

Complex numbers are essential in quantum theory

(Scott Aaronson's blog)

Complex number: A complex number $\lambda \in \mathbb{C}$ is defined by $\lambda = a + bi$, where $a, b \in \mathbb{R}$ and $i = \sqrt{-1}$

Complex conjugate: For a complex number $\lambda = a + bi$, its complex conjugate is $\lambda^* = a - bi$.

For a vector v (e.g., a column vector $|v\rangle$ in quantum computing), its complex conjugate v^+ is a row vector $\langle v|$, where each entry is a complex conjugate of that in $|v\rangle$.

For a complex matrix A , its conjugate transpose A^+ is obtained by transposing A and applying complex conjugate on each entry of the matrix. (dagger)

Examples: $|v\rangle = \begin{bmatrix} 1 \\ e^{i\theta} \end{bmatrix} \rightarrow \langle v| = [1, e^{-i\theta}]$

$$A = \begin{bmatrix} 3 & i+1 \\ -i & 2 \end{bmatrix} \rightarrow A^+ = \begin{bmatrix} 3 & i \\ -i+1 & 2 \end{bmatrix}$$

Hilbert space: complex vector space + inner product

↳ A quantum state for n qubits can be described as a vector in a 2^n dimensional Hilbert space.

✓ Let us start with a simple example.

the state of a qubit can be a superposition of "0" and "1".

✓ Formally, these "0" and "1" represents two basis states in \mathbb{C}^2 space

$$|0\rangle = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \quad |1\rangle = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad \leftarrow \text{2 basis}$$

\mathbb{C}^2 space
d=2 complex
vector space

$$|v\rangle = \alpha|0\rangle + \beta|1\rangle = \begin{bmatrix} \alpha \\ \beta \end{bmatrix} \in \mathbb{C}^2$$

✓ We can choose either basis states.

$$\text{e.g. } |+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$

$$|-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix}$$

\leftarrow X basis

$$|v\rangle = \alpha'|+\rangle + \beta'|-\rangle$$

✓ Note: superposition is regarding to some basis states

$\frac{|0\rangle + |1\rangle}{\sqrt{2}}$ is a superposition state of $|0\rangle$ and $|1\rangle$
but not a superposition of $|+\rangle$ and $|-\rangle$

✓ For two vector $|v\rangle = \begin{bmatrix} \alpha_v \\ \beta_v \end{bmatrix}$, $|w\rangle = \begin{bmatrix} \alpha_w \\ \beta_w \end{bmatrix}$ in \mathbb{C}^2 ,

their inner product is

$$\langle w|v\rangle = [\alpha_w^*, \beta_w^*] \cdot \begin{bmatrix} \alpha_v \\ \beta_v \end{bmatrix} = \alpha_w^* \alpha_v + \beta_w^* \beta_v$$

This definition also generalize to \mathbb{C}^n .

✓ orthogonal: $|w\rangle$ and $|v\rangle$ are orthogonal if $\langle w | v \rangle = 0$

$$\langle 0 | 1 \rangle = \begin{bmatrix} 1 & 0 \end{bmatrix} \cdot \begin{pmatrix} 0 \\ 1 \end{pmatrix} = 0$$

$$\langle + | - \rangle = \frac{1}{2} \begin{bmatrix} 1 & 1 \end{bmatrix} \begin{pmatrix} 1 \\ -1 \end{pmatrix} = 0$$

✓ norm of a vector $\| |v\rangle \| = \sqrt{\langle v | v \rangle} \geq 0$

✓ A unit vector is a vector $|v\rangle$ such that $\| |v\rangle \| = 1$

✓ qubit state vector is unit vector.

$|v\rangle = \alpha |0\rangle + \beta |1\rangle \Rightarrow$ if we measure the qubit in $|0\rangle, |1\rangle$ basis
then $|0\rangle \rightarrow \alpha^2$ probability
 $|1\rangle \rightarrow \beta^2$ probability

$$\Rightarrow \alpha^2 + \beta^2 = 1$$

$$\| |v\rangle \| = \sqrt{\alpha^2 + \beta^2} = 1$$

It still holds if we measure in a different basis, e.g. $|+\rangle, |-\rangle$.

$$|v\rangle = \alpha |0\rangle + \beta |1\rangle = \alpha \frac{|+\rangle + |-\rangle}{\sqrt{2}} + \beta \frac{|+\rangle - |-\rangle}{\sqrt{2}}$$

$$= \frac{\alpha + \beta}{\sqrt{2}} |+\rangle + \frac{\alpha - \beta}{\sqrt{2}} |-\rangle$$

$$\Rightarrow |+\rangle \rightarrow \frac{(\alpha + \beta)^2}{2} \text{ probability}$$

$$|-\rangle \rightarrow \frac{(\alpha - \beta)^2}{2} \text{ probability}$$

$$\Rightarrow \frac{(\alpha + \beta)^2}{2} + \frac{(\alpha - \beta)^2}{2} = \alpha^2 + \beta^2 = 1$$

✓ Bloch Sphere of a qubit see slides P

N-qubit state,

composition principle: the state basis of N-qubit state is the tensor products of all individual qubit state basis.

