in \Omega_{k}} \pi_{k}(\sigma) P_{k}^{\wedge}(\sigma, \eta)(f(\sigma)-f(\eta))^{2} \quad \text { Note: }|\sigma \cap \eta|=k-1
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& =\frac{k}{k+1} \sum_{\tau \in \Omega_{1}} \pi_{k-1}(\tau) D_{Q_{\tau}}(f) & & \text { Nete: }|\sigma \cap \eta|=k-1 \\
& \text { Recall, } \frac{1}{2} Q=P_{\hat{1}}^{\wedge}
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## Inductive proof of Local to Global Theorem

Goal: $\operatorname{gap}\left(P_{k}^{\vee}\right) \geq \frac{1}{k} \prod_{i=0}^{k-2} \gamma_{i} \quad$ equivalent to: $\quad D_{P_{k}^{\vee}}(f) \geq \frac{1}{k} \prod_{i=0}^{k-2} \gamma_{i} \times \operatorname{Var}_{\pi_{k}}(f)$

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\begin{gathered}
D_{P_{k-1}^{\wedge}}(f) \underset{\uparrow}{\underset{\uparrow}{\text { Key Fact }}} \underset{\gamma_{k-2}}{ } \frac{k-1}{k} D_{P_{k-1}^{\vee}}(f) \geq \gamma_{k-2} \frac{k-1}{k} \frac{1}{k-1} \prod_{i=0}^{k-3} \gamma_{i} \operatorname{Var}_{\pi_{k-1}}(f)=\frac{1}{k} \prod_{i=0}^{k-2} \gamma_{i} \operatorname{Var}_{\pi_{k-1}}(f) . \\
\quad \text { on previous slide }
\end{gathered} \quad \text { by induction } \quad .
$$

## Goal: Proof Sketch [CLV'21]

Let $\mu$ be Gibbs dist. of spin system on $G=(V, E)$ of constant max degree $\leq \Delta$.
Theorem 1: For all $\Delta$, all $\alpha>0$, there exists $C(\Delta, \alpha)$.
If $\mu$ is $\alpha$-spectrally independent, then Glauber Dynamics has relaxation time:

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Step 3: $C(\alpha, \Delta) \times n$ relaxation time [Chen-Liu-V'21]

## Local to Global Theorem

- [Alev-Lau'20]: If $\alpha$-Spectrally Independent, then:

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\operatorname{gap}\left(P_{\text {Glauber }}\right)=\operatorname{gap}\left(P_{n, n-1}^{\vee}\right) \geq \frac{1}{n} \prod_{k=0}^{n-2} \gamma_{k} \geq \frac{1}{n} \prod_{k=0}^{n-2}\left(1-\frac{\alpha}{n-k-1}\right) \geq n^{-\alpha-1}
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where $\gamma_{k}$ is spectral gap for local walk with worst assignment $\tau$ to $k$ vertices.

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where $\gamma_{k}$ is spectral gap for local walk with worst assignment $\tau$ to $k$ vertices.
Want gap $\geq \frac{C}{n} \quad$ but losing too much when $k=o(n)$

## Uniform Block Dynamics

$\beta n$-Uniform Block Dynamics where $\beta=O(1 / \Delta)$. In each step:

1. Pick $S \subseteq V,|S|=\beta n$ u.a.r.
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Yields $\operatorname{gap}\left(P_{n,(1-\beta) n}^{\vee}\right) \geq C(\beta, \alpha)$

## Shattering

- Pick $S \subseteq V$ of size $|S|=\beta n \approx \frac{n}{1000 \Delta}$ randomly ( $\Delta$ : max degree)
- Then $S$ is "shattered" with high probability
- Each connected component $T \in G[S]$ has $O(1)$ expected size



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(gap of $\beta n$-uniform block dynamics ) ${ }^{G}$
(components T, T' are independent)

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& \leq C^{\prime} \times \mathbb{E}\left[\sum_{T \in G[S]}|T|^{\alpha+1} \sum_{v \in T} \operatorname{Var}_{v}(f)\right] \quad \text { (from [ALO'20]) }
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=C^{\prime \prime} n D_{P_{\text {Clawoer }}}(f) &
\end{array}
$$