Tensor product:
$$\begin{bmatrix} \alpha_0 \\ \alpha_1 \end{bmatrix} \otimes \begin{bmatrix} \beta_0 \\ \beta_1 \end{bmatrix} = \begin{bmatrix} \alpha_0 \begin{bmatrix} \beta_0 \\ \beta_1 \end{bmatrix} \\ \alpha_1 \begin{bmatrix} \beta_0 \\ \beta_1 \end{bmatrix} \end{bmatrix} = \begin{bmatrix} \alpha_0 \beta_0 \\ \alpha_0 \beta_1 \\ \alpha_1 \beta_0 \\ \alpha_1 \beta_1 \end{bmatrix}$$

✓ Take a 2-qubit state as an example.

- A set of basis states can be obtained from the $|0\rangle, |1\rangle$ states of single-qubit state

$$|0\rangle \otimes |0\rangle = |00\rangle = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

$$|0\rangle \otimes |1\rangle = |01\rangle = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}$$

$$|1\rangle \otimes |0\rangle = |10\rangle = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$$

$$|1\rangle \otimes |1\rangle = |11\rangle = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$

- Any 2-qubit state can be written as

$$|\Psi_{AB}\rangle = \begin{bmatrix} \alpha_{00} \\ \alpha_{01} \\ \alpha_{10} \\ \alpha_{11} \end{bmatrix}$$

when measuring in the above basis,
the probability of getting

$$\begin{cases} |00\rangle: |\alpha_{00}|^2 \\ |01\rangle: |\alpha_{01}|^2 \\ |10\rangle: |\alpha_{10}|^2 \\ |11\rangle: |\alpha_{11}|^2 \end{cases}$$

- If we ^{only} measure qubit A in $|0\rangle, |1\rangle$ basis, the probability of getting 0 is $|\alpha_{00}|^2 + |\alpha_{01}|^2$ because both $|00\rangle$ and $|01\rangle$ are compatible with $|0\rangle$ for qubit A.

✓ product states VS. entangled states

- The joint state of two separated, unentangled quantum system A and B, is the tensor product of $|\psi_A\rangle$ and $|\psi_B\rangle$

$$|\psi_{AB}\rangle = |\psi_A\rangle \otimes |\psi_B\rangle = \begin{bmatrix} \alpha_0 \\ \alpha_1 \end{bmatrix} \otimes \begin{bmatrix} \beta_0 \\ \beta_1 \end{bmatrix} = \begin{bmatrix} \alpha_0\beta_0 & \alpha_0\beta_1 & \alpha_1\beta_0 & \alpha_1\beta_1 \end{bmatrix} \begin{matrix} \rightarrow |100\rangle \\ \rightarrow |101\rangle \\ \rightarrow |110\rangle \\ \rightarrow |111\rangle \end{matrix}$$

Quick check: $|\psi_{AB}\rangle$ is a valid quantum state

$$|\alpha_0\beta_0|^2 + |\alpha_0\beta_1|^2 + |\alpha_1\beta_0|^2 + |\alpha_1\beta_1|^2 = (|\alpha_0|^2 + |\alpha_1|^2)(|\beta_0|^2 + |\beta_1|^2)$$

As a product state, there is some constraints of the coefficients.

- States that can not be written as product state are entangled states.

• Example ① $\frac{1}{\sqrt{2}}|100\rangle + \frac{1}{\sqrt{2}}|110\rangle = \frac{1}{\sqrt{2}}(\underbrace{|10\rangle + |11\rangle}_{|\psi_A\rangle}) \underbrace{|0\rangle}_{|\psi_B\rangle}$

② $\frac{1}{\sqrt{2}}|100\rangle + \frac{1}{\sqrt{2}}|111\rangle \neq |\psi_A\rangle \otimes |\psi_B\rangle$

In fact, most states are entangled.

↓
Bell state, EPR pair

↓
Einstein Podolsky, Rosen

✓ Generalize to n-qubit state in 2^n -dimensional Hilbert space.

$$|\psi\rangle = \sum_{j \in \{0,1\}^n} \alpha_j |j\rangle, \text{ where } \alpha_j \in \mathbb{C} \text{ satisfying the normalization condition } \sum_j |\alpha_j|^2 = 1$$

Hermitian Matrix: For a complex matrix, if $A^\dagger = A$, then A is a hermitian matrix.

Property A basic fact for a Hermitian matrix is that the eigenvalues are real and eigenvectors of distinct eigenvalues are orthogonal.

Simple proof: ① $AX = \lambda X \Rightarrow X^\dagger AX = \lambda \Rightarrow \lambda^\dagger = (X^\dagger AX)^\dagger = \lambda$
 $\Rightarrow \lambda$ is real.

② Suppose X_1, X_2 are eigenvectors for two different eigenvalues λ_1, λ_2
 $(AX_1)^\dagger X_2 = X_1^\dagger A X_2 = \lambda_2 X_1^\dagger X_2$
 $(AX_2)^\dagger X_1 = (X_2^\dagger A X_1)^\dagger = (\lambda_1 X_2^\dagger X_1)^\dagger = \lambda_1 X_1^\dagger X_2 \Rightarrow \lambda_1 = \lambda_2$
 \Rightarrow or $X_1^\dagger X_2 = 0$

Hermitian matrices \leftrightarrow observables (measurements) in quantum mechanics, as all observables shall be real.

Unitary matrix: For a complex, invertible matrix, if $A^\dagger = A^{-1}$ (i.e., $A^\dagger A = A A^\dagger = I$), then A is a unitary matrix.

property \checkmark Norm preserving $\|A|\psi\rangle\|^2 = \langle\psi|A^\dagger A|\psi\rangle = \|\psi\|^2$
 \Rightarrow A valid quantum state will be mapped to another valid quantum state.

\checkmark Invertible ("Reversible") $U^{-1} = U^\dagger$

Unitary matrix \leftrightarrow quantum gates.

Normal matrix : Matrix A is normal $\Leftrightarrow A^\dagger A = A A^\dagger$

★ Unitary and Hermitian are special types of normal matrix

$$\begin{cases} \text{Unitary} & A^\dagger A = A A^\dagger = I \\ \text{Hermitian} & A = A^\dagger \Rightarrow A A^\dagger = A^\dagger A = A^2 \end{cases}$$

Spectral decomposition : A matrix A is normal iff there exists a diagonal matrix Λ and a unitary matrix U such that

P72 proof

$A = U \Lambda U^\dagger$, where the diagonal entries of Λ are the eigenvalues of A , and the columns of U are the corresponding eigenvectors of A .

Another common format for spectral decomposition is:

$$A = \sum_j \lambda_j |j\rangle \langle j|$$

where the column vectors $|j\rangle$ are orthonormal eigenvectors of A and λ_j are the corresponding eigenvalues.

Applications of the theorem (operator function)

$$A^3 = \left(\sum_j \lambda_j |j\rangle \langle j| \right)^3 = \sum_j \lambda_j^3 |j\rangle \langle j| \dots$$

$$f(A) = \sum_j f(\lambda_j) |j\rangle \langle j|$$

$$X \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$\begin{cases} X|0\rangle \rightarrow |1\rangle \\ X|1\rangle \rightarrow |0\rangle \end{cases}$$

$$X \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$X \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

$$\begin{cases} X|+\rangle = X \frac{(|0\rangle + |1\rangle)}{\sqrt{2}} = |+\rangle \\ X|-\rangle = X \frac{(|0\rangle - |1\rangle)}{\sqrt{2}} = -|-\rangle \end{cases}$$

Conclusion

↑
proof

{ Hermitian
Unitary
+ $|0\rangle + |1\rangle + |+\rangle + |-\rangle$ }

$$X^\dagger = X \rightarrow X \cdot X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I$$

$$Z \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

$$\begin{cases} Z|0\rangle = |0\rangle \\ Z|1\rangle = -|1\rangle \end{cases}$$

$$Z \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$Z \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = -\begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

$$\begin{cases} Z|+\rangle = Z \frac{(|0\rangle + |1\rangle)}{\sqrt{2}} = |-\rangle \\ Z|-\rangle = Z \frac{(|0\rangle - |1\rangle)}{\sqrt{2}} = |+\rangle \end{cases}$$

$$Z^\dagger = Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad Z^2 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I$$

unitary $A^\dagger \cdot A = I$

Hermitian $A^\dagger = A$

$$\checkmark H = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} = \frac{1}{\sqrt{2}} (X + Z)$$

$$H|0\rangle = \frac{1}{\sqrt{2}} (X+Z)|0\rangle = \frac{|0\rangle + |1\rangle}{\sqrt{2}} = |+\rangle$$

$$H|1\rangle = \frac{1}{\sqrt{2}} (X+Z)|1\rangle = \frac{|0\rangle - |1\rangle}{\sqrt{2}} = |-\rangle$$

$$H|+\rangle = H \frac{|0\rangle + |1\rangle}{\sqrt{2}} = \frac{|+\rangle + |-\rangle}{\sqrt{2}} = |0\rangle$$

$$H|-\rangle = H \frac{|0\rangle - |1\rangle}{\sqrt{2}} = \frac{|+\rangle - |-\rangle}{\sqrt{2}} = |1\rangle$$