## (Relative) Entropy

- $\mu$ : Gibbs distribution over $\{0,1\}^{V}$
- For a function $f:\{0,1\}^{V} \rightarrow \mathbb{R}_{\geq 0}$ :
_The expectation of $f$ is $\mathbb{E}_{\mu} f=\sum_{\sigma: V \rightarrow Q} \mu(\sigma) f(\sigma)$
- The entropy of $f$ is $\operatorname{Ent}_{\mu}(f)=\mathbb{E}_{\mu}\left[f \log \left(\frac{f}{\mathbb{E}_{\mu} f}\right)\right]$
- Entropy describes "fluctuation" of $f$ w.r.t. $\mu\left(\operatorname{Ent}_{\mu}(f)=0 \Leftrightarrow f \equiv \mathbb{E}_{\mu} f\right)$
- Spectral gap $\gamma$ then $\operatorname{Var}_{\mu}(P f) \leq(1-\gamma) \operatorname{Var}_{\mu}(f)$
- Entropy decay (modified log-Sobolev constant) $\rho$ then $\operatorname{Ent}_{\mu}(P f) \leq(1-\rho) \operatorname{Ent}_{\mu}(f)$


## Approximate Tensorization of Entropy

- $\mu$ satisfies approximate tensorization (AT) of entropy with constant $C$ if

$$
\operatorname{Ent}(f) \leq C \sum_{v \in V} \mathbb{E}\left[\operatorname{Ent}_{v}(f)\right], \quad \forall f:\{0,1\}^{V} \rightarrow \mathbb{R}_{\geq 0}
$$

"Fluctuation" of $f$ is attributed to the sum of "average local fluctuation" at individual vertices

Fact: AT holds for a product distribution $\mu$ with constant $C=1$

## Connection to Other Methods



Correlation decay
[Weitz'06, Sinclair-Srivastava-Thurley'14, Li-Lu-Yin'13]


Polynomial interpolation [Barvinok'16, Patel-Regts'17, Peters-Regts'19]

- Large running time \& hard to implement
- We can transform these results to optimal MCMC via Spectral Independence


## Picture for Spectral Independence

[Chen-Liu-V'20]
Correlation Decay Proof Approach

[Blanca-Caputo-Chen-Parisi-Stefankovic-V '22, Liu'21]
(Path) Coupling for any Local MCMC
[Chen-Liu-V'21b]
Zero-Free Region of Partition Function

Spectral Independence


Optimal Mixing and Optimal Entropy Decay

Glauber Dynamics
[Chen-Liu-V'21]

Any Block Dynamics
[BCCPSV'22]
Swendsen-Wang (SW) (Global dynamics for Ising)

## Conclusion

We showed: $T_{\text {mix }} \leq C(\delta, \Delta) n \log n$ where $\lambda \leq(1-\delta) \lambda_{c}(\Delta)$ for any $\delta>0$
Subsequent work:
$T_{\text {mix }} \leq f(\delta) n \log n$ for any $G$ with max $\operatorname{deg} \Delta$ when $\lambda<\lambda_{c}(\Delta)$
$T_{\operatorname{mix}}=O\left(n^{3}\right)$ for any bipartite $G$ with max deg $\Delta$ on LHS when $\lambda<\lambda_{c}(\Delta) \quad$ [Chen-Liu-Yin'23]
Open problem: $k$-Colorings when $k \geq \Delta+2$ ?

- General graphs: $k>\left(11 / 6-10^{-5}\right) \Delta$
- Triangle-free graphs: $k>1.764 \Delta$
- Correlation Decay on Trees: $k \geq \Delta+3$
$T_{\text {mix }} \leq C(\Delta) n \log n$ when $k \geq \Delta+3$ and girth $\geq g(\Delta)$


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## Thank you!


[^0]:    [Sly'10, Sly-Sun'14, Galanis-Štefankovič-V'16]