Question: $|0\rangle, |1\rangle$ eigenstates of X

$|+\rangle, |-\rangle$ of Z

An operation of the summation of X and Z allow us to change these two state basis sets. Is this a coincidence?

$$AX = \lambda X \Rightarrow (A - \lambda I)X = 0 \dots$$

$$\checkmark Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}_X \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}_Z = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} = -iY$$

$$Y^\dagger = \begin{bmatrix} 0 & i \\ -i & 0 \end{bmatrix} = Y$$

$$Y^\dagger \cdot Y = Y^2 =$$

$$X^2 = Y^2 = Z^2 = I$$

Anticommutate

$$XY = -YX =$$

$$XZ = -ZX = iY$$

$$YZ = -ZY$$

$$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}_X \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}_Y = \begin{bmatrix} i & 0 \\ 0 & -i \end{bmatrix} = iZ$$

$$\begin{bmatrix} 0 & i \\ -i & 0 \end{bmatrix}_Y \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}_Z = \begin{bmatrix} 0 & i \\ i & 0 \end{bmatrix} = iX$$

$$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}_Z \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}_X = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} = iY$$

$$Z \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

$$Z = |0\rangle\langle 0| - |1\rangle\langle 1|$$

$$e^{i\theta Z}$$

$$|0\rangle = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$|0\rangle\langle 0| = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

$$\langle 1| = \begin{pmatrix} 0 & 1 \end{pmatrix}$$

$$|1\rangle\langle 1| = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

$$e^{i\theta Z} = \sum_{n=0}^{\infty} \frac{(i\theta Z)^n}{n!} = \sum_{n=0,2,4} \frac{(i\theta)^n}{n!} I + \sum_{n=1,3,5} \frac{(i\theta)^n}{n!} Z$$

$$e^{i\theta Z} = \cos \theta \cdot I - i \sin \theta \cdot Z$$

$$\sin x = \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!} x^{2n+1} = x - \frac{x^3}{3!} + \frac{x^5}{5!} - \dots \text{ for all } x$$

$$\cos x = \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n)!} x^{2n} = 1 - \frac{x^2}{2!} + \frac{x^4}{4!} - \dots \text{ for all } x$$

$$e^{i\theta X} = \cos \theta \cdot I - i \sin \theta \cdot X$$

$$e^{i\theta Y} = \cos \theta \cdot I - i \sin \theta \cdot Y$$

$$e^{i\theta Z} = \cos \theta \cdot I - i \sin \theta \cdot Z$$

$$\Rightarrow \text{diagonal matrix}$$

$$\begin{pmatrix} e^{-i\theta} & 0 \\ 0 & e^{i\theta} \end{pmatrix}$$

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$$\rightarrow \text{for whatever matrix } A^2 = I$$

Exercise 1

$$R_n(\theta) \equiv \exp(i\theta \cdot \hat{n} \cdot \vec{\sigma}) = \cos \theta - i \sin \theta (n_x X + n_y Y + n_z Z)$$

$$\text{Easy to prove: } (\hat{n} \cdot \vec{\sigma})^2 = I \Rightarrow \text{orthonormal + unit vector}$$

$$\Rightarrow (n_x X + n_y Y + n_z Z)^2 = n_x^2 X^2 + n_y^2 Y^2 + n_z^2 Z^2 + 0 \dots = I$$

Exercise 2

Any arbitrary single qubit gate

$$U = \exp(i\theta) R_n(\theta)$$

global phases

rotation

$$e^{-i\theta} = (\bar{e}^{i\theta} e^{i\theta}) \quad e^0 = 1 \quad e^{i\frac{\pi}{2}} = i \quad e^{i\pi} = -1 \quad e^{i\frac{3\pi}{2}} = -i$$

$$S = \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix} = \begin{pmatrix} e^{i0} & 0 \\ 0 & e^{i\frac{\pi}{2}} \end{pmatrix} = e^{i\frac{\pi}{4}} \begin{pmatrix} e^{-i\frac{\pi}{4}} & 0 \\ 0 & e^{i\frac{3\pi}{4}} \end{pmatrix} = \frac{\pi}{4} \text{ phase gate}$$

$$T = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{pmatrix} = e^{i\frac{\pi}{8}} \begin{pmatrix} e^{-i\frac{\pi}{8}} & 0 \\ 0 & e^{i\frac{3\pi}{8}} \end{pmatrix} \cdot \frac{\pi}{8} \text{ gate.}$$